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# H-substituted anionic carbon clusters $C_nH^-$ ( $n \leq 10$ ): Density functional studies and experimental observations

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We have studied the interaction of hydrogen with small neutral and anionic carbon clusters using density functional calculations. The geometry, stability, and electronic structure of these clusters show an odd–even alternation originating in the bonding nature of the carbon atoms. Our mass spectrometric measurements of the abundance of  $C_nH^-$  ( $n \leq 10$ ) cluster anions produced by gas-feed Cs sputtering from different crystallographic forms of carbon display similar odd–even alternation with the even- $n$  clusters being relatively more abundant. The calculated trend in the adiabatic electron affinities shows a behavior similar to the experimental abundance pattern. We discuss a possible partial suppression of the chain-to-ring transformation (which normally occurs at  $n=10$  in  $C_n^-$ ) in  $C_nH^-$  and compare it with our density functional calculations as well as observations in  $C_nN^-$ . We also observe that the size dependence of the abundance of  $C_nH^-$  clusters sputter ejected from a fullerene target exhibits a distinctly different power-law decline compared to crystalline and amorphous carbon. © 2003 American Institute of Physics.  
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## I. INTRODUCTION

The possibility of designing novel materials<sup>1</sup> by taking advantage of the size-dependent properties<sup>2</sup> of atomic clusters has created tremendous interest among both experimentalists and theoreticians, and has led to the study of various types of atomic clusters. Studies of small carbon clusters are of special interest due to their importance in combustion and pyrolysis, in astrophysical processes, and in the formation and growth of fullerenes<sup>3</sup> and nanotubes,<sup>4</sup> which are made up of five- and six-membered carbon rings. Some earlier studies on small carbon clusters<sup>5,6</sup> have indicated that odd-numbered clusters prefer linear structures to ring structures. It is therefore not clear why the five-membered rings show up in fullerenes. Would a linear chain bend when attached to a six-atom ring? Can the isomers with linear chain and planar ring configurations coexist under normal experimental conditions? Are the “tadpole” structures very close in energy to the ground states? Such questions have prompted studies of both neutral and anionic carbon clusters.<sup>7</sup> The study of anionic clusters is particularly interesting since photoelectron spectroscopy provides indirect information<sup>8</sup> on their structure. Such theoretical investigations depend upon accurate experiments to verify their predictions. Earlier workers have

studied the reactivity of charged carbon clusters with gas molecules such as  $H_2$ ,  $D_2$ ,  $O_2$ ,  $CH_4$ ,  $C_2H_2$ ,  $C_2H_4$ ,  $CO$ ,  $C_2N_2$ , and  $HCN$ .<sup>9</sup> To understand the role of substituents such as N on the electronic structure and stability of carbon clusters,  $C_nN^-$  clusters ( $n \leq 10$ ) have been produced and their properties compared with those of  $C_n^-$  clusters.<sup>10</sup> In this paper we study the hydrogenation of carbon clusters to see how the hydrogen atom explores the active site on a carbon cluster and thereby reveals its intrinsic structure.

H-substituted carbon clusters have gained importance following the discovery of long-chain carbon molecules in interstellar and circumstellar environments. These have been studied using various experimental techniques, including cluster vaporization source<sup>11</sup> and highly charged ion impact on solid  $C_{84}$ .<sup>12</sup> These studies show that the  $C_nH^+$  ( $n \leq 10$ ) cluster cations to be more abundant for odd  $n$ . H-substituted carbon cluster anions have earlier been generated using secondary-ion-mass spectrometry (SIMS),<sup>13</sup> by irradiation of alkanes and benzene by  $^{252}Cf$  fission fragments,<sup>14</sup> and laser ablation.<sup>15</sup> We have utilized the well-established gas-feed Cs-sputter technique, which has several advantages over SIMS and laser ablation. Generally,  $^{12}C_nH^-$  cannot be distinguished from  $^{12}C_{n-1}^{13}C^-$  (the natural abundance of  $^{13}C$  is 1.108%), and there could also be ion signals with the same  $m/q$  due to residual impurities. In the case of the gas-feed sputter technique, these can be readily taken care of by subtracting the background signal (without gas spray) from the

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data. Here we report the results of our experimental measurements on  $C_nH^-$  in conjunction with *density functional* cluster calculations performed on pure  $C_n$  and  $C_n^-$  clusters as well as hydrogenated neutral and anionic carbon clusters. Both our calculations and experimental measurements indicate the presence of odd–even alternations in the geometry, stability, and electronic structure of the  $C_nH^-$  clusters. Such studies are expected to elucidate the microscopic mechanism of the interaction of hydrogen with small carbon clusters as a function of cluster size.

The paper is organized as follows. In Sec. II we present a density functional approach to understand the ground-state geometry, stability, and electronic structure of pure as well as hydrogenated carbon clusters, both neutral and anionic. Section III is devoted to a brief experimental overview of the production of monohydrogen-terminated carbon cluster anions from different crystallographic forms of carbon via the gas-feed Cs-sputter technique. This is followed by the results on  $C_nH^-$  yield as a function of cluster size and its interpretation in terms of their power-law behavior. We also discuss the effect of monohydrogen substitution of the carbon clusters on the chain to ring transformation that normally occurs at  $n=10$ . In Sec. IV we give a summary comparison of the theoretical and experimental results.

## II. THEORETICAL STUDY OF PURE AND HYDROGENATED CARBON CLUSTERS

### A. Method of calculation

We used the self-consistent-field linear combination of atomic orbitals-molecular orbitals (SCF-LCAO-MO) method to obtain the total energies of the clusters. The atomic wave functions were represented by an all-electron triple- $\zeta$  basis set with extra polarization functions (6-311G\*\*).<sup>16</sup> The total energies were calculated using the density functional theory (DFT) and generalized gradient approximation (GGA) for the exchange-correlation contribution. The Lee–Yang–Parr form of the correlation functional<sup>17</sup> and the Becke exchange<sup>18</sup> (B3LYP) were used with the GAUSSIAN 98 computational code<sup>16</sup> for our calculations. The geometries were optimized for different spin multiplicities without any symmetry constraint. The optimization was performed for each cluster by starting with several initial structures to locate the geometry corresponding to the global minimum through an exploration of the potential energy surface.

### B. Neutral carbon clusters

The energetics and equilibrium structures of the  $C_n$  clusters were studied up to  $n=10$ . The calculated equilibrium geometries are shown in Fig. 1 and the corresponding multiplicities and energies are given in Table I. The calculated bond length of  $C_2$ , 1.317 Å, compares very well with values obtained from other methods,<sup>5,19</sup> while the corresponding experimental value<sup>20</sup> is 1.312 Å. The theoretical binding energy per atom is 3.25 eV, while the experimental binding energy per atom<sup>21</sup> is 3.04–3.15 eV. The calculated geometry for  $C_3$  matches very well with other theories<sup>5,22</sup> and experiment.<sup>23</sup> Our binding energy/atom of 4.75 eV is comparable to these earlier theoretical results as well as the experimental value<sup>5,7</sup>

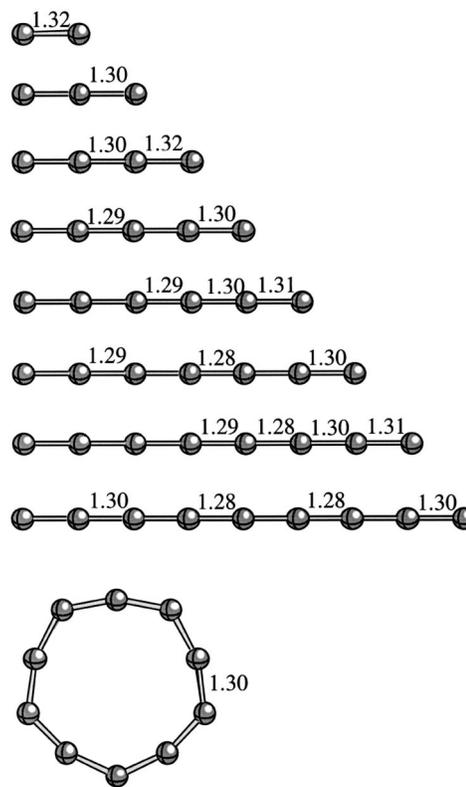


FIG. 1. Optimized ground-state geometries of the  $C_n$  ( $n \leq 10$ ) clusters. The representative bond lengths are given in Å.

of  $4.63 \pm 0.2$  eV. The geometries of  $C_n$  ( $n=4-9$ ) are all linear and the bond lengths are very close to other theoretical values.<sup>24–29</sup> The binding energies/atom for  $C_n$  ( $n=4-9$ ) are 4.94, 5.46, 5.50, 5.75, 5.77, and 5.91 eV, respectively. These match very well with corresponding values of 4.65–4.95, 5.22–5.42, 5.33, 5.67, 5.53, and 5.92 eV, respectively, from various theories and experiments.<sup>5,7</sup> The multiplicities presented in Table I have been verified against other theories<sup>5</sup> and experiments<sup>7</sup> when available and they match completely.

All the structures obtained by us are linear up to nine atoms, as also predicted by others. However, the ten-atom cluster is cyclic, as predicted by Raghavachari and Binkley.<sup>5</sup> The binding energy/atom is 6.13 eV. The binding energy/atom increases steadily with minor odd–even oscillations, as usually observed for all types of small clusters.<sup>30</sup>

### C. Anionic carbon clusters

The ground-state geometries of  $C_n^-$  ( $n=2-10$ ) clusters are shown in Fig. 2. Again, all geometries are linear up to  $n=9$  and the ground state is cyclic for  $n=10$ . These geometries as well as the corresponding multiplicities reported in Table I match very well with the theoretical values of Raghavachari,<sup>22</sup> Adamowicz,<sup>24,31</sup> Ray and Rao,<sup>32</sup> Watts *et al.*,<sup>24</sup> and the experimental values of Gotts *et al.*<sup>33</sup> The binding energies/atom obtained for these clusters ( $n=2-10$ ) are 4.47, 5.15, 5.66, 5.86, 6.03, 6.10, 6.18, 6.22, and 6.21 eV, respectively, which match with the theoretical results of Raghavachari<sup>22</sup> and Ray and Rao.<sup>32</sup>

Table I also presents the electron affinities obtained in the current calculations and compares them with experimen-

TABLE I. Results from  $C_n$  and  $C_n^-$  calculations.  $\Delta E$  indicates the energy released when an extra carbon atom is added to a preexisting cluster.

$n$	$C_n$			$C_n^-$			EA (eV)	
	Multiplicity	$E$ (hartree)	$\Delta E$ (eV)	Multiplicity	$E$ (hartree)	$\Delta E$ (eV)	Theory	Expt. <sup>a</sup>
1	3	-37.84267		4	-37.85634		0.37	
2	3	-75.92431	6.50	2	-76.02745	8.93	2.81	3.30
3	1	-114.05231	7.76	2	-114.11020	6.53	1.57	1.95
4	3	-152.09671	5.49	2	-152.21670	7.18	3.26	3.70
5	1	-190.21651	7.54	2	-190.30340	6.64	2.36	2.80
6	3	-228.26875	5.70	2	-228.39870	6.87	3.53	4.10
7	1	-266.37851	7.26	2	-266.48250	6.56	2.83	3.10
8	3	-304.43685	5.87	2	-304.57350	6.75	3.72	4.42
9	1	-342.54100	7.11	2	-342.65640	6.53	3.14	3.70
10	1	-380.67868	8.02	2	-380.72350	6.11	1.22	2.30

<sup>a</sup>Reference 6.

tal values. Note that our results are adiabatic electron affinities, which are the differences in the total energies of the ground-state anions and the corresponding ground-state neutral clusters. The experimental values are from the work of Yang *et al.*<sup>6</sup> who have reported vertical electron detachment energies. These energies are obtained as the differences between the total energies of the ground-state anions and the corresponding neutral clusters in the same geometry as those of the anions. Because the neutral clusters are not relaxed, the vertical electron affinities (VEAs) are always higher than the adiabatic electron affinities (AEAs). Table I shows that the experimental values of the VEAs are consistently higher than the theoretical AEA values. The differences are of the order of 0.5 eV, which is typically the amount of energy

gained by relaxation to the final ground state of the neutral clusters. Note that our AEA values show an odd-even alternation and the behavior of the VEA values from experiment is very similar. A further discussion of these odd-even alternations follows later.

One can study the relative stabilities of clusters by calculating the incremental energy  $\Delta E_n = E_{n-1} + E_1 - E_n$ , where  $E_n$  corresponds to the total energy of a neutral or anion cluster containing  $n$  atoms and  $E_1$  is the energy of a single carbon atom. Thus  $\Delta E$  is the energy gained by forming an  $n$ -atom cluster through the addition of an extra carbon atom. The values of  $\Delta E$  for  $C_n$  and  $C_n^-$  clusters (Fig. 3) show an interesting odd-even alternation. The peaks in  $\Delta E$  occur for even  $n$  in the case of the anionic clusters, while it occurs for odd  $n$  in neutral clusters. A similar behavior is noticed for AEAs with the peaks occurring for even values of  $n$ . This is easily explained by the fact that a higher  $\Delta E$  is indicative of a relatively higher stability for that particular cluster. Similarly, if a cluster has relatively higher electron affinity, the corresponding anion is comparatively more stable. For example,  $C_4$  has higher AEA than  $C_3$  or  $C_5$ . This indicates that  $C_4^-$  is more stable than  $C_3^-$  or  $C_5^-$ , leading to a higher  $\Delta E$  for  $C_4^-$  relative to  $C_3^-$  and  $C_5^-$ . This is exactly

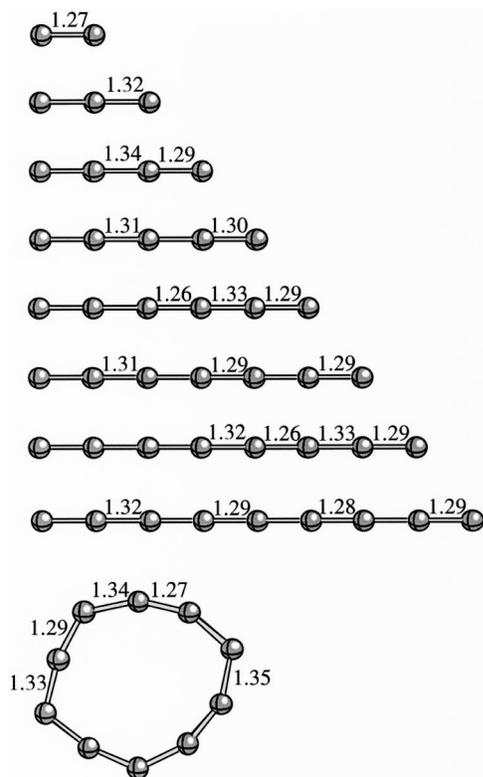


FIG. 2. Optimized ground-state geometries of the  $C_n^-$  ( $n \leq 10$ ) clusters (bond lengths are in Å).

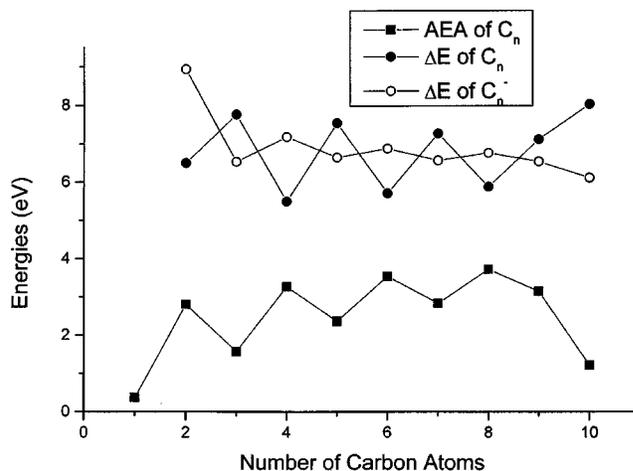


FIG. 3. Energy gain  $\Delta E$  on addition of an extra C atom to  $C_n$  ( $n \leq 10$ ) clusters (solid circles) and  $C_n^-$  clusters (open circles). The plots of adiabatic electron affinity of  $C_n$  clusters are shown by solid squares.

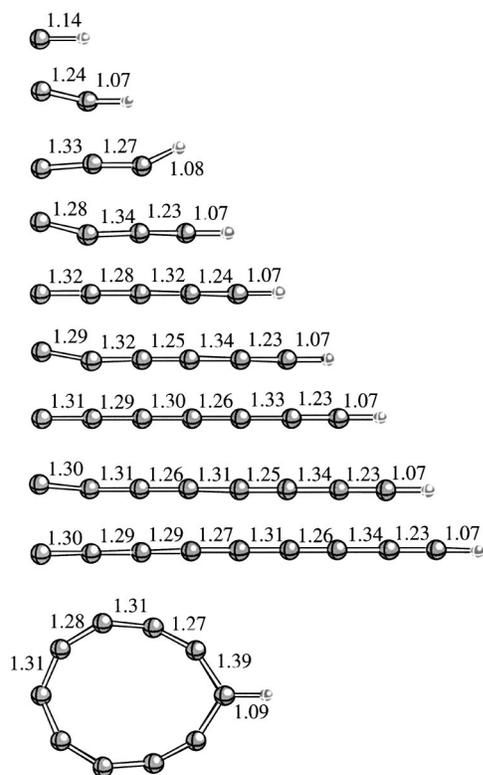


FIG. 4. Optimized ground state geometries of  $C_nH$  ( $n \leq 10$ ) clusters (bond lengths are in Å).

what is observed in Fig. 3. While the anions are all spin doublets, the neutral cluster multiplicities oscillate between 1 and 3 for  $n=2-9$  with the odd- $n$  clusters being singlets. The reason for this lies in the electronic structures of the clusters and is related to the occupation of the molecular orbitals, as shown by Weltner and Van Zee.<sup>7</sup> This explains why the odd-numbered anions are less stable and the odd-numbered neutral clusters are more stable. The effect is also seen in the geometries in Figs. 1 and 2. The bond lengths of even-numbered clusters shrink a little when the electron is attached, while the opposite happens for odd-numbered clusters.

#### D. $C_nH$ clusters

There are no surprises in the ground-state geometries of the  $C_nH$  clusters (Fig. 4), which are all linear chains with minor Jahn–Teller distortions until  $n=9$ . The  $C_{10}H$  is a cyclic structure with the H atom attached to one of the atoms in the ring. As expected, the H atom cannot be incorporated as a part of the ring because hydrogen has only one electron available for interaction and cannot be bonded with more than one atom. This is also the reason why the H atom is always at one end of the linear chains. Attempts to attach the hydrogen atom to other parts of the chains failed because, on geometry optimization, the hydrogen atom always moved to the end of the chain. Limited calculations on  $C_3H$  clusters yield<sup>34</sup> linear ground states with H attached to the end of the chain, as observed in our calculations.

The hydrogen atom may, however, be attached to any one of the atoms in a ring structure, and this may stabilize it

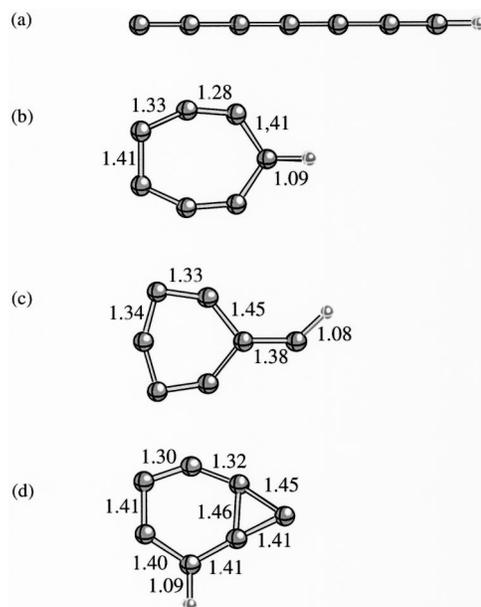


FIG. 5. Optimized geometries of  $C_7H$  clusters: (a) ground state; (b), (c), and (d) are low-lying isomers.

more than its linear isomer. This point was verified for the larger clusters and in each case (except  $C_{10}H$ ) the linear structures were the ground states. As an example of the types of structures examined, we present four structures tested for  $C_7H$  with the H atom occupying different positions (Fig. 5). Here (a) is the ground-state cluster, which is linear, with the H atom attached to one end. Other structures are higher-energy isomers with geometries (b), (c), and (d), which are 0.91, 3.89, and 1.87 eV higher in total energy than the ground state.

The energies for the  $C_nH$  clusters are given in Table II. Note that the multiplicity is two for each cluster, as was also observed for the  $C_n^-$ . This can be explained by the fact that the addition of a H atom has the same effect as adding an extra electron. The  $C_nH$  and  $C_n^-$  clusters are therefore expected to behave similarly. As already mentioned, both have a spin-doublet configuration for all values of  $n$  under consideration. The binding energy of H with  $C_n$  should be expected to be similar to that of the AEAs of  $C_n$  clusters. These binding energies are plotted in Fig. 6 as a function of  $n$ . A comparison of Figs. 3 and 6 shows that the AEA plots of  $C_n$  and the binding energy of H with  $C_n$  clusters go through similar odd–even alternations with peaks at even- $n$  values (except for the binding energy of H with  $C_n$  for  $n=10$ ). A similar behavior is also shown by the  $\Delta E$  of  $C_nH$  clusters with the peaks occurring for even values of  $n$ . The similarity of the effects of adding an electron and adding a hydrogen atom to  $C_n$  clusters is also reflected in the bond lengths. In both the cases the addition leads to a general shrinking of the bond lengths. For example, the bond in  $C_2$  shrinks from 1.32 to 1.27 Å in  $C_2^-$  and to 1.24 Å in  $C_2H$ . We therefore conclude that similar changes occur in the electronic structures of the carbon clusters due to the addition of an electron or that of a H atom. Of course, this happens for even values of  $n$ , as these are the more stable of the  $C_n$  species. The effect is the opposite for odd- $n$  clusters.

TABLE II. Results from  $C_nH$  and  $C_nH^-$  calculations.  $\Delta E$  indicates the energy released when an extra carbon atom is added to a preexisting cluster. BE is the binding energy for a hydrogen atom to the corresponding  $C_n$  or  $C_n^-$  cluster.

$n$	$C_nH$				$C_nH^-$				AEA (eV)
	Multiplicity	$E$ (hartree)	$\Delta E$ (eV)	BE (eV)	Multiplicity	$E$ (hartree)	$\Delta E$ (eV)	BE (eV)	
1	2	-38.47434		3.65	3	-38.49067		3.72	0.44
2	2	-76.60598	7.86	5.01	1	-76.69517	9.84	4.63	2.43
3	2	-114.67707	6.21	3.46	3	-114.72656	5.13	3.23	1.35
4	2	-152.77346	6.90	4.87	1	-152.88079	8.47	4.53	2.92
5	2	-190.85148	6.40	3.74	3	-190.92468	5.47	3.36	1.99
6	2	-228.9416	6.73	4.77	1	-229.05907	7.93	4.43	3.20
7	2	-267.02125	6.45	3.95	3	-267.11053	5.68	3.55	2.43
8	2	-305.10844	6.65	4.73	1	-305.23314	7.61	4.41	3.39
9	2	-343.18867	6.46	4.08	3	-343.28987	5.82	3.70	2.75
10	2	-381.27847	6.72	2.78	1	-381.37703	6.65	4.24	2.68

### E. $C_nH^-$ clusters

Once again the calculated ground-state structures of  $C_nH^-$  (Fig. 7) are all linear up to  $n=9$  and  $C_{10}H^-$  is cyclic. Dreuw and Cederbaum<sup>35</sup> have theoretically examined many types of structures for  $C_7Cs^-$  and  $C_9Cs^-$ . Their results at the MP2 level show that there are many stable structures within 2 eV from the ground state, which, in each case, is linear with the Cs atom at the end of the chain. Their observation of “lightly embracing” structures was based upon the interaction of  $Cs^+$  and  $C_n^-$  dianions. This is not a possible scenario for the interaction of H atoms, as it would not be able to give up its electron readily. Consequently, the usual, linear ground state is observed for  $C_nH$  and  $C_nH^-$  clusters.

The AEAs calculated from the energies of these clusters are given in Table II. The values of the AEAs, the binding energies of the H atom with  $C_n$  and  $C_n^-$ , and the  $\Delta E$  of  $C_nH$  and  $C_nH^-$  are plotted in Fig. 6. All of these show the same type of odd-even alternation with peaks at even  $n$ . In each case the CH bond length is between 1.07 and 1.09 Å, which is the value commonly seen in literature. The experimental value<sup>36</sup> of the VEA for  $C_3H$  is  $1.858 \pm 0.023$  eV, which is approximately 0.5 eV above the AEAs obtained from our

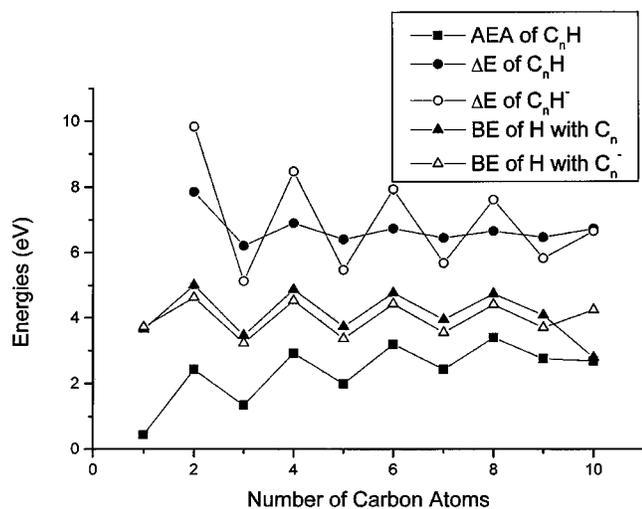


FIG. 6. Values of  $\Delta E$  for  $C_nH$  (solid circles) and  $C_nH^-$  (open circles) clusters, binding energy of H with  $C_n$  (solid triangles) and  $C_n^-$  (open triangles), and adiabatic electron affinity of  $C_nH$  clusters.

calculations. This energy difference is similar to that observed between the experimental VEA and theoretical AEA values for  $C_n$  clusters (see Sec. II C and Table I). Experiments on the production and characterization of  $C_nH^-$  clusters show that the clusters are relatively more abundant for even  $n$ , which are relatively more stable (see Fig. 6). This will be further discussed in the subsequent section devoted to experimental results.

## III. EXPERIMENTAL STUDY OF HYDROGENATED CARBON CLUSTERS

### A. Production of $C_nH^-$ clusters by gas-feed Cs sputtering

The gas-feed source of negative ions by cesium sputtering (GF-SNICS) is a well-established technique for generat-

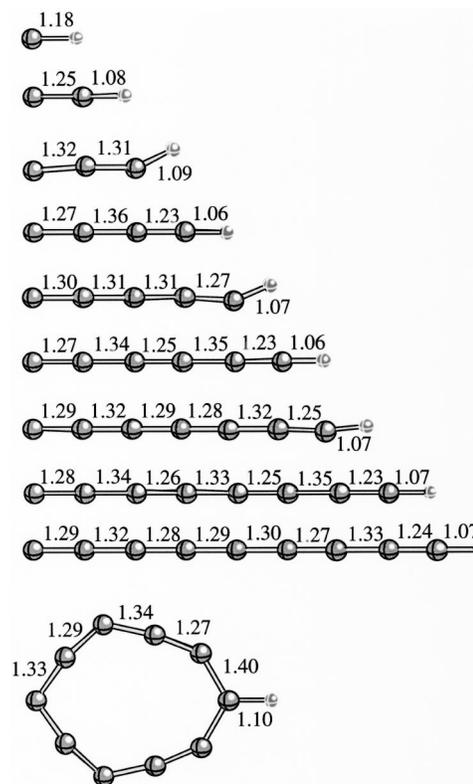
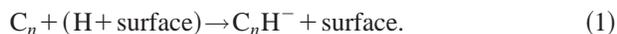


FIG. 7. Optimized ground-state geometries of  $C_nH^-$  ( $n \leq 10$ ) clusters (bond lengths are in Å).

ing molecular anions and involves the spraying of an appropriate gas onto the cathode during sputtering. It is commonly employed in tandem accelerator facilities to produce molecular ions. We have used this technique to produce *mixed* cluster anions such as  $C_nN^-$  ( $n \leq 10$ ) and have described it in detail in Ref. 10. A carbonaceous target such as graphite, amorphous C or  $C_{60}$  (in the form of a 4-mm-long and 4-mm-wide solid rod or pressed pellet) formed the sputter cathode. It was push fitted into an oxide-free, high-conductivity copper holder to ensure good thermal contact and sufficient cooling and, therefore, adequate Cs coverage of the sample of interest. The sputter cathode sample and sample mounting arrangement were modified to accommodate the gas spray system. The gas to be sprayed ( $H_2$ , in this case) was introduced into the source through a 1-mm-bore stainless steel (SS) tube traversing through the center of a 10-mm-diam SS tube used for close loop-kerosene cooling and mounting of the cathode sample. A 1-mm-diam hole was drilled through the center of the SS stud and sample. The gas flow was controlled by a needle valve.

Sputtering of neutral as well as charged clusters occurs on bombarding the target surface by keV  $Cs^+$  ions. The anionic species from the GF-SNICS source were extracted and focused into a beam by an Einzel lens. The anions were accelerated to ground potential from the negatively biased deck at  $-20$  kV. A double-focusing  $90^\circ$  analyzing magnet with a mass-energy product of about  $10 \text{ amu} \times \text{MeV}$  was used to select ions of particular  $m/q$  value. The slits were adjusted such that neighboring masses up to 300 amu could be well resolved. The anion yields were measured in a Faraday cup located near the image slits of the analyzing magnet with the help of a Keithley 485 picoammeter.

Our earlier study of  $C_nN^-$  suggests a surface origin of the gas-spray-induced negative ions.<sup>10</sup> Similarly, we propose here that the  $C_nH^-$  ions are formed by a recombination reaction between neutral  $C_n$  clusters emerging from the surface and H atoms (formed by dissociative adsorption of  $H_2$  preferentially at defect sites produced by  $Cs^+$  bombardment) residing on the target surface which has a relatively low work function due to a fractional layer of Cs. The reaction is of the form



The surface acts as the third body to allow for conservation of momentum and energy in the reaction.

## B. Yield of $C_nH^-$ clusters

Figure 8 shows the characteristic growth of monohydrogen-substituted carbon cluster anions ( $C_nH^-$ ) as a function of the pressure of  $H_2$  gas,  $p(H_2)$ , introduced through the ejection crater in the graphite sample. The corresponding  $C_nH^-$  yield was measured as a function of  $p(H_2)$  in the range of  $1.0 \times 10^{-7}$ – $2.0 \times 10^{-5}$  Torr using an ion gauge controller near the ion source. The yield of  $C_nH^-$  initially rises with  $p(H_2)$  for all  $n$  (growth curves for only some of the species are shown in Fig. 8), then saturates before falling steeply at higher pressure due to detachment and dissociation losses on colliding with residual gas molecules in the vicinity of the cathode. In order to minimize the loss of

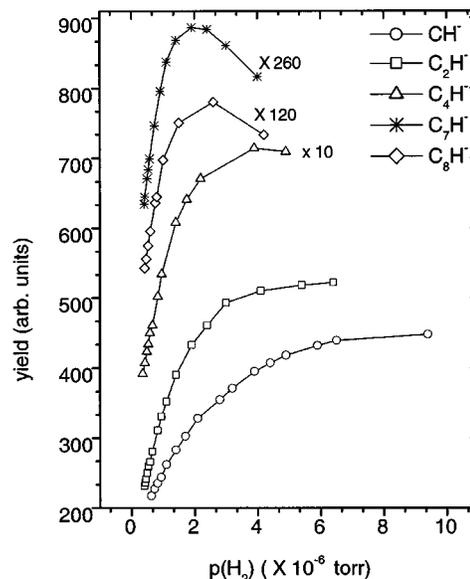


FIG. 8. Yields of the  $C_nH^-$  cluster anions generated by 5-keV  $Cs^+$  ion sputtering of high-purity polycrystalline C as a function of hydrogen gas pressure. The growth curves are presented only for certain representative species.

sputter-ejected anions, our operating pressure  $p(H_2)$  was kept less than  $1.0 \times 10^{-6}$  Torr. The  $Cs^+$  ion bombardment energy was 2–5 keV. The typical intensity of the  $C_nH^-$  clusters was in the range of 0.05–90 nA under the usual operating parameters of the GF-SNICS.

## C. Odd-even alternation in the cluster yield

Figure 9 shows the abundance distribution of the normalized intrinsic (without spraying  $H_2$ ) carbon cluster anions  $C_n^-$  ( $n \leq 10$ ) generated by sputtering of high-purity crystalline graphite by 5-keV  $Cs^+$  ions. The base vacuum was  $8.0 \times 10^{-8}$  Torr. In general, the  $C_n^-$  yield declines with increasing cluster size. As expected from singly charged ion-induced sputtering, the size dependence of the yield exhibits

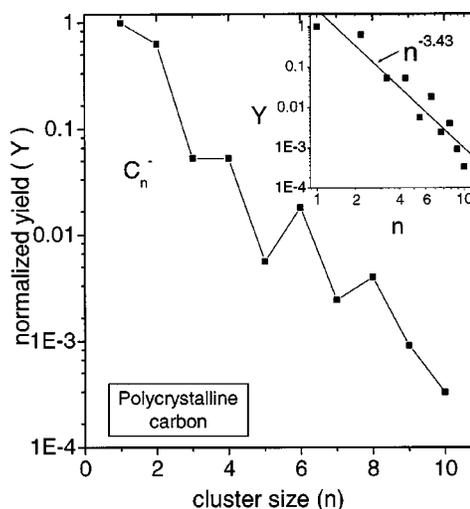


FIG. 9. Yield distribution of  $C_n^-$  cluster anions vs the cluster size  $n$  ( $1 < n < 10$ ). These cluster anions are sputter-generated by 5-keV  $Cs^+$  bombardment of polycrystalline C. Inset shows the log-log plot of the same data.

an odd–even oscillation.<sup>37</sup> UV photoelectron spectroscopy and other studies<sup>5,6,37</sup> show that carbon clusters with  $n < 10$  have linear-chain-like structures, while the larger ones form monocyclic rings.

Under similar operating conditions, the size dependence of the yields from amorphous carbon and fullerene ( $C_{60}$ ) targets is quite similar to that from graphite, with an odd–even oscillation riding on a general decline with increasing  $n$ . The amorphous carbon target was cut from a high-purity carbon rod, whose amorphous nature was confirmed by x-ray diffraction. The fullerene target was prepared by pressing (at  $\sim 100$  bars) 99.9%  $C_{60}$  powder into a pellet in the copper holder.

Figure 10 shows the normalized and background-subtracted yield distribution of the  $C_nH^-$  ( $n \leq 10$ ) clusters  $Cs^+$  sputtered from crystalline graphite in the presence of  $H_2$ . The  $C_nH^-$  yield also declines with cluster number. Both odd- and even- $n$  members are observed. The normalized yield of  $C_nH^-$  also exhibits an odd–even oscillations, with the even- $n$  species being relatively more abundant. The  $C_nH^-$  ( $n \leq 10$ ) clusters were also produced by sputtering of amorphous C and the fullerene targets in the presence of  $H_2$  with  $p(H_2) < 1.0 \times 10^{-6}$  Torr. The nature of the decline in yield with  $n$  is qualitatively similar for the different targets.

The normalized yields of sputter-ejected  $C_nH^-$  cluster anions from the three different polymorphs of carbon display an odd–even oscillation as a function of  $n$ : even- $n$  clusters being relatively more abundant. Such an alternation can be qualitatively ascribed to the odd–even alternation in the adiabatic electron affinities of the  $C_nH^-$  clusters. Our density functional calculations of the ground-state geometries of  $C_nH^-$  show not only that the AEAs of the species with even  $n$  are larger than those with odd  $n$ , but also that the even- $n$  cluster anions are relatively more stable. This is in contrast to the  $C_nN^-$  cluster anions where odd- $n$  species are more abundant.<sup>10</sup> This is attributed to the oscillatory values of the vertical electron detachment energy (VEDE) of  $C_nN^-$  clusters.<sup>38</sup> The strong correlation between  $C_nH^-$  yields and the computed electron affinity values clearly supports the notion that the abundance distribution of stable  $C_nH^-$  is largely determined by their electron affinities.

#### D. Power-law decline of the cluster yield

The insets in Figs. 10(a), 10(b), and 10(c) show the relative yield distributions of  $C_nH^-$  clusters sputtered from crystalline graphite, amorphous (am) C and fullerene, respectively, in the presence of  $H_2$ . The inset in Fig. 9 shows the relative yield distribution for  $C_n^-$  clusters sputtered from crystalline graphite. The  $Cs^+$  ion bombardment energy was 5 keV in all cases. The cluster size dependence of the relative yields measured far away from the sample surface can be approximated by a power law of the form

$$Y(n) \propto n^{-\delta}. \quad (2)$$

The least-squares-fit values of the exponent  $\delta$  are shown in the insets to Figs. 9 and 10. Within experimental uncertainty, the values of  $\delta$  for  $C_n^-$  cluster anions sputter ejected from crystalline and am-C (not shown) are virtually the same. The values of  $\delta$  for  $C_nH^-$  cluster anions obtained from

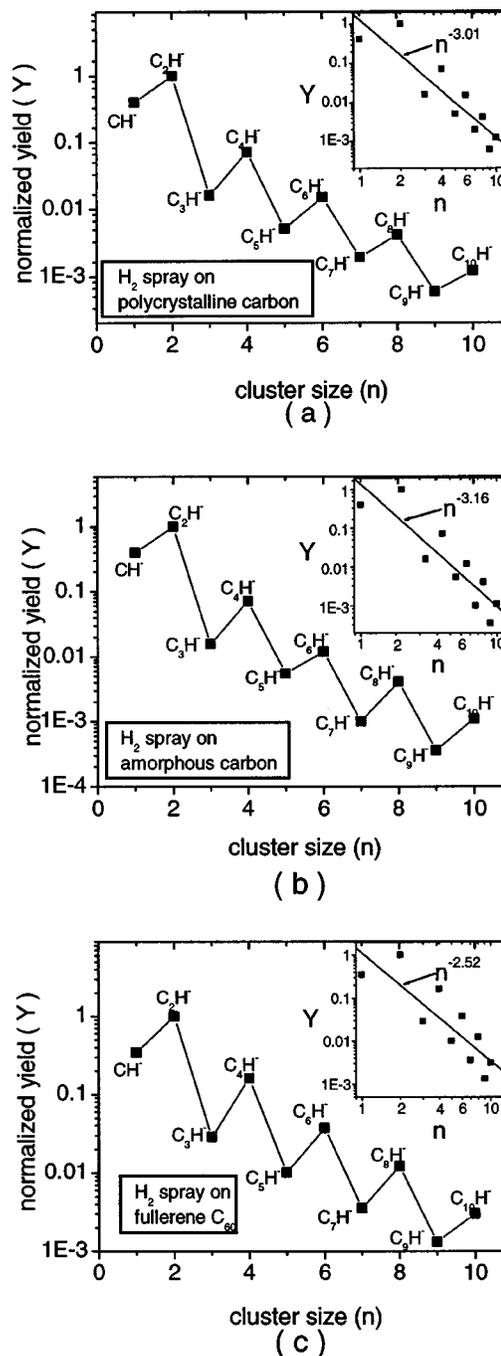


FIG. 10. Normalized and background subtracted yields of the cluster anions  $C_nH^-$  vs the cluster size  $n$  ( $1 \leq n \leq 10$ ). The cluster anions were sputter generated by 5-keV  $Cs$  ion bombardment in the presence of  $H_2$  gas from the surfaces of (a) polycrystalline carbon, (b) amorphous carbon, and (c) fullerene. Insets show log-log plots of the cluster anion ( $C_nH^-$ ) yields vs cluster size  $n$  for the corresponding target material.

crystalline and am-C are also quite similar (Fig. 10). The close similarity in the relative yield distributions and power-law exponents related to the crystalline and am-C targets indicates that the ion-bombardment-induced structural evolution of the surface and subsurface atomic layers during the formation of sputtered clusters is quite similar. In other words, the energetics of the collision cascade does not appear to depend strongly on the crystalline structure of the two C-based targets.

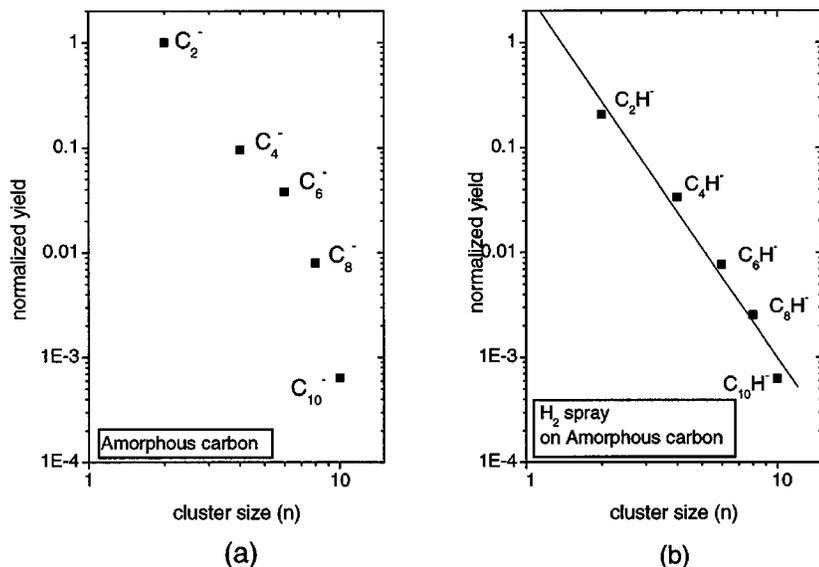


FIG. 11. (a) Cluster anion ( $C_n^-$ ) yields vs cluster size  $n$ . (b) Cluster anion ( $C_nH^-$ ) yields vs cluster size  $n$ . Both plots are from the amorphous carbon sample data. Only the even- $n$  species are plotted in log-log scale.

We also point out that the fullerene-sputtered clusters differ from those of graphite and am-C in an important manner. The value of  $\delta$  for  $C_nH^-$  clusters sputtered from the  $C_{60}$  surface is significantly higher than the polycrystalline and amorphous counterparts (Fig. 10) under similar operating conditions. This result is very similar to that in our earlier study on  $C_nN^-$  clusters<sup>10</sup> and can probably be explained by a similar argument based on the densification of  $C_{60}$  that is known to occur on ion irradiation.<sup>39</sup> Thus, under  $Cs^+$  irradiation, the sputtered surface of  $C_{60}$  may undergo structural changes associated with densification, resulting in a higher probability of dissociative and adsorption of  $H_2$  at the surface. This, in turn, could lead to a greater yield of sputtered  $C_nH^-$  cluster anions than from graphite and am-C. Such an argument is supported by our observation that  $C_{60}$ -sputtered clusters yield a  $C_nH^-/C_n^-$  ratio that is higher by several factors as compared to graphite and am-C surfaces. Since this ratio (for the  $C_{60}$  target) increases rather rapidly with  $n$ , the power-law exponent (see Fig. 10) turns out to be unusually high ( $-2.52$ ) compared to those of graphite ( $-3.01$ ) or am-C ( $-3.16$ ).

However, the fact that the yield distributions of the  $C_nH^-$  clusters sputtered from different crystallographic forms of carbon show a similar oscillatory behavior and follow a *single* power-law decline (in each case) indicates that the cluster formation does not depend *significantly* on the crystalline structure of the surface. This is in agreement with molecular dynamics simulations of cluster formation due to sputtering.<sup>40</sup>

### E. Chain to ring transformation

A majority of the experimental and theoretical work on small carbon clusters suggest that linear chains should be formed up to  $n=9$ , though it has not been possible to identify the actual isomeric structures experimentally. Even longer linear carbon chains ( $n \geq 10$ ) can be produced by laser generation of the carbon plasma, but only when their ends are terminated by such groups as  $-H$  or  $-CN$ .<sup>41</sup>

The abundance distribution of the sputter-ejected, pure carbon cluster anions  $C_n^-$  exhibits the expected odd-even oscillations with a sudden departure from its oscillatory pattern at  $n=10$  (Fig. 9), which is normally attributed to a chain to ring transformation (CRT).<sup>5,6,37</sup> The signature of this transformation is clearer from the log-log plot in Fig. 11(a), which shows a definite dip in the yield in the even- $n$  family of  $C_n^-$  at  $n=10$ . In the case of the hydrogenated carbon cluster anions  $C_nH^-$  (Fig. 10), the yield does not appear to show any clear deviation from the oscillatory behavior at  $n=10$ . However, a close examination of the log-log plot in Fig. 11(b) shows that there *is* actually a deviation at  $n=10$ , though the magnitude of this deviation is much smaller than in the case of  $C_n^-$ . Such a deviation is observed in the data for graphite, am-C as well as fullerene, emphasizing its authenticity.

We interpret the above data to indicate that though the CRT *does* occur (as, indeed, expected from theoretical considerations), it appears to be suppressed to some extent. It is extremely interesting to point out in this context that our earlier study<sup>10</sup> had established a *complete suppression* of the CRT in the nitrogenated carbon clusters  $C_nN^-$ . We believe that the sputtered  $C_{10}$  cluster is produced predominantly in the ringlike isomeric state. Now, the recombination probability of H with the ringlike isomer  $C_{10}$  at the ion-induced surface [see Eq. (1)] is likely to be lower than with the chain like one, as indicated by the fact that the computed bond strength of H with  $C_{10}$  is particularly low (see Table II). This results in a relatively lower yield of sputtered  $C_nH^-$  clusters. But the AEA of  $C_{10}H^-$  ring is computed to be high enough, giving rise to higher emission probability (i.e., negative ionization efficiency) as  $C_{10}H^-$  anions from the surface. As a result, we are likely to record relatively suppressed yield of ringlike  $C_{10}H^-$  clusters formed during sputtering. Thus we infer that the sputter-ejected  $C_{10}H^-$  cluster anion does undergo a CRT in agreement with our density functional calculations. However, the simultaneous existence of a fraction of chainlike  $C_{10}H^-$  structures cannot be ruled out.

#### IV. SUMMARY

Theoretical calculations based on density functional methods were used to study the interaction of hydrogen with anionic as well as neutral carbon clusters. The geometry, stability, and electronic structure of  $C_nH^-$  clusters exhibit odd-even oscillations that are quite similar to the experimental abundance pattern for  $C_nH^-$  ( $n \leq 10$ ) clusters produced by the hydrogen gas-feed Cs sputtering from different carbonaceous targets (graphite, amorphous-C and  $C_{60}$ ). The yields of both even- and odd- $n$  cluster anions were found to grow with an increasing  $H_2$  pressure. The normalized yield of  $C_nH^-$  cluster anions sputter ejected from all three targets displays an oscillatory behavior as a function of  $n$ : clusters with even  $n$  being more abundant. This can be attributed to the oscillatory adiabatic electron affinities of these cluster anions. We have shown that the  $C_nH^-$  clusters produced from the  $C_{60}$  target exhibit certain different features in relation to graphite and amorphous carbon. The observed abundance distributions of the even members of  $C_n^-$  and  $C_nH^-$  indicate that, in conformity with our density functional calculations, monohydrogen substitution of the carbon clusters does allow the chain to ring transformation to occur at  $n = 10$ , but the transformation is partially suppressed. In contrast, mononitrogen substitution *totally* suppresses the chain to ring transformation at  $n = 10$ , in the case of  $C_nN^-$  clusters.

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