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Elsamani Ismail Abdelfadiel

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INVESTIGATION OF ANTICOAGULATION PROPERTIES OF SULFATED GLYCOSAMINOGLYCAN  
MIMETICS

A thesis submitted in partial fulfillment of the requirements for the degree of Master of  
Science at Virginia Commonwealth University

by

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July 2017

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## Abstract

### INVESTIGATION OF ANTICOAGULATION PROPERTIES OF SULFATED GLYCOSAMINOGLYCAN MIMETICS

By Elsamani Ismail Abdelfadiel, MS

A thesis submitted in partial fulfillment of the requirements for the degree of Master of  
Science at Virginia Commonwealth University

Virginia Commonwealth University, 2017.

Supervisor: Umesh R Desai

Professor, Department of Medicinal Chemistry

The existence of thrombosis in numerous pathophysiological situations formed a vast necessity for anticoagulation therapy. Thrombin and factor Xa are the only two factors of the entire coagulation cascade that have been major targets for regulation of clotting via the direct and indirect mechanism of inhibition. Our recent discovery of sulfated non-saccharide glycosaminoglycan mimetics, especially G2.2, that demonstrates highly selective cancer stem-like cells (CSCs) inhibition activity. G2.2 inhibited the growth of CSCs from multiple cancer cell lines. To evaluate its in vivo anticoagulation effect, we asked a contract research organization (CRO) to produce 20 g of material, labelled as G2.2Y. Evaluation of G2.2C in HT-29 xenograft mouse model showed a significant reduction in tumor volume and CSC markers, but unexpected bleeding consequences in some animals were observed. Also in a tail bleeding experiment, G2.2Y showed a significant enhancement in bleeding volume. Comparable studies with G2.2 synthesized in our

laboratory had shown no bleeding effects. To investigate the difference between the two G2.2 samples (G2.2W (white) and G2.2Y (Yellow)) that were performed using UPLC-MS characterization, we were able to determine that the G2.2Y sample was an 85:15 blend of two compounds. Elemental, NMR and MS data revealed that G2.2W was fully sulfated flavonoid derivative, as expected, but G2.2Y contained one less sulfate group. We tested both agents for their inhibition of various coagulation factors and revealed that G2.2Y inhibited fXIIa nearly 2-fold better in comparison to G2.2W. Furthermore, activated partial thromboplastin time assay (APTT) indicated that G2.2W exhibited almost 3-4-fold less anticoagulant activity compared to G2.2Y. This indicates that the loss of just one sulfate group could induce substantial side effects and lead to a discovery of new anticoagulant agent. Such structure–activity relationship is important to understand if the in vivo metabolism of the agents leads to accumulation of de-sulfated products.

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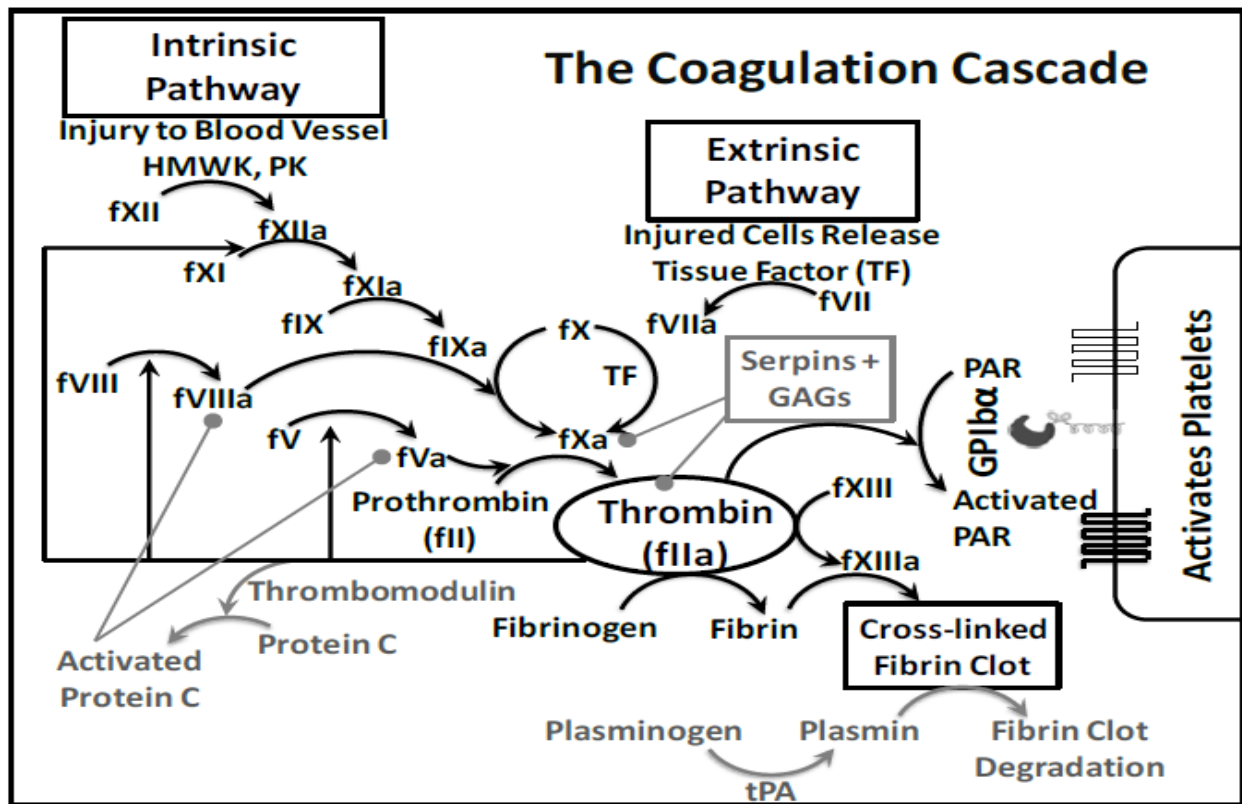
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## **Chapter 1: Introduction**

### **1.1 Blood, Coagulation and Hemostasis**

Blood is an essential body fluid that helps transport nutrients to all cells of the body, while simultaneously transporting metabolic waste products away from the cells for excretion. [1] An average human being contains almost 4 liters of blood within the body. Part of this is made up of proteins, which float in the liquid fraction of blood called plasma and are essential for coagulation and transportation of nutrients. The other part of blood is composed of cells. Three major types of cells make up the cellular composition of blood, namely: (I) Red blood cells (erythrocytes) – essential for carrying oxygen to various tissues of the body. (II) White blood cells (leucocytes) – essential for fighting infections and performing various protective functions. (iii) Platelets (thrombocytes) – essential for prevention of blood loss [1].

Overall, these components of blood help perform a variety of functions such as supplying nutrients and oxygen to various parts of the body, transporting waste products from various tissues to the kidneys, lungs and liver for metabolism and excretion, protecting the body from various infections maintaining body pH, hydration and temperature, and coagulation in case of injury. Thus, proper blood flow within the body is essential to maintain the homeostatic balance of the body [1]. To maintain appropriate blood flow conditions, procoagulant and anticoagulant factors are present within the blood [2]. In case of injury, excessive blood loss is prevented by procoagulant factors, which result in hemostasis. Simultaneously, anticoagulant factors help maintain proper blood flow within the rest of the body. This balance of procoagulant and anticoagulant factors constitutes the coagulation cascades, which also utilizes the platelet cell of blood [2].



**Figure 1.** The coagulation cascade of blood. In black are the procoagulant factors, while in grey are the anticoagulant pathways. Thrombin (factor IIa) plays a key role in the cascade it catalyzes the conversion of soluble fibrinogen to insoluble fibrin which eventually leads to clot formation by thrombin activated fXIIIa. It acts as a link between the cascade and platelets for aggregation via binding to glycoprotein Iba (GPIba). It provides positive feedback to the cascade by activating fXI, fVIII and fV, while also providing negative feedback in presence of thrombomodulin via protein C pathway. Thrombin activity can be regulated by serpin-GAG complexes. Image adapted from reference [3].



## 1.2 The Coagulation Cascade

The coagulation cascade is composed of several proteins, which are freely floating within the blood in their inactive state or zymogen form (Figure 1). Upon damage or during pathological conditions, these zymogens get activated in a sequential step by step means via either the intrinsic pathway (contact activation pathway) or the extrinsic pathway (tissue factor pathway).

In event of injury, there is impairment to the endothelium which exposes the subendothelial tissues (primarily composed of smooth muscle cells). These subendothelial cells promote rapid expression of tissue factor (TF) on their exterior, which acts as a receptor for factor VII in leading to its activation to form activated factor VIIa. The process form TF-VIIa complex which is the extrinsic tenase complex that is responsible of activating factor X in the common pathway [6]. Conversely, the intrinsic pathway gets activated once collagen (or other anionic elements such as dextran sulfate) become available to generate a complex with high-molecular weight kininogen (HMWK), prekallikrein and factor XII [7]. Once the complex is formed, prekallikrein is activated to kallikrein and factor XII becomes activated to form factor XIIa. Then factor XIIa itself catalyzes the activation of factor XI to factor XIa, which afterward initiates activation of factor IX to factor IXa. The activated factor IXa then is capable of activating factor X of the common pathway [8].

Both the extrinsic and intrinsic pathways lead to activation of factor X, to factor Xa which initiate the common pathway. Activated factor Xa catalyzes the conversion of prothrombin (factor II) to thrombin (factor IIa). Thrombin itself exhibits numerous of functions within the cascade (Figure 1): (i) Thrombin's main role is to catalyze the conversion of soluble fibrinogen to insoluble fibrin,

which eventually leads to a clot formation which subsequently plug the hemorrhage or injury site; [9] (ii) moreover, it also triggers factor XIII activation to factor XIIIa, that aids in cross-linking of the fibrin; [9] (iii) In addition, it plays a critical role in the coagulation cascade by activating factor VIII [10] and factor V [11] so as to generate a positive feedback that can intensely propagate the formation of the clot by making tenase and prothrombinase complexes; (iv) thrombin by itself is capable of activating factor XI to factor XIa for additional positive feedback; [12] (v) Thrombin also can activate platelets by binding to platelet glycoprotein Iba (GPIba) and clearing PARs which can aid in platelet plug formation through activation and aggregation; [13] (vi) Finally, thrombin as well plays an anticoagulant role through thrombomodulin by activation of protein C, which in turn inactivation's factor VIIIa and factor Va [14,15].

The formed fibrin clot, along with the aggregated platelets lead to the formation of the thrombus, which aids in prevention of blood loss and initiation of wound healing process. Several anticoagulant factors, e.g., serine protease inhibitors (serpins), antithrombin and heparin cofactor II, play an important role in preserving appropriate blood flow in the blood circulation at a distance from the thrombus formation site [16]. Moreover, fibrinolytic pathways plus plasmin can assist in breakdown of preexisting clots to reinstate blood flow [17].

### **1.3 The Platelets**

Bone marrow contains hematopoietic stem cells, which differentiate into matured megakaryocyte cells. The role of these cells is to produce and release platelets into the circulation

[18]. Platelets are the smallest cells found in the circulation and characterized as a nuclear, cells with discoid formed, range from 1-3  $\mu\text{m}$  in diameter and 0.5  $\mu\text{m}$  in thickness [19,20].

Endothelial cells form the layer that line blood vessel walls and perform vital physiological roles and contribute directly in hemostasis by interfacing with blood components (Figure 2) [21]. As clearly known that endothelial cells release nitric oxide and prostacyclin, both of which play a critical role in keeping the platelets in their inactive state. Moreover, under the endothelial cell layer there is a layer of basement membrane. The basement membrane (subendothelium) produces collagen on the surface to which platelets bind through a cell adhesion protein named the von Willebrand factor (vWF), which exists in blood (Figure 2). Hence, under physiological conditions collagen is not in direct contact with blood where platelets are present. But, in the case of wound, collagen comes unprotected and triggers platelet activation due to the presence of vWF in the blood. [22] When platelets get activated, they release alpha and dense granules, which lead to more platelet activation generating a chains reaction (Figure 2). That plays an important role in adhesion and aggregation of platelets as well as procoagulation and repairing mechanism in nature [23]. Aspirin, an antiplatelet drug, affects the biosynthesis of thromboxane (TXA-2) to deliver antiplatelet effects. Platelets activation similarly leaks phosphatidylserine on the platelet surface, leading to fXa activation, which in turn enhances thrombin generation and proliferation of the coagulation cascade to generate a fibrin mesh [24]. The exceptional character of activated platelets is that they display major morphological change with protrusion on their surface. Platelets facilitate a numeral of vascular and cellular responses through several receptors equally. [26,27] There is substantial cross-talk between coagulation factors and platelets through receptors. The platelet receptors that primarily involved in such inter-play include:

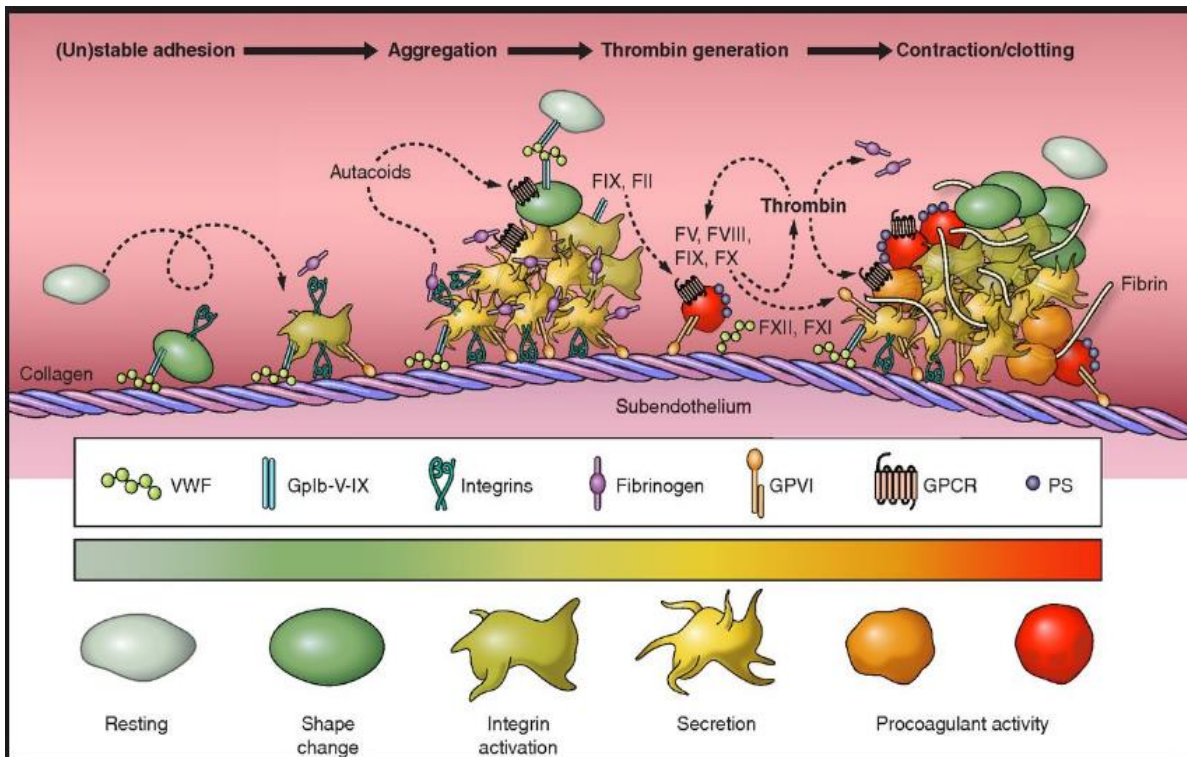
1. Glycoprotein Ib $\alpha$  (GPIb $\alpha$ ) - which is recognized by thrombin, vWF and as well as other coagulation factors, such as factors XIa, XIIa, VIIa and kininogen.

2. Protease activated receptors PAR1, PAR3 and PAR4 – which are receptors for thrombin and are stimulated by thrombin.

3. Integrins like  $\alpha$ IIb $\beta$ 3 – which bind with fibrinogen and vWF for adhesion. One of the most important reactions is the GPIb $\alpha$ -thrombin reaction, which is considered the most vital for

Changing platelet shape is a complex process, that regulated by proteins responsible of actin architecture regulation. [25] Such a platelet is able to clump with platelets to generate the platelet plug platelet-coagulation inter-play. GPIb $\alpha$  is characterized one of the richest surface receptors on platelets and thrombin. When thrombin binds to GPIb $\alpha$  it displays augmented catalytic ability to PAR activation signifying that physiologically this complex might play a central role in engaging platelets throughout hemostasis induced by the coagulation system.

**Figure 2** The role of platelets during injury. From left to right: During normal blood flow, endothelial cells keep the platelets at rest by releasing nitric oxide (NO) and prostacyclin (PGI<sub>2</sub>). The endothelial cells also act as a barrier preventing von Willebrand factor from interacting with collagen. During injury, the endothelial layer is disrupted exposing the collagen to the Von Willebrand factor. Binding of VWF to collagen provides a surface for interaction of platelet surface integrins and glycoprotein I $\alpha$ . This causes the platelets to roll on the vessel wall and be captured via stable adhesion even under flow. Interaction of collagen with GPVI stimulates the spreading of the platelet and activation, which in turn releases feedback agonists ADP and thromboxane-2 (TXA-2) causing recruitment of more platelets for activation. Furthermore, activation of platelets exposes phosphatidylserine on platelet surface which provides a procoagulant surface for thrombin activation. Together, aggregation of activated platelets and

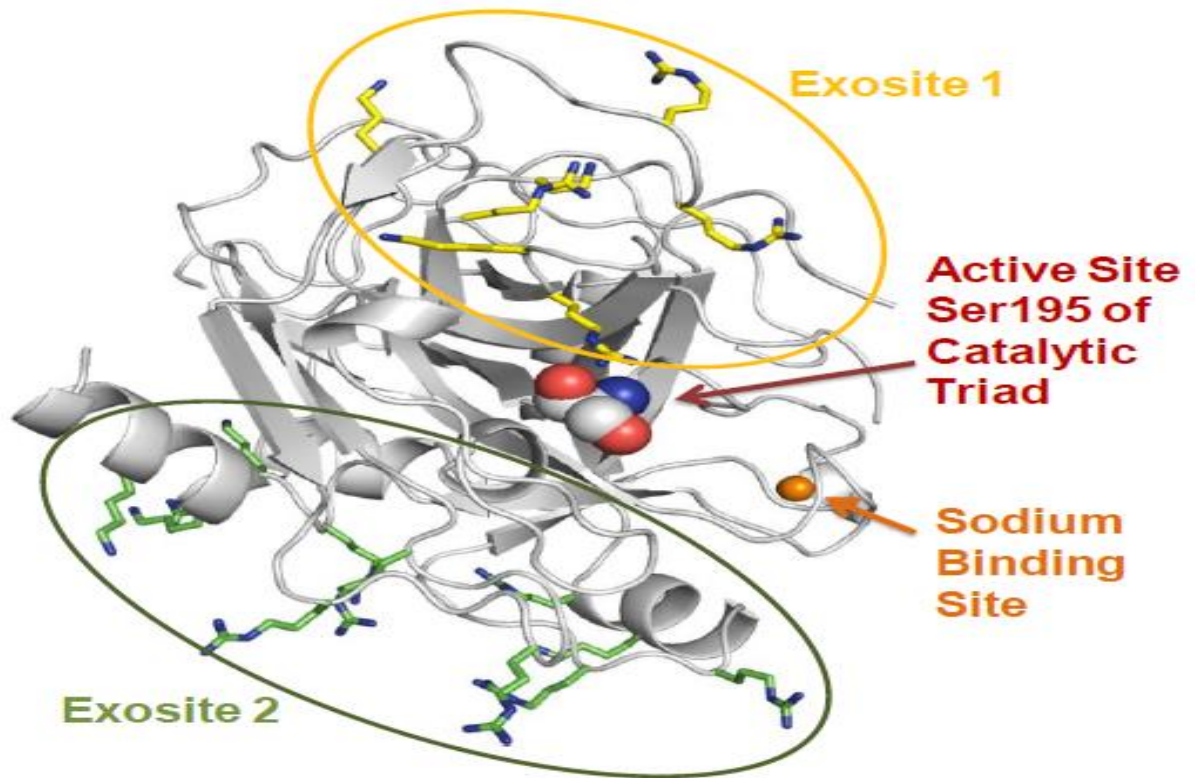


thrombin mediated fibrin mesh formation lead to formation of platelet plug.

#### **1.4 Synthesis and Structure of Thrombin**

Thrombin is synthesized by enzymatic cleavage of two sites on prothrombin by activated FXa. Activated factor Xa's activity is significantly boosted by binding to activated factor V (Va), which in turn form the complex prothrombinase. In fact, prothrombin is synthesized in the liver and is co-translationally modified by vitamin K-dependent reactions that convert ten glutamic acid residues present on prothrombin to gamma- carboxyglutamic acid (Gla). In the presence of calcium, the Gla residues tether prothrombin to phospholipid bilayers. Absence of vitamin K or infusion of the warfarin as an anticoagulant inhibits the biosynthesis of Gla residues resulting in slowing the activation of the coagulation cascade. The molecular weight of Prothrombin is 72,000 Da. However, when the catalytic domain is released from both sites 1 and 2 of prothrombin, thrombin will be formed as an active enzyme has a molecular weight of 36,000 Da.

Activated thrombin possesses an amino terminal light chain ("A" chain ~6,000 Da) and a carboxy terminal heavy chain ("B" chain ~31,000 Da). Both chains are covalently connected through only one disulfide bond. [32] Architecturally, thrombin comprises an active site with a catalytic triad, a sodium binding site and two allosteric electropositive sites, called exosite 1 and exosite 2 (Figure 3).



**Figure 3.** The structure of human thrombin, showing the presence of a Ser195 at the active site catalytic triad, a sodium binding site located close by, and two electropositive allosteric sites called exosite 1 and exosite 2.

### 1.5 Thrombin Catalytic Triad and Mechanism

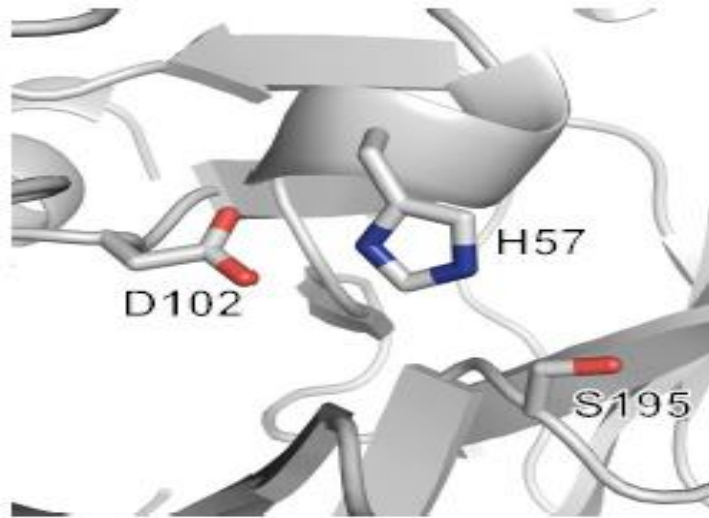
Thrombin is a serine protease. A definite Ser residue (Ser195) chymotrypsin number in the active site is critical for its protein cleaving catalytic activity (Figure 4A) [33]. This residue is a fragment of a catalytic triad composed of Ser195, His57 and Asp102 (chymotrypsin numbering), the chief player in the catalytic machinery in the serine proteases is the catalytic triad, which is the active site of the enzyme, in which catalysis happens, and is conserved in all superfamilies of serine proteases. These three-amino acids considered the most important amino acids; each has a vital

role in the cleaving capability of the proteases. Although the triad amino acids are located apart from each other on the protein sequence, due to the protein folding, they occur nearby each other, in the heart of the enzyme. The triad amino acids geometries are highly representative to their specific function. In the occasion of catalysis, a well-organized mechanism happens. The catalysis of the peptide cleavage can be pictured as a ping-pong ping-mechanism of catalysis, that allows a substrate to bind and produce a product (the N-terminus "half" of the peptide), while, another substrate binds (in this case, water), to release another product (the C-terminus "half" of the peptide). Therefore, each amino acid in the triad accomplishes an exact job:

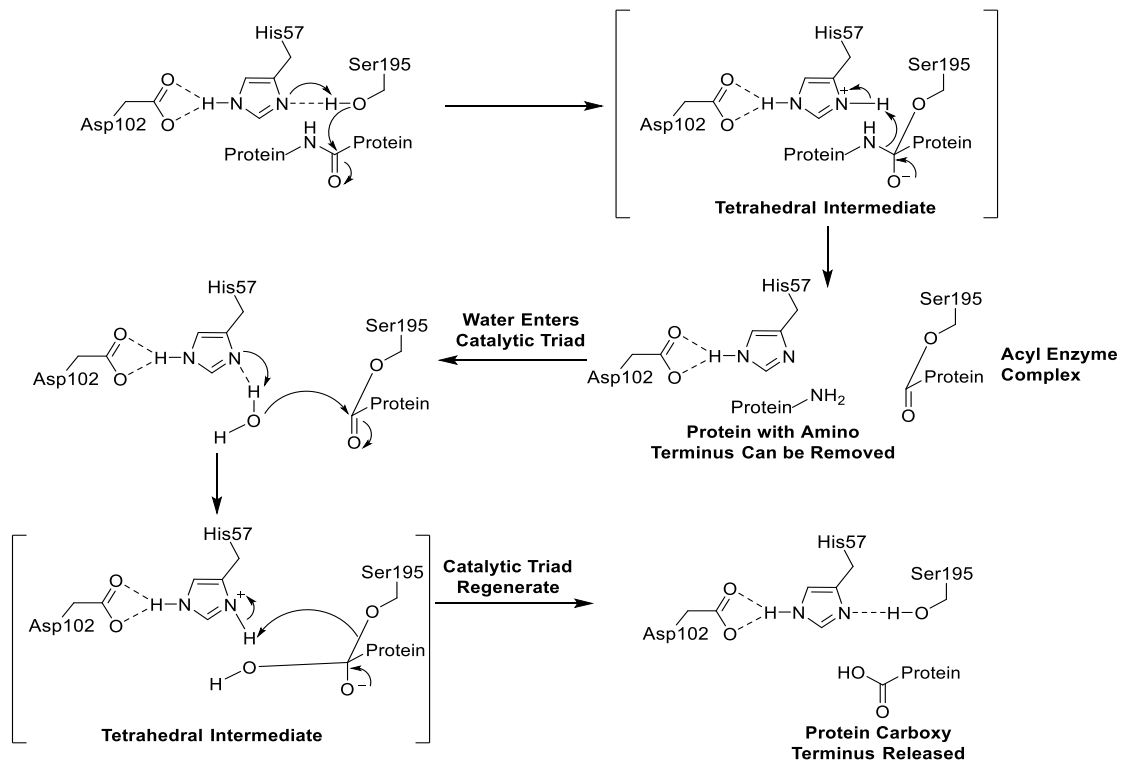
- OH- group on the Ser acts as a nucleophile, reacting with the carbonyl carbon of the scissile peptide bond of the substrate. A couple of electrons on the histidine nitrogen has the capability to take the hydrogen from the serine -OH group, hence organizing the attack of the peptide bond.
- Aspartic acid carboxyl group exchange hydrogen bonds with histidine, generating the more negatively charged nitrogen atom. The entire process can be picturized as shown in red.



(A)



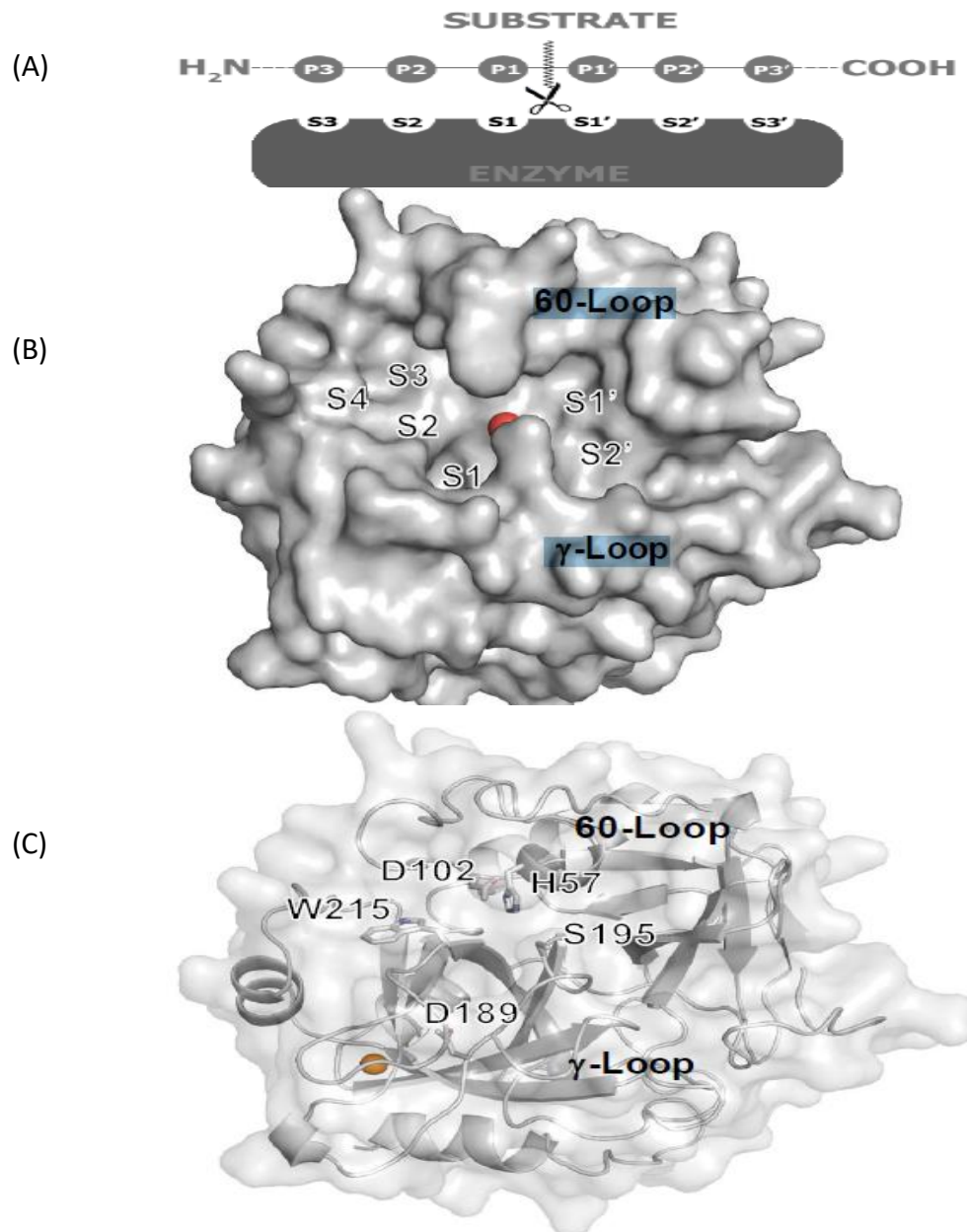
(B)



**Figure 4.** Serine Protease Catalysis (A) Catalytic triad as observed in thrombin consisting of Ser195, His57 and Asp102. (B) Mechanism of catalytic-triad mediated peptide bond cleavage in serine proteases.

## 1.6 Thrombin active site structure

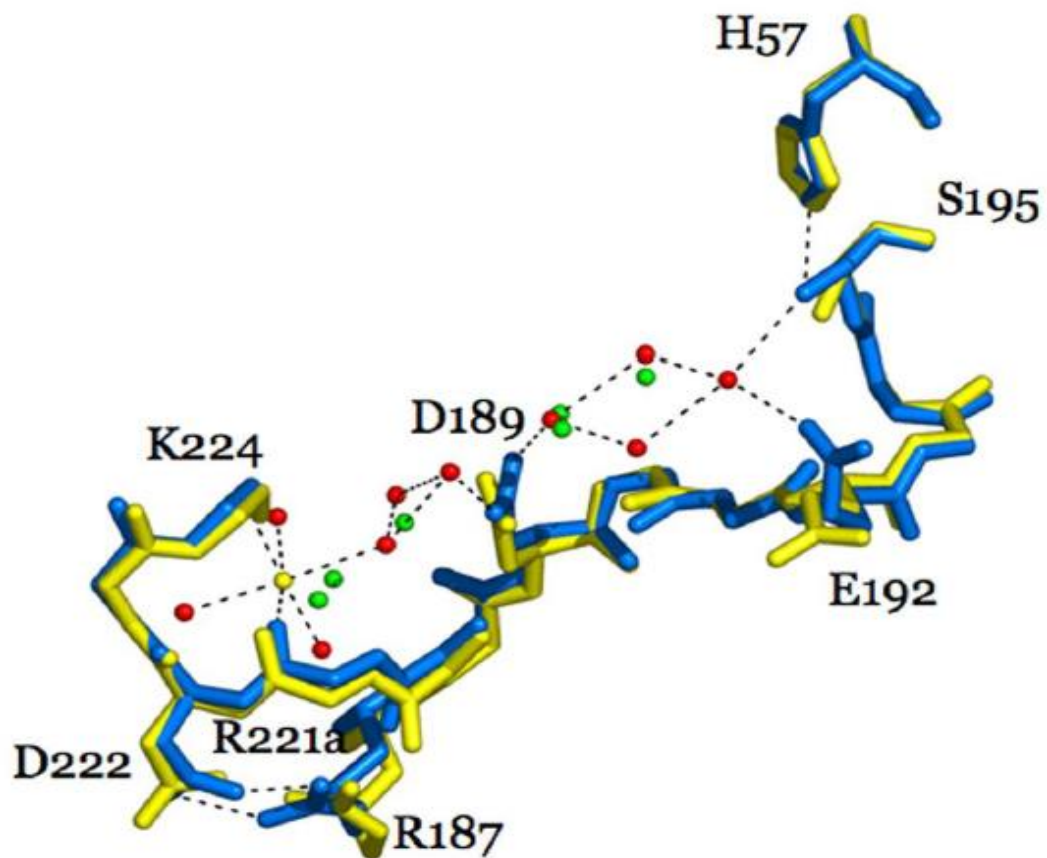
The active site of thrombin can be characterized according to nomenclature suggested by Schechter and Berger nomenclature (Figure 5A) [35]. According to this nomenclature, the active site of a protease consists of subsites (S), which recognize different substrate residues. The subsites that bind residues on the N-terminal site of the substrate are numbered as, S1, S2, S3...Sn; while those that recognize residues toward the C-terminal site are numbered S1', S2', S3'.... Sn; where S1 - S1' represents the substrates that bind to the scissile bond labeled as P1 – P1'. Thrombin has an acidic Asp189 residue at the S1 pocket, which categorizes it into the trypsin family of serine proteases (Figure 5C). Yet, unlike trypsin, thrombin is extremely selective in cleaving Arg/Lys-Gly P1-P1 bonds. More importantly, thrombin cleaves two precise Arg-Gly bonds out of 181 Arg/Lys-Xaa probable bonds on fibrinogen to yield fibrin [36]. However, S1 pocket of the thrombin can perhaps house extra larger P1 groups compared to trypsin [37]. Above the S1 pocket is a Trp215 residue which forms the hydrophobic base for the S2 and S4 binding pockets, respectively (Figure 5C). The active site of thrombin generates a deep cleft to accommodate the 60-loop above and the  $\gamma$ -loop below. (Figure 5B). The function of these loops is to help increase thrombin specificity for substrates [38].



**Figure 5.** Active site of thrombin. (A) a structural depiction of the Schechter and Berger nomenclature of serine protease active site pockets (depicted as S3, S2, S1, S1'; S2', and S3' on the enzyme) in relation to the protein substrate residues (depicted as P3, P2, P1, P1', P2' and P3'). (B) A surface model of thrombin showing the different pockets of the active site with the Ser195 of the catalytic triad in red. (C) The underlying chain structure and the defining amino acid residues of the corresponding active site pockets.

### **The Sodium Binding Site**

The Na<sup>+</sup> binding site is located at approximated 15 Å from the catalytic triad, and triad is formed three antiparallel β-strands of B- chain (Met180-Tyr184a, Lys224- Tyr228, and Val213-Gly219), which diagonally intersect with the Glu188-192 strand (Figure 6). Sodium binding at this site is considered to be very selective compared to other monovalent cations similar. Also, sodium associates with the main oxygen atoms of Lys224, Arg221, and four molecules of water. The complex is facilitated hydrogen by bond with the Asp189, which in turn generate a charge-relay system. Hence, Na binding triggers a shift from a “slow” to “fast” form of thrombin. However, Substitution of Na<sup>+</sup> by other cations, such as K<sup>+</sup> shifts thrombin to an inactive and slow mode [39].



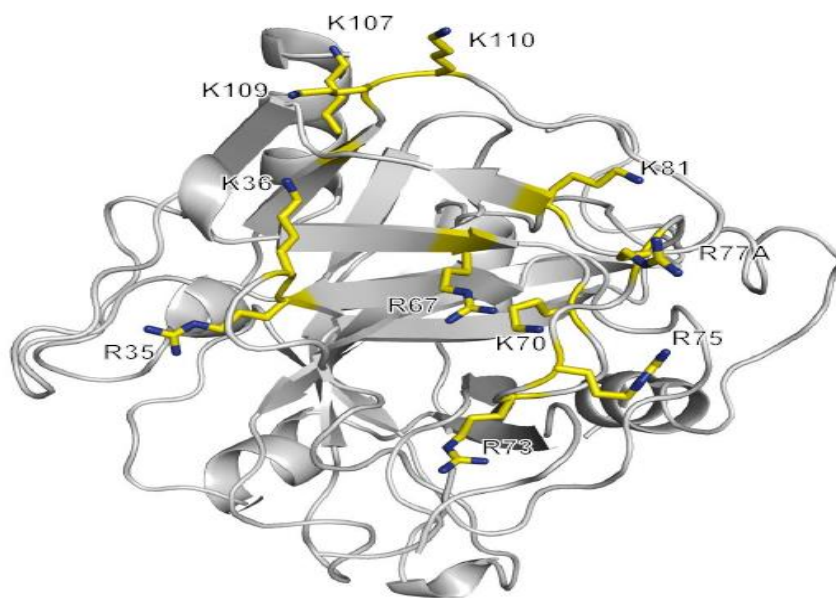
**Figure 6.** Structural difference due to sodium binding relays into the catalytic triad. An overlay of the fast sodium-bound form of thrombin (protein chain in blue, sodium as yellow ball, and waters as red balls) and the slow sodium-free form of thrombin (protein chain in yellow, waters as green balls) highlights the presence of a water mediated switch which links the sodium to the catalytic triad of thrombin. Image is taken from reference [40].

### **Exosite 1**

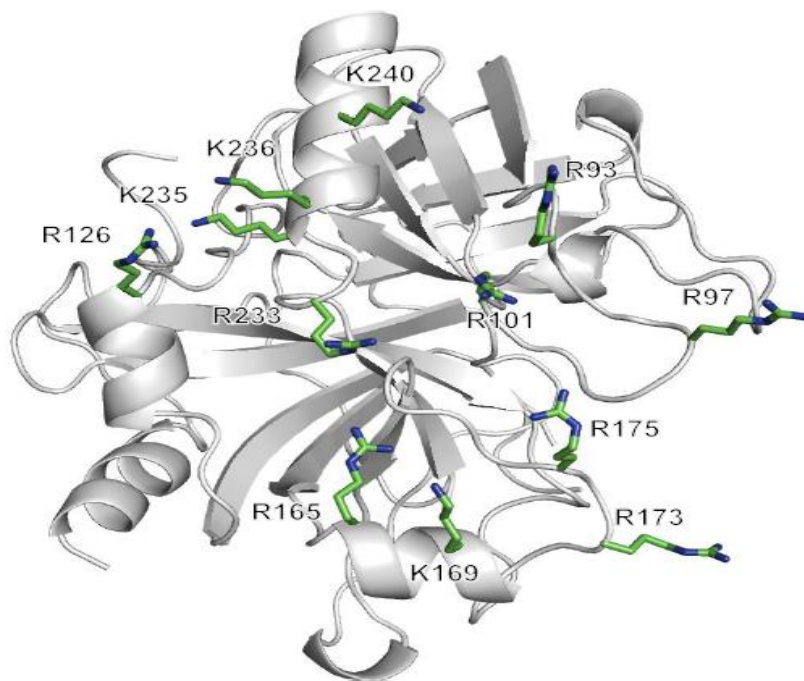
Exosite 1 considered electropositive in nature due to the abundance of positively charged residues, such as Lys36, Lys70, Lys81, Lys107, Lys109, and Lys110 along with Arg67, Arg75, Arg77 [32]. Exosite 1 is estimated to be 20 Å from the active site. This site considered to be vital for the fibrinogen conversion to fibrin by the thrombin (Figure 7).

### **Exosite 2**

Exosite 2 characterizes the largest and most positively charged site. It is a location adjacent to the C-terminus of thrombin heavy chain. The positively charged residues at exosite 2 contain Arg93, Arg97, Arg101, Arg126, Arg165, Lys169, Arg173, Arg175, Arg233, Lys235, Lys236 and Lys240 [32,47]. Also, this site known as heparin-binding site. The presence of highly positively charged residues at this site is critical to attract a negatively charged molecules, such as heparin. Moreover, this site could interact with GPIIb/IIIa of platelets receptor, [49] prothrombin [48], sulfated thrombomodulin [50], factor VIII [44], and other endogenous ligands.



**Figure 7.** Structure of human thrombin showing all electropositive residues (arginines and lysines) present on the exosite 1 (in yellow).



**Figure 8.** Structure of human thrombin showing all electropositive residues (arginines and lysines) present on the exosite 2 (in green).

## **1.7 Thrombin Allostery**

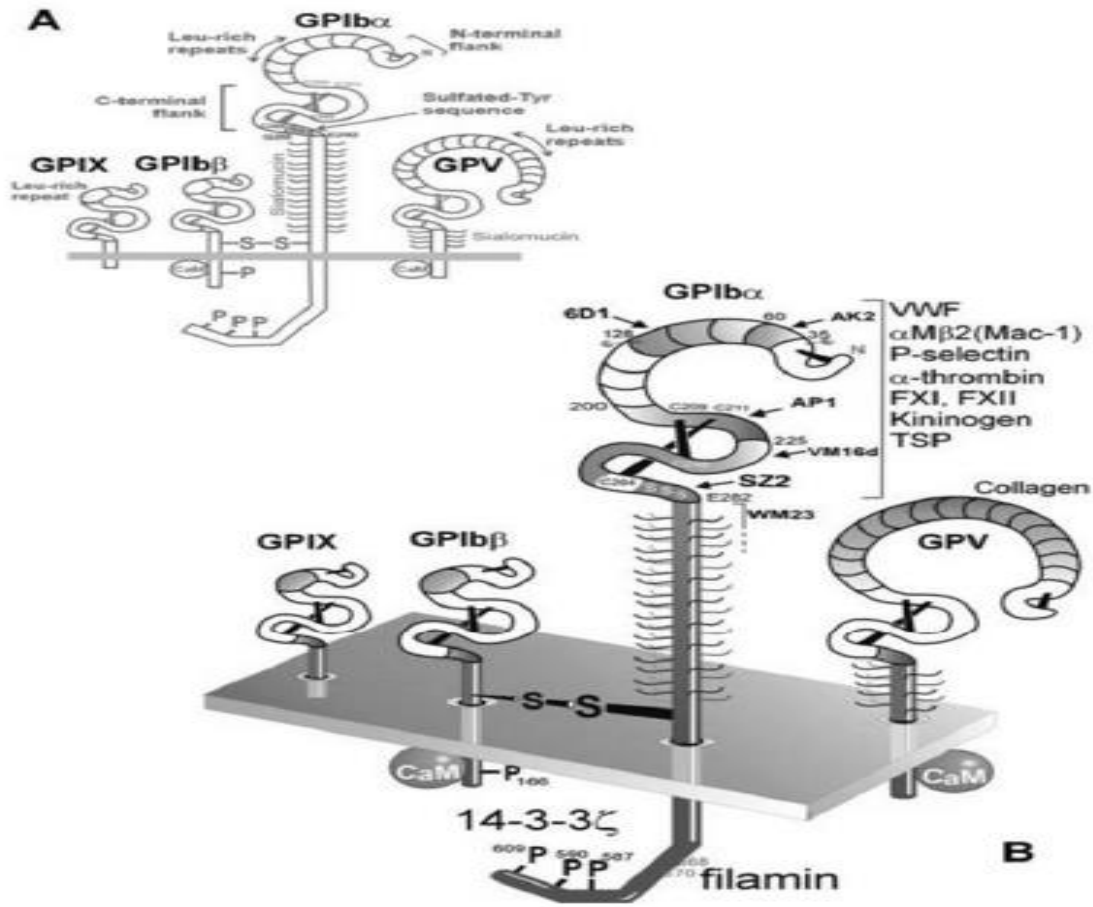
Allostery is the phenomenon where the ligands can bind to a site away from the active site and its binding will affect the enzyme or the protein activity. Thrombin is considered as an extremely flexible enzyme [51], therefore, ligands bind thrombin can easily regulate its structural confirmation, especially at the previously mentioned sites, sodium binding site, exosite 1, and exosite 2.

## **1.8 GPIb $\alpha$ structure and Functions**

Glycoprotein Ib $\alpha$  consists of 626 amino acids and is located on the surface of platelets. GPIb $\alpha$  forms GPIb-IX-V complex (Figure 9) [52,53]. GPIb $\alpha$  contains four main domains including:

N- terminus, the sialomucin core domain, the transmembrane domain, and cytosolic domain. The GPIb $\alpha$ ; N- terminus is flanged on the extracellular surface to allow interaction with ligands, including Von Willebrand Factor (vWF), thrombospondin (TSP), P-selectin, and integrin alpha the N-terminus signaling functions through GPVI as well as Fc $\gamma$ RIIa. Most importantly, the N- terminus mediates procoagulation function such as the interaction with alpha-thrombin, kininogen, factor XI as well as factor XII.





**Figure 9.** The GPIb-IX-V complex. (A) Cartoon representation of the GPIb-IX-V complex, showing the leucine-rich repeats, disulfide looped N- and C-terminal flanks, sulfated tyrosine containing sequences, and phosphorylation sites of the cytoplasmic tails. (B) Shows the functional binding sites of various ligands on the GPIb-IX-V complex. CaM: Calmodulin; fXI: factor XI; fXII: factor XII; TSP: Thrombospondin-1; VWF: von Willebrand factor. Image taken from reference [96].

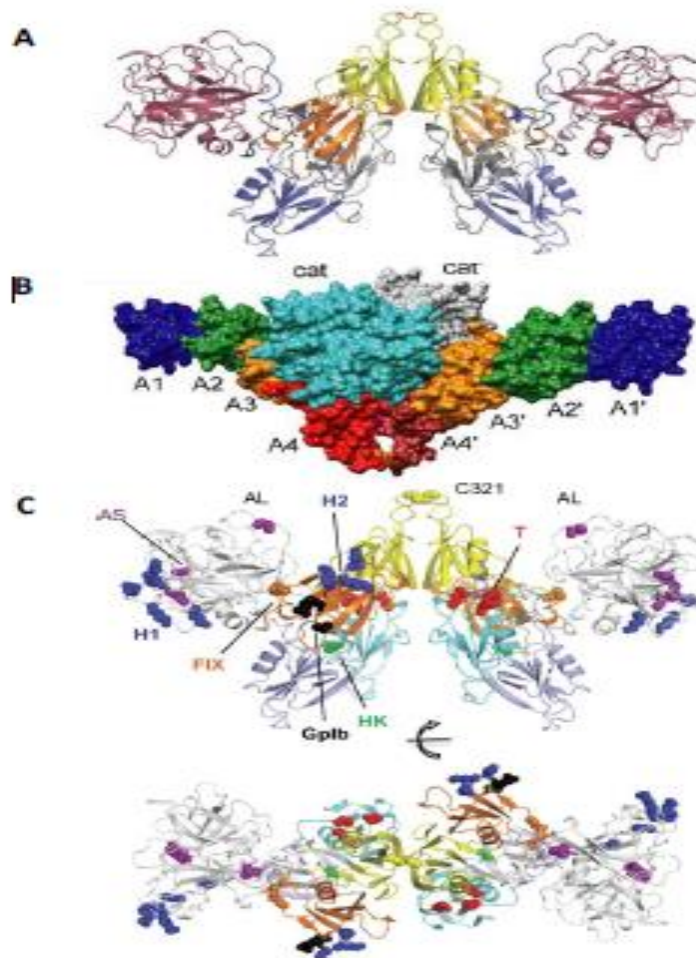
## **1.9 Thrombin Interaction with GPIIb/IIIa**

In the past three decades, the interaction of thrombin with GPIIb/IIIa has been extensively studied. Mutagenesis studies have shown the binding sites on GPIIb/IIIa to an anionic tyrosine rich peptide of the protein [12]. However, until recently the binding site on thrombin has been extensively discussed. that a such integration will cause some allosteric changes in the activity and specificity of the thrombin [54,55].

## **1.10 Structure and Function of Factor XIa – as an Evolving Target for Prophylactic Anticoagulation**

The role of prophylactic anticoagulant therapy is to be able to maintain thrombosis and hemostasis. Factor XIa is considered one of the factors that can contribute selectively to thrombus but not to hemostasis. Factor XI is an exceptional serine protease with molecular weight of 160 kDa. It is a dimer of identical subunits in contrast to other vitamin-K dependent proteases (Figure 10A). Each subunit of the dimer contains four Apple domains (A1, A2, A3, and A4) with an N-terminus of approximately 90 amino acids. The C-terminus contains catalytic domain. The domains are connected by a Cys321-Cys321 disulfide bond [32,56,57,58].

Factor XI can be activated by factor XIIa, which cleaves the Arg369-Ile370 peptide bond on each subunit. Subsequent to the activation, the enzyme is predicted to experience a dramatic modification triggering structural re-orientation at both catalytic domains of the two subunits. This reorientation brings the two subunits closer to each other (Figure 10B) [59]. In addition, factor XIa has the ability to bind numerous natural macromolecules such as high molecular weight kininogen, [60] thrombin, [130] heparin, [61-62] factor IX, [63-64] Platelet GPIIb/IIIa, [138-139] and apolipoprotein E receptor [21,40] through allosteric sites (figure 10C) [33].



**Figure 10.** Structure of factor XI and XIa. (A) The topology of the factor XI dimer with catalytic domain colored in red, and the four apple domains (gray, blue, orange and yellow) arranged in a cup and saucer arrangement with the Cys321 disulfide bond linking the two sub-units [124]. (B) A factor XIa model created on the basis of small-angle x-ray scattering and electron microscopy data in which the two catalytic domains (cat and cat' in cyan and gray) come close to each other [128]. (C) The factor XI dimer from two perspectives rotated 90° highlighting the binding locations of different modulators of factor XI [126]. Sites for ligand binding are thrombin in red (T), high molecular weight kininogen in green (HK), GPIIb $\alpha$  in black, heparin binding sites in blue (H1 and H2), factor IX in orange (FIX). In purple is shown the activation loop (AL) cleavage site (Arg360-

Ile370) and the active site (AS) catalytic triad (Ser557, Asp462, and His413). Note: All images for this figure are taken directly from literature or corresponding supplementary information.

In the intrinsic pathway, factor XIa triggers the activation of factor IX, while FIXa in turn activates the subsequent coagulation cascade. Therefore, it eventually contributes to the development of a stable clot [65]. Separately from fXIIa, fXI exhibits autoactivation. More interestingly, thrombin produced in small amounts in early stages of coagulation, in an independent manner of factor XIIa, can lead to factor XI activation [64,66]. FXIa is accounted with fast generation of thrombin which is crucial for preserving the integrity of fibrin clot. Therefore, coagulation has a major positive feedback for coagulation. [67] Since, FXIa is linking the extrinsic and the common pathways of the coagulation cascade therefore, targeting a such protease will prevent possible excessive bleeding [68].

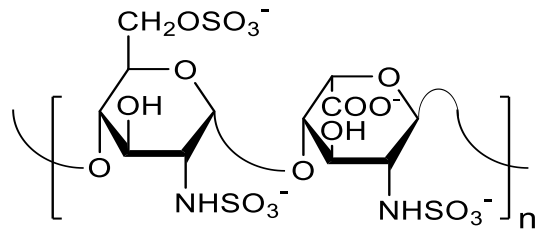
Numerous studies have proven that fXIa is a useful target can be used to develop prophylactic anticoagulant therapy [69]. A pilot study was conducted to test a fXIa deficient mice and compared to wild type mice in FeCl<sub>3</sub> injury assay. Result revealed that the FXIa deficient mice is less susceptible to either arterial nor venous thrombosis [70]. Furthermore, fXI-deficient mice are thought to be healthier, thus inhibiting FXIa would result in safe anticoagulation effect [71]. Alternative studies using deactivating antibodies against fXI have also confirmed a decrease in thrombus development in rabbits [72] as well as in humans. Individuals who have fXI deficiency (hemophilia type C), show minor bleeding phenotype compared to other types of hemophilia [73-74]. These findings provide solid evidence that fXIa inhibition may offer harmless anticoagulant modality [75-76].

### **1.11 Glycosaminoglycans**

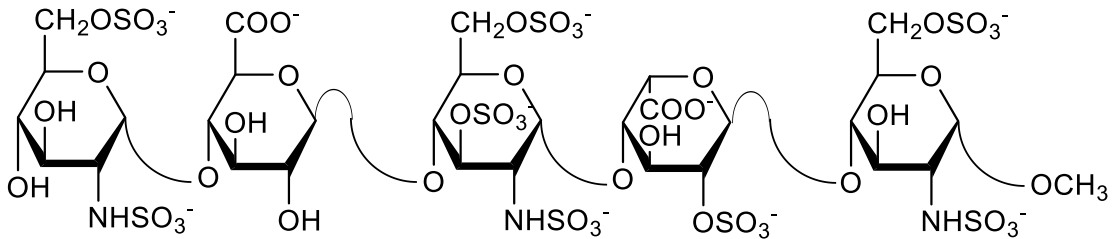
Glycosaminoglycans (GAGs) are a group of negatively charged extended chain, unbranched carbohydrate polymers that exhibit molecular weights ranged between 10 to 100 kDa. GAGs exist on the cell surface in proteoglycan form virtually on all cells as well as in extracellular matrix (ECM) [77-78]. GAGs hydration and structural support. Moreover, sulfated GAGs exhibit additional critical roles in the development, maintenance, and pathophysiology of mammalian tissues. They can be serve as receptors as well as reservoirs via electrostatic interaction with proteins.

#### **Classification of GAGs**

Heparin is considered as major one GAG. Heparin mainly formed by mast cells and is stored in granules to be released into the extracellular space via exocytosis. Heparin is very potent anticoagulant and is extensively used as intravenously infused blood thinner. Heparan sulfate (HS) is structurally related to heparin and possesses extremely diverse biological actions. Heparin as a member of GAGs has the highest sulfation degree and highest charge density compared to any known biopolymer. It associates with a plethora of proteins including coagulation factors, growth factors, cytokines, adhesion proteins, and pathogen-related proteins. The use of heparin for other biological applications is considered limited, partially due to its quick turnover and risks of extensive bleeding upon infusion.



**(A)structure of Heparin**



**Figure 11**

**(B)Heparin pentasaccharide**

### 1.12 GAG Biosynthesis

The biosynthesis of GAGs is achieved enzymatically in a step wise manner, primarily by addition of gathering of monomeric sugar units starting from the non-reducing end. [84] These steps are further modified by enzymatic alterations, including epimerization and sulfation, in order to produce diverse GAG forms [84]. The vast majority of GAGs are formed as proteoglycan [77]. Hyaluronic acid that is not formed linked to a core protein [79].

### 1.13 GAGs Biological Functions

GAGs exhibit numerous functions, such as maintaining structural integrity of biological tissues [78]. Moreover, they interact with macromolecules such as proteins and receptors, as well as cytokines, chemokines, growth factors, enzymes, and adhesion molecules, [5] also, the

have the ability to modify a multitude of processes including coagulation, growth, infection, inflammation, angiogenesis, tumor progression and metastasis[84–88]. Heparin is one of GAGs members has been used as an anticoagulant since 1935 [89]. Subsequently, GAGs have been studied extensively for potential therapeutic use in a number of indications including cancer, wound healing, lung diseases [91–94].

#### **1.14 GAG Interaction with Proteins**

A number of GAG binding proteins have been recognized and studied[18]. Serine proteases, serine protease inhibitors (serpins), growth factors, lipolytic enzymes, extracellular matrix proteins, viral coat proteins, and transcription factors interact with GAG [19]. GAG binding proteins generally have collections of basic amino acid residues on their surface, a feature that has frequently been used to identify GAG binding proteins, as well as GAG binding sites on these proteins[97]. The collection and property of amino acids are critical in determining GAG interaction.[97] For example, if a protein has a surface with broadly spread out cationic residues, it may exhibit better interaction with GAGs with lower sulfation level, such like heparan sulfate, compared to highly sulfated GAG, such as heparin[98].

GAGs have ability to interact with a number of their binding partners due to their highly charged nature. Non-specific electrostatic binding is dependent primarily on charge density of the GAGs [78]. These interactions occur between the acidic sulfate groups and the basic side chains, such as arginine and lysine, that are exposed on the surface of the protein [98]. In some cases, histidine residues are involved [98]. Serine proteases and serpins are the most extensively studied GAGs binding partners and their interactions shine light on GAG-protein interactions.

### **1.15 Serine Protease Inhibitors(Serpins)**

Serpins are natural inhibitors of serine proteases and comprise of prototypical serpins  $\alpha_1$ -antitrypsin, ATIII, heparin cofactor II and protein C inhibitor among many others [34]. Serpins are important in preserving homeostasis in various physiological processes including inflammation, coagulation and digestion. The particular mechanism of inhibition employed by serpins is very unique, which involves substantial conformational changes [111]. Inhibition can be initiated when the reactive site loop of the serpin interacts with the active site of the serine protease, which cleaves the loop [112]. A major conformational change then reveals substantial movement of the protease to the other resulting in irreversible inhibition [111,112].

### **1.16 Antithrombin (ATIII)**

Antithrombin is one of the serpin member and is considered an inhibitor of serine proteases of the coagulation cascade including thrombin, fXa, fIXa, fXIa and fXIIa [125]. Heparin exercises its anticoagulant activity by activating ATIII, which accelerates inhibition of proteases. In fact, ATIII alone inhibits thrombin and fXa slowly. However, in the presence of heparin this process can be enhanced by more than >2000-fold [113]. The inhibition of thrombin, by heparin co-factor II (HCII) can also be enhanced by dermatan sulfate and heparin by more >1000-fold [38]. FXa is inhibited by ATIII in the presence of a pentameric heparin sequence [115–116]. Conversely, thrombin requires a longer sequence of 18 monosaccharide units in order to be inhibited by ATIII [117]. Several studies have introduced a bridging mechanism, in which ATIII and thrombin bind to the same heparin chain to enable the interaction. But, the occurrence of a



conformational change in the reactive center loop of fXa by the short pentasaccharide heparin is important for inhibition [113,118,119].

### **1.17 Glycosaminoglycan Mimetics**

As previously mentioned, GAGs are complex heterogeneous complexes that can interact with a vast number of proteins. However, only few of these GAGs have been extensively studied. One of the reasons is that GAGs are obtained from animal source [120,121]. There is likely to be impurities in GAGs [122–123]. The need to purify GAGs requires several chemical steps on the other hand [124,125]. GAG mimetics, have a vast advantage of overcoming some of the major delays naturally derived GAGs. They are free of impurities. In addition, there is an opportunity of obtaining structurally uniform species, which can help perform studies and reduce off target effect. Further, desired pharmacokinetic properties may be accomplished by the incorporation of diverse chemical moieties, such as hydrophobic moieties into GAG mimetics. There may not be achieved by GAGs [126,127].

Additional challenges exist. There is inadequate structural evidence on the interaction of GAGs with their protein targets [128]. Also, the diversity in GAG sequences makes it problematic to find exact GAG sequences that will produce the anticipated response [128]. Investigators have used computational methods in order to detect GAG sequences that interact with proteins of interest. Yet, there are substantial gaps that continue to exist to date [98–102]. The chief factor here is the flexibility of GAGs complexes [128]. Moreover, chemical synthesis of GAG mimetics is extremely difficult to accomplish. It demands several chemical steps and has low yields [129]. In this respect, GAG mimetics that are not based on a saccharide scaffold, referred to as non-saccharide GAG mimetics (NSGMs), offer substantial benefits [130].

### **1.18 Non-Saccharide GAG Mimetics (NSGMs)**

NSGMs are complexes that exhibit a non-sugar backbone and sulfates, sulfonates, carboxylates and phosphates as a negatively charged groups. At the present time, the investigation of NSGMs is in its premature steps [130]. Yet, this is a promising area for the expansion of compounds that control the activity of GAG binding proteins for several reasons. Primarily, these molecules are not difficult to synthesize. They are homogenous. They can be sustained in high yields and in high purity. Moreover, the synthesis can lead to structural analogs, and later, generation of chemical libraries that can help understand the structural activity relationships. Furthermore, computational studies of these molecules can be easier to handle compared to GAGs as they exhibit much less structural complexity.

Additional benefit of NSGMs is that their site of interaction on the proteins is allosteric site not to the active sites. Allosterism results several benefits over orthostery. First of all, allosteric sites are less preserved than orthosteric sites, therefore, targeting allosteric sites is possible to result in more selective compounds [131–132]. Additionally, orthosteric inhibition will lead to a total loss of the activity; however, in allosteric inhibition, there can be submaximal inhibitor efficacy. Accordingly, allosteric inhibitors can normalize and not completely inhibit activity. The purpose of designing NSGMs is to target proteins of the coagulation cascade, inflammatory processes, cancer and infection among others.

### **1.19 The Role of NSGMs in Hemostasis**

All current anticoagulants, such as heparins and vitamin K antagonist, warfarin, continue to be the backbone of anticoagulant therapy [108]. These drugs act through an indirect mechanism to convey inhibition of a several of coagulation enzymes. However, their use leads to several serious side effects such as excessive bleeding risk and unpredictability of patient response. Moreover, continuous monitoring is mandatory, particularly with warfarin, because of its narrow therapeutic window [133]. It was proposed that direct inhibitors of the coagulation cascade enzymes would improve anticoagulants as they would have to act more precisely by targeting specific enzymes of the coagulation cascade. Nevertheless, these drugs still possess some bleeding risk [134]. Therefore, the need for new anticoagulants continues. Thus, it is not unusual astonishing that the coagulation is a major area for which NSGMs have been studied. Therefore, several NSGMs have been discovered and designed to target coagulation cascade proteins, such as ATIII, thrombin, factor Xa, factor XIa and plasmin, and their structures and properties have been reported.

## Chapter 2: Rationale

### 2.1. Background

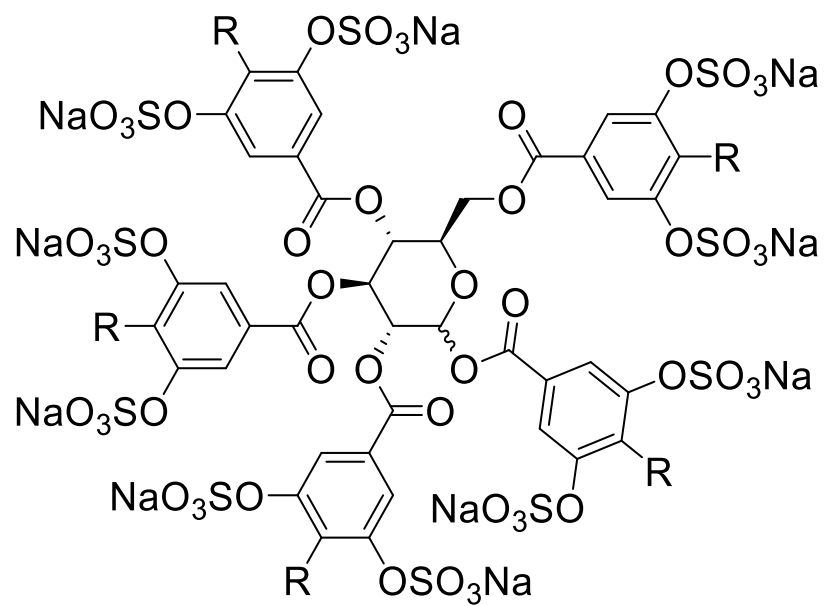
The occurrence of thrombosis in numerous cardiovascular disorders has led to an anticoagulant market of more than 10 billion dollars [3]. The clinically available anticoagulants such as heparins, coumarins, and other synthetic peptidomimetics mainly target specific factors (thrombin and/or factor Xa) within the coagulation cascade [1,2]. Regardless of their clinical achievement, every individual agent is accompanied with several side effects, particularly major and/or minor bleeding [3,4]. Additionally, less desirable effects like osteoporosis, thrombocytopenia, inconsistent patient response, drug- food and drug-drug interactions, or absence of antidote [5]. Bleeding along with the lack of antidote to reverse bleeding also present recent target-specific anticoagulants such as fondaparinux, dabigatran, rivaroxaban, and apixaban, in spite of their overall enhanced safety profile in contrast to the older anticoagulants.

Numerous indications suggest that factor XIa (fXIa) is a target to advance a new line of anticoagulants with possibly negligible risk of bleeding [6, 7]. For instance, hemophilia C patients with inherited fXI deficiency suffer minimal bleeding and severe absence of fXI has been resolved via the use of fXI concentrates [8–10]. Several epidemiologic studies have shown that fXI-deficient patients are less vulnerable to venous thrombosis [11], and ischemic stroke [12], whereas on the other hand, higher levels of fXIa induce higher risk for cardiovascular diseases in women [13]. Supportive studies have been conducted in humans, and animal models of arterial, venous, and cerebral thrombosis have confirmed fXIa as a promising drug target [14]. Finally, the inhibition of fXIa seems to only affect the abnormal coagulation process (thrombosis) without affecting physiologic process (hemostasis) [16, 17].

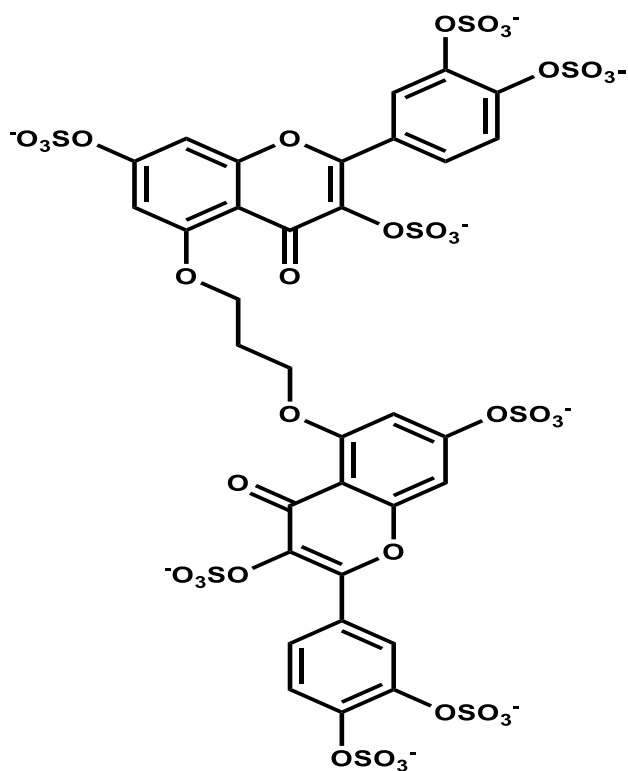
An NSGM studied in this project is a Sulfated Pentagalloyl  $\beta$ -D-Glucopyranoside SPGG analog, called SMI, that was developed in the Desai lab we wanted to evaluate whether SMI exhibits properties similar to SPGG as an anticoagulant targeting fXI (Figure 12).

This work helps to understand the ex vivo and in vivo coagulation properties of SMI. Another part of the project is directed to understand the difference between lab synthesized and CRO-synthesized sample of an NSGM called G2.2. The Desai lab has published earlier that G2.2 is a potent anti-cancer stem cell agent (Patel, et al 2014). To advance this research to the clinic, the Desai lab asked the CRO to prepare G2.2 on a 20g scale. When the CRO synthesized G2.2 on higher scale, the product exhibited a pale-yellow color, while the G2.2 made in Desai lab was white. When toxicity studies were performed by the Center Animal Line of Massey Cancer Center, G2.2Y (yellow) displayed higher vascular bleeding property in comparison to G2.2W (white). UPLC-MS analysis in the Desai lab revealed that G2.2Y was 85% pure, while G2.2W was 100% pure. We wanted to evaluate whether G2.2Y induces dysfunction of coagulation in vitro. Overall, this work is a study of the coagulation profile of SMI, G2.2Y, and G2.2W. We have used an experimental protocol of in vivo, ex vivo, and in vitro to evaluate the nature of coagulation effect. The inquiries that were set up here as follow: (a) can these compounds induce inhibition of fXIa? (b) Is inhibition concentration dependent? (c) Is inhibition selective to fXIa? (d) IS bleeding a consequence arising out of fXIa inhibition? These experiments will not only aid resolve coagulation related aspects of these NSGMs but may also help recognize a new class of antithrombotics. Furthermore, such studies may highlight major protein- protein interaction to target so as to derive compounds with variable functions.

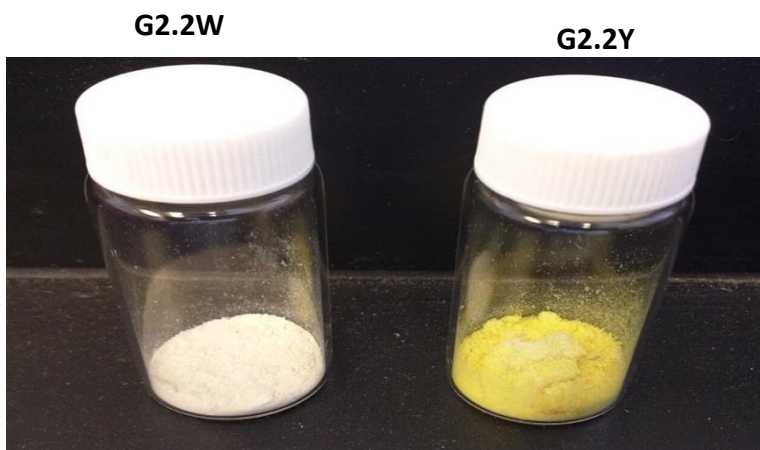
**Figure 12** SPGG structure where is R = OH or OSO<sub>3</sub>Na



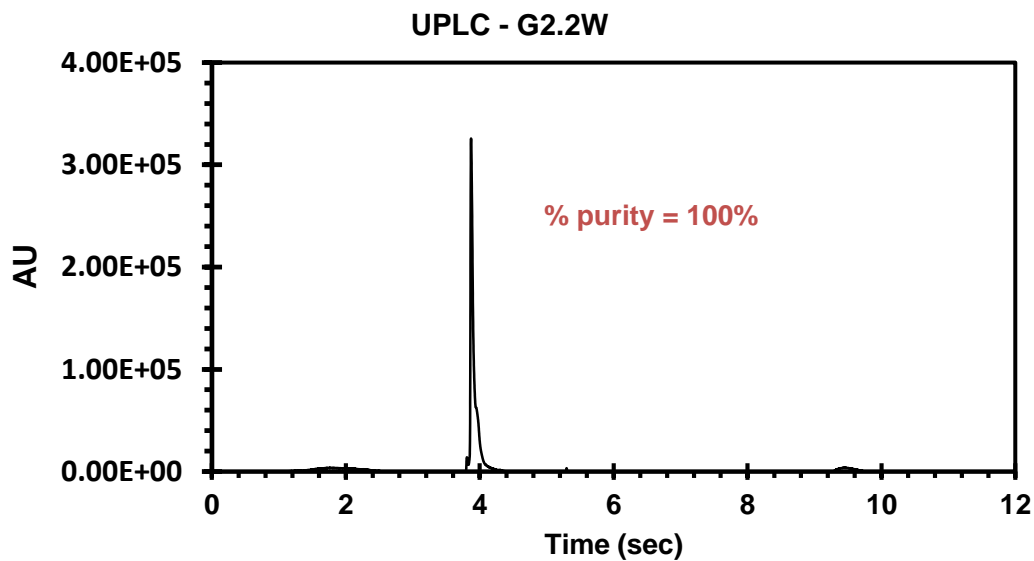
### Structure of G2.2



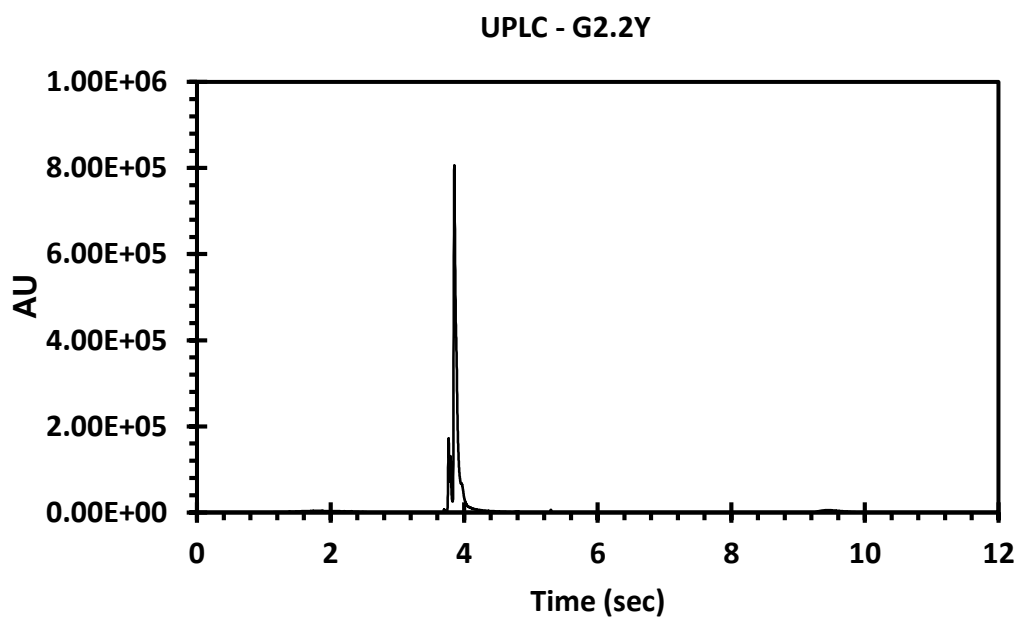
**Figure 13** showing general chemical structure of G2.2 the only different between G2.2W and G2.2Y is one sulfate group.



(A)



(B)

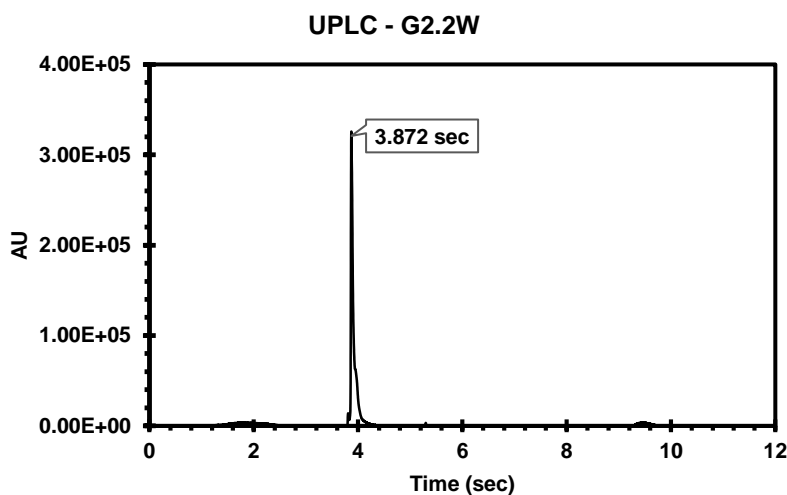


**Figure 14.** UPLC analysis was done on both G2.2W and G2.2Y. The compounds were run on a C18 column equilibrated with an iron pairing agent (hexylamine) G2.2W gave one peak whereas G2.2Y gave two peaks indicating the presence of an impurity MS analysis showed that the impurity present in G2.2Y contained one less sulfate group than G2.2W.

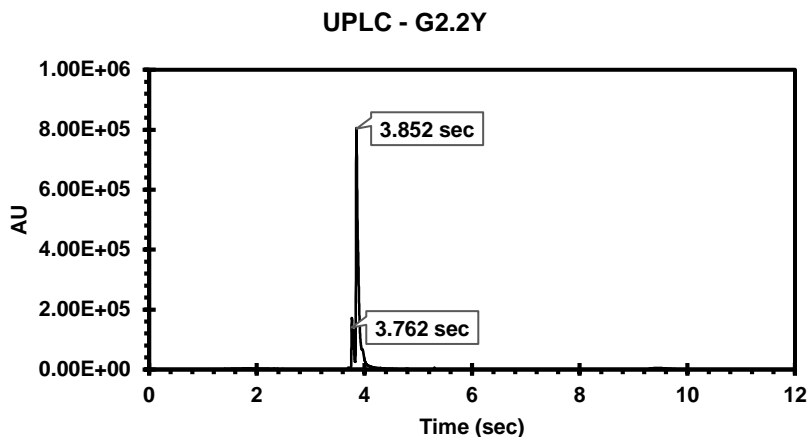


### UPLC-MS characterization of G2.2W and G2.2Y:

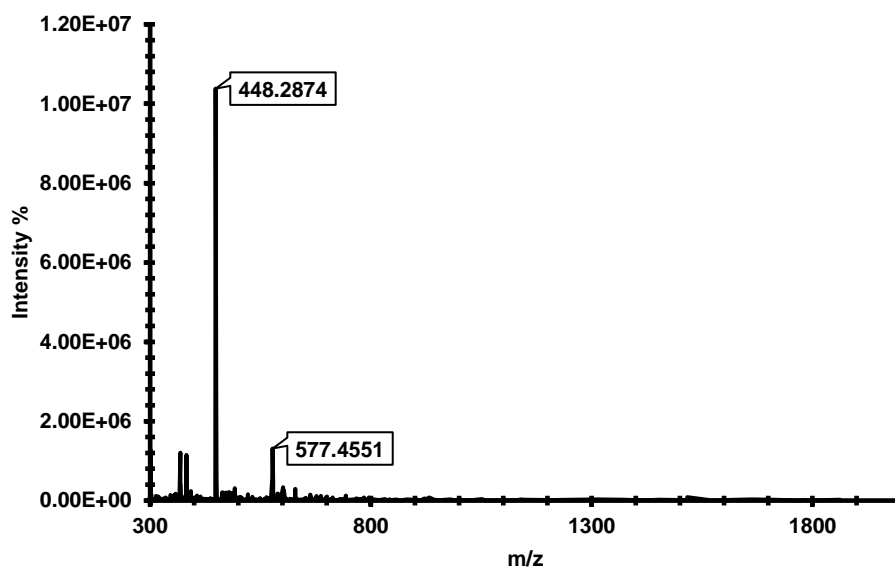
Waters Acquity H-class UPLC system equipped with a photodiode array detector and triple quadrupole mass spectrometer was used for characterization of G2.2W and G2.2Y. A reversed-phase Waters BEH C18 column of particle size 1.7  $\mu\text{m}$  and 2.1 mm x 50 mm dimensions at  $30 \pm 2^\circ\text{C}$  was used for separation. Solvent A consisted of 25 mM *n*-hexylamine in water containing 0.1% (v/v) formic acid, while solvent B consisted of 25 mM *n*-hexylamine in acetonitrile. Resolution of samples into distinct peaks was achieved with a flow rate of 500  $\mu\text{L}/\text{min}$  and a linear gradient of 3% solvent B over 20 min (initial solvent B proportion was 20% v/v). The sample was first monitored for absorbance and then directly introduced into the mass spectrometer. ESI-MS detection was performed in positive ion mode for which the capillary voltage was 4 kV, cone voltage was 20 V, desolvation temperature was  $350^\circ\text{C}$ , and nitrogen gas flow was maintained at 650L/h. Mass scans were collected in the range of 300-200amu within 0.25 s.



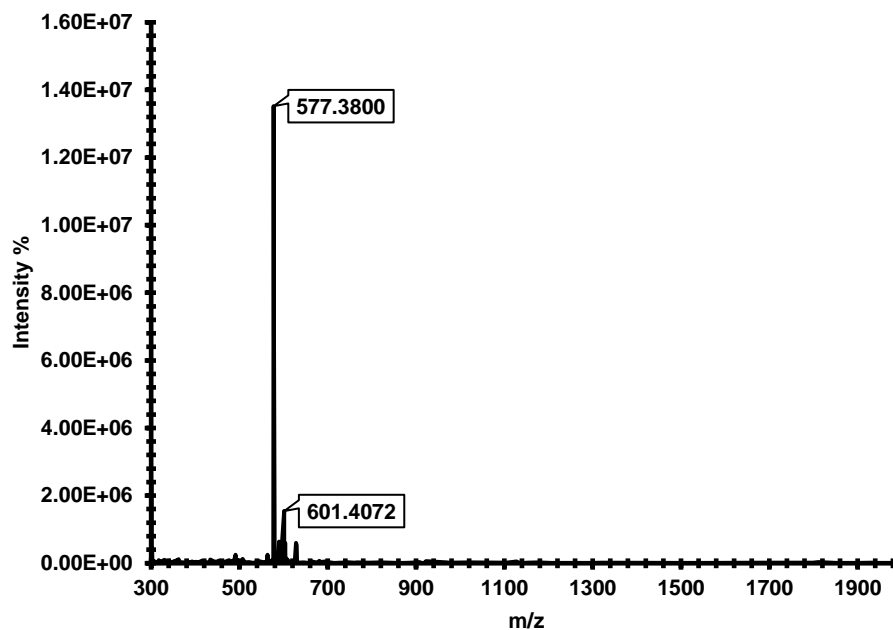
**Fig 15:** UPLC analysis of G2.2W showing a single peak



**Fig 16:** UPLC analysis of G2.2Y shows two peaks indicating a mixture of compounds (G2.2W and G2.2Y).



**Fig 17:** Mass spectrum of peak eluting at 3.852 sec (Fig. 15) gives a m/z of 448.2874 corresponding to a fully sulfated molecule. MS (ESI) calculated for  $C_{87}H_{160}N_9O_{38}S_8^+[M+(n+1) HA]$  m/z 448.6666, found m/z 448.2874 (n=number of sulfate groups, HA=mass of hexylamine).



**Fig 18:** Mass spectrum of peak eluting at 3.762 sec (Fig. 15) gives a m/z of 577.3800 corresponding to a molecule with 7 sulfate groups. MS (ESI) calculated for  $C_{81}H_{145}N_8O_{35}S_7^+[M+(n+1) HA]$  m/z 577.7500, found m/z 577.3800 (n=number of sulfate groups, HA=mass of hexylamine),( Data and experiment were performed and collected by Mr. Morla in Desai lab ).

## **Chapter 3: Characterization of the Anticoagulation Profile of G2.2 in Plasma and Blood**

### **3.1 Introduction**

Thrombin and factor Xa, are two important enzymes of the coagulation cascade, that have been targets by anticoagulation drugs for a long time [189]. Both enzymes can be inhibited directly or indirectly. Conventional anticoagulants, including heparin, low-molecular-weight heparin (LMWH) and warfarin can initiate their indirect inhibition through intermediate co-factor, such as antithrombin or vitamin K. In the past seven decades, these indirect inhibitors have been the backbone of anticoagulant therapy. Thus, they exhibit some limitations, such as enhanced bleeding risk, variable response, heparin-induced thrombocytopenia and lack of inhibition of clot-bound thrombin.

Conversely, direct inhibition of thrombin and factor Xa has been thought to be a better alternative, which offered an important advantage of inhibition of both circulating and clot-bound thrombin. Hirudin is one of the classical members of inhibitors, which target the active-site and exosite 1 of thrombin, and several derivatives of this peptide are now clinically available [37]. Intensive efforts are also being made to advance the first orally bio-available thrombin inhibitor. These molecules are small pro-drugs that target the active site of these enzymes. However, the challenges with these molecules, are enzyme binding affinity that is not associated with excessive bleeding, accomplishing inhibition of both clot-bound and unbound proteinase, and without liver toxicity [37].

Conventional anticoagulant, heparin or LMWH, is considered the anticoagulant of choice due to its good efficacy and easy availability. Heparin is a linear polymer of glucosamine (GlcNp) and

iduronic acid(IdoAp) residues linked in a 1—4 manner [27]. Also, heparin is a complex heterogeneous, ploydisperse molecule. Furthermore, the high sulfation level of heparin produces massive electronegative charge density, thus presenting a capability to bind to a huge number of proteins in the plasma [29], a likely reason for some of its side-effects.

In order to decrease these limitations of heparin therapy, we have focused on designing scaffolds that possess lower anionic character, but have more hydrophobic, and hydrophilic nature. As well as retain the function and structure to bind into fXIa heparin binding sites [90-94]. In this process, we chose to explore NSGMs derivatives including sulfated pentagalloyl  $\beta$ -D-Glucopyranoside (SPGG) analog SMI and G2.2, which was developed in Desai lab and reported earlier as a potent anti-cancer stem cell agent (ACS). Another study was performed to test G2.2 analogs in direct inhibition of fXIa by chromogenic substrate hydrolysis assay for fXa, fXIa, and thrombin in the presence and the absence of antithrombin. The result suggested that both G2.2 analogs did not inhibit neither fXa nor thrombin even through in the presence of antithrombin in a concentration up to 500  $\mu$ M. However, the assay showed that G2.2Y inhibited fXIa almost 2-fold better than G2.2W. In addition, we conducted UPLC-MS characterization to inspect the variation between the two G2.2 samples G2.2Y and G2.2W. The data revealed that G2.2Y was an 85 :15 blend of two compounds. Nonetheless, elemental NMR and MS information offered that G2.2W was fully sulfated flavonoid derivatives as expected, while G2.2Y had one less sulfate group. Therefore, depth studies are necessary to understand the specific structure and the mechanism of these NSGMs. Our results put forward the suggestion that the loss of one sulfate group induces substantial adverse effects and lead to a discovery of a new anticoagulant drug design. These

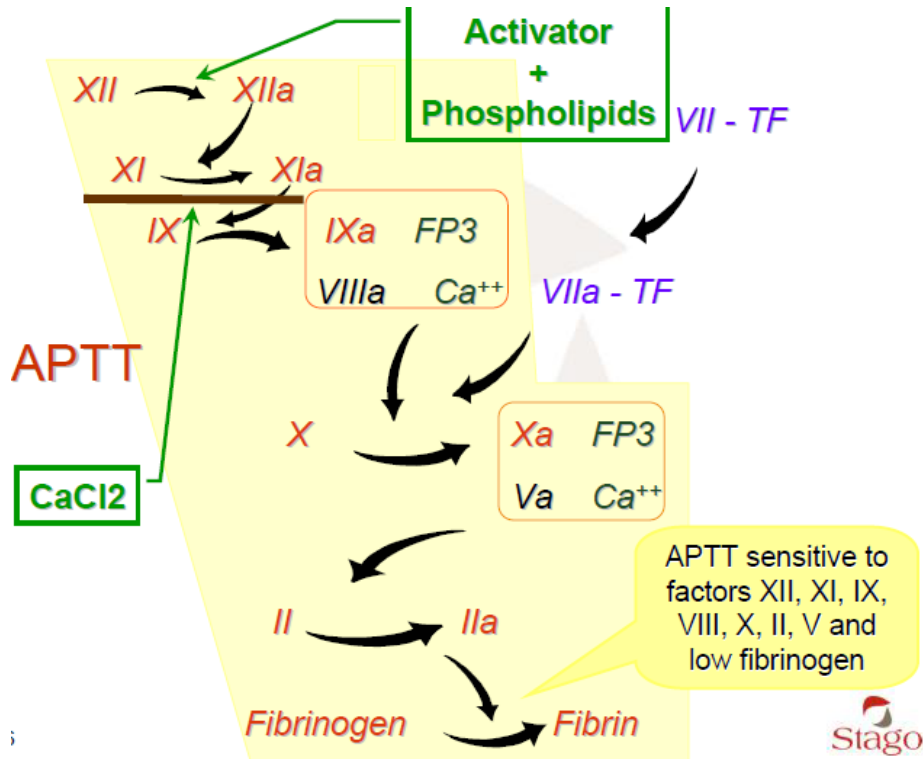
studies also help the design of effective strategies for SMI and G2.2, to be evaluated in animal models of bleeding arising from excessive anticoagulation.

### **3.2 Introduction to Different Assays**

#### **Activate partial thromboplastin time (APTT)**

APTT, measures the activity of the intrinsic and common pathways of coagulation. The division of the clotting cascade into the intrinsic, extrinsic and common pathways has little in vivo validity but remains a useful concept for interpreting the results of laboratory investigations. The term 'thromboplastin' in this test refers to the formation of a complex formed from various plasma clotting factors which converts prothrombin to thrombin and the subsequent formation of the fibrin clot. Platelet poor plasma [PPP] is incubated at 37°C then phospholipid (cephalin) and a contact activator (e.g. Kaolin, micronized silica or ellagic acid) are added followed by calcium (all pre-warmed to 37°C). The addition of calcium initiates clotting and timing begins. The APTT is the time taken from the addition of a contact activator to the formation of a fibrin clot. Almost all laboratories use an automated method for the APTT in which clot formation is deemed to have happened when the optical density of the mixture has exceeded a certain threshold (clot formation makes the mixture denser and less light passes through). The diagram below shows the clotting cascade and the factors that affect the APTT. Mild deficiencies of either XII, XI, IX VIII

APTT can be normal, but when the deficient factor more than 20-40% of normal then the APTT can be prolonged.

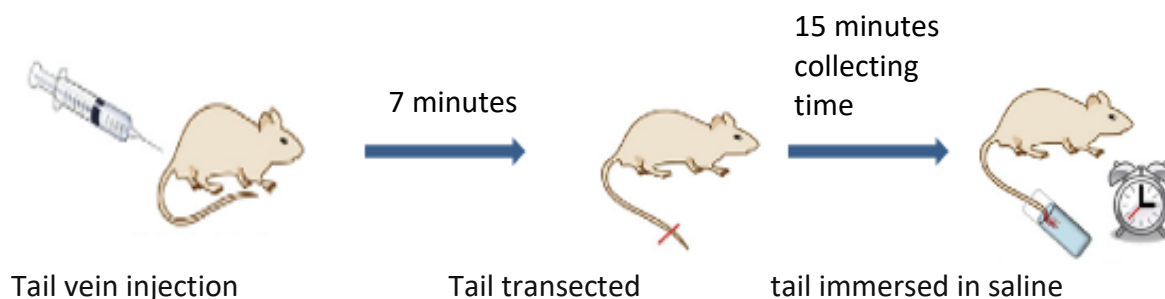


**Figure 19** A schematic of the APTT (Diagram taken from [www.Diagnostica-stago.com](http://www.Diagnostica-stago.com))

### Tail Bleeding Assay

Tail bleeding assay is extensively used to study hemostasis in mice [32-34]. This assay is simple to perform, and is sensitive to the effect of various anticoagulant agents. Removal of the tip of the tail with a scalpel (5 to 10 mm) transects several blood vessels including lateral and ventral veins. The tail tip is usually immersed in warm normal saline and the time to cessation of bleeding or

total blood loss then can be measured. While wild type mice usually bleed for 1- 3 minutes, mice with certain types of bleeding disorders or mice who were received anticoagulant therapy may have prolonged bleeding times.



**Figure 20** Hemostatic effect in mouse tail transection bleeding model: (A) experimental schematic of the tail transection bleeding time assay (Diagram taken from [www.researchgate.net](http://www.researchgate.net)).

### Enzyme Substrate Assay

This technique is usually very specific in the sense that only peptide bonds adjacent to certain amino acids are cleaved. These substrates are made synthetically and are designed to have a selectivity analogous to that of the natural substrate for the enzyme. The peptide part of the chromogenic substrate is attached to chemical group which when released, after the enzyme cleavage, gives rise to color. The color change can be measured by spectrophotometry and is proportional to the proteolytic activity.



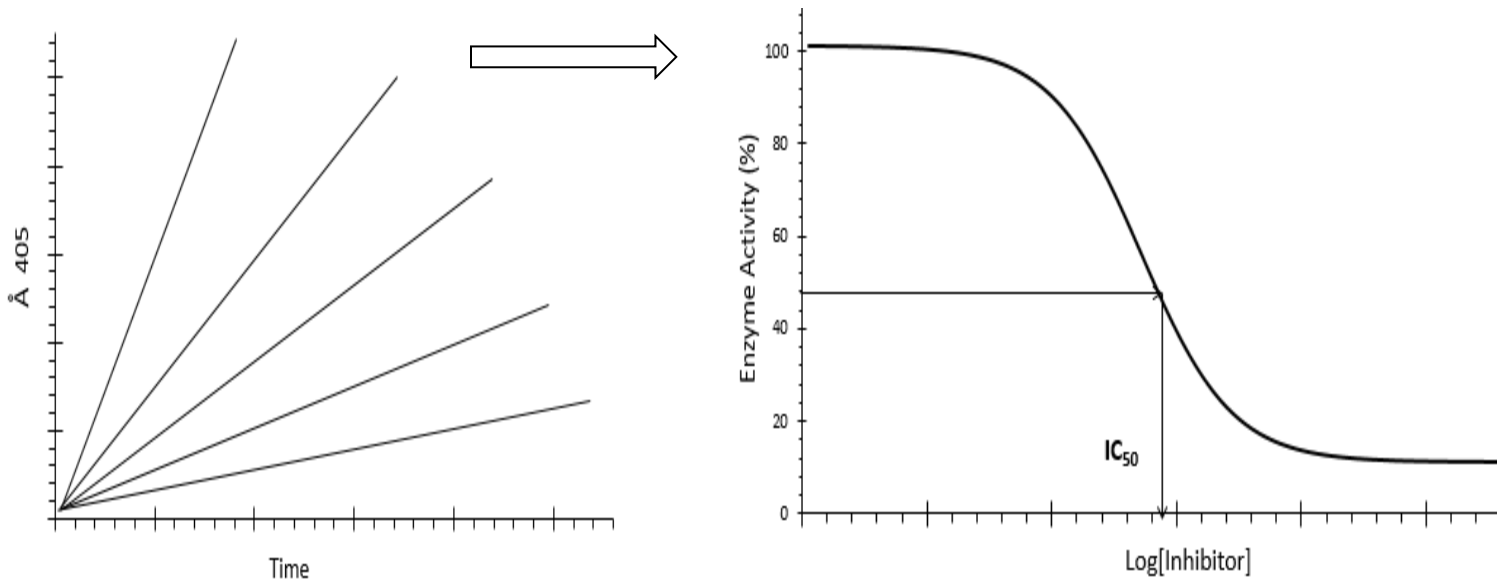
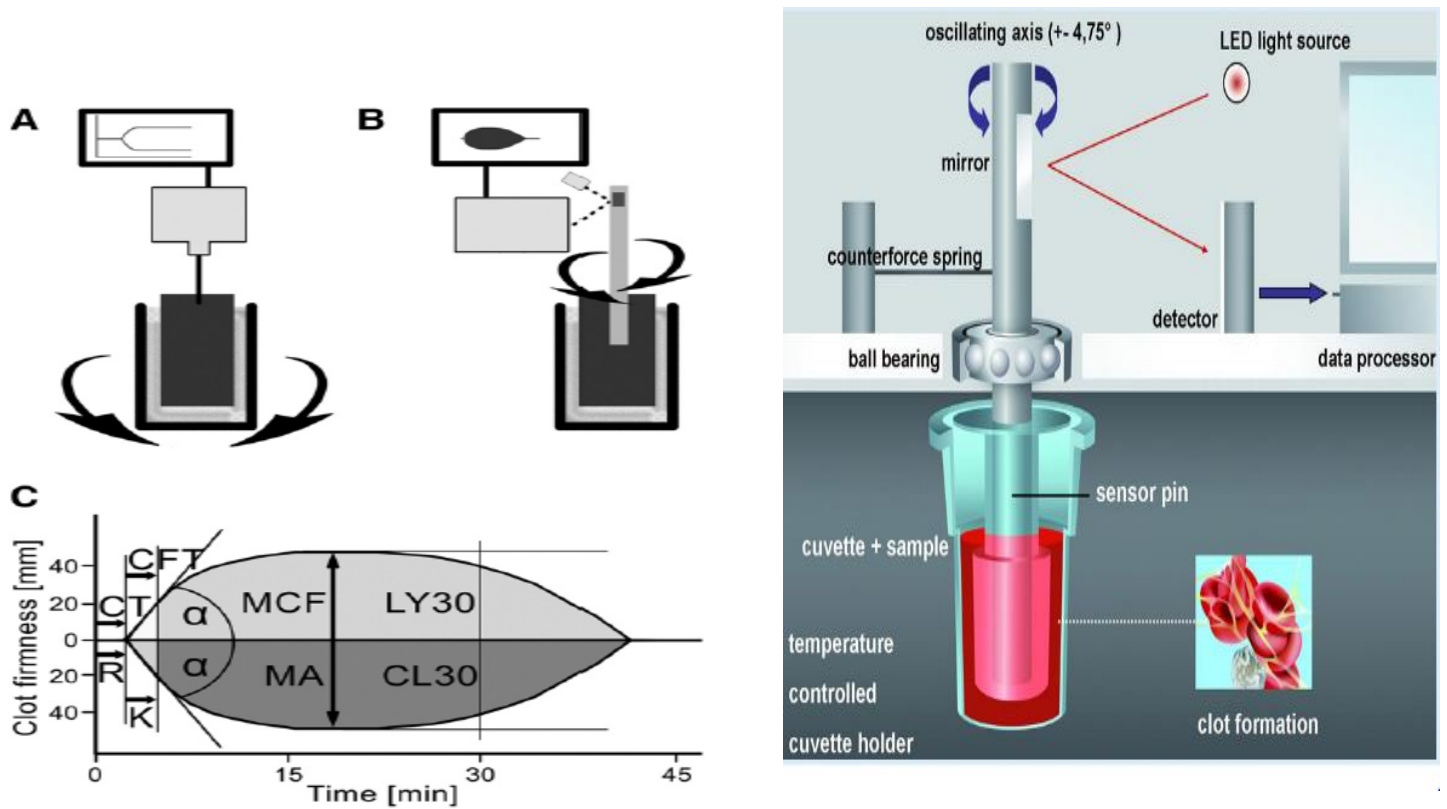


Figure 21: Illustration of chromogenic enzyme substrate assay.

## **Thromboelastometry and Thromboelastography**

Thromboelastography is used to measure whole blood clotting viscoelastic changes under low shear conditions subsequently adding a specific coagulation activator. The viscoelastic force between the cup and the pin results from the interaction between activated platelet receptors and polymerizing fibrin during endogenous thrombin generation and fibrin degradation by fibrinolysis [11-15]. Thromboelastography had been used to assess hypo- and hypercoagulable states and to guide hemostatic therapies with fresh-frozen plasma, platelet concentrates, and coagulation factor concentrates [14,16-18]. In which [4], a metal pin suspended by a torsion wire is immersed in a fresh whole blood in a disposable cup. In case of TEG, the disposable cup moves back and forth through an arc of  $4.75^\circ$  around the fixed plastic pin (Fig 22A). In case of ROTEM measurements, the plastic pin rotates back and forth through an angle of  $4.75^\circ$  in the center of the plastic cup (Fig 22B). When blood begins to clot, fibrin formation strands begins, increasing the force between the pin and the cup. Dissociation of fibrin strands from the cup wall lead to the degradation of fibrin by fibrinolysis decreases the force [19]. The change of force is detected electronically in TEG and optically in ROTEM. The computer-processed signal from either thromboelastography is obtainable as a tracing of clot formation (Fig 22C). The initial force is assumed to be zero (no clot) for the signal processing; thus, it is important to start a measurement immediately after a coagulation activator is added to the sample. Otherwise, a preclotted sample produces a false baseline when the pin is immersed into the sample blood.



**Figure 22:** Working principle of TEG (panel A) and ROTEM (panel B). In TEG, the cup with the blood sample is rotating, whereas the torsion wire is fixed. In ROTEM, the cup is fixed, whereas the pin is rotating. Changes in torque are detected electromechanically in TEG and optically in ROTEM. The computer-processed signal is finally presented as a tracing. Panel C shows typical tracings from TEG (lower tracing) and ROTEM (upper tracing), (Bollinger et al, 2012).

## 3.2 Experimental

### Materials and Methods

Human plasma proteases including thrombin, factor Xa, FXIa, and anti-thrombin were obtained from Haematologic Technologies (Essex Junction, VT). Stock solutions of coagulation enzymes were prepared in 50 mM Tris-HCl buffer, pH 7.4, containing 150 mM NaCl, 0.1% PEG8000, and 0.02% Tween 80. Chromogenic substrates, Spectrozyme TH (H-D-cyclohexylalanyl-Ala-Arg-p-nitroanilide), and Spectrozyme factor Xa (methoxycarbonyl-Dcyclohexylglycyl-Gly-Arg-p-nitroanilide) were obtained from American Diagnostica (Greenwich, CT). Factor XIa chromogenic substrate (S-2366, L-PyroGlu-Pro-Arg-p-nitroaniline·HCl) was obtained from Diapharma (West Chester, OH). G2.2W was synthesized in the Desai lab by a graduate student and G2.2Y was obtained from OrganiX Inc (Woburn, MA). Pooled normal plasma for coagulation assays was purchased from Valley Biomedical (Winchester, VA). Activated thromboplastin time reagent containing ellagic acid (APTT-LS), thromboplastin-D, and 25 mM CaCl<sub>2</sub> were obtained from Fisher Diagnostics (Middletown, VA). FXI, FX, FVII, and FIX deficient plasma was from Haematologic Technologies (Essex Junction, VT). Thromboelastograph® Coagulation Analyzer 5000 (TEG®), disposable cups and pins, and 200 mM stock CaCl<sub>2</sub> were obtained from Haemoscope Corporation (Niles, IL). All other chemicals were analytical reagent grade from either Sigma Chemicals (St. Louis, MO) or Fisher (Pittsburgh, PA) and used without further purification. Rotational Thromboelastometry (ROTEM), 20 µL CaCl<sub>2</sub> 0.2 mol/L, 20 µL thromboplastin-phospholipid, (factors XII, XI, IX, VIII, X, II, I and platelets) obtained from Valley Biochemical (Winchester, VA), 300 µL fresh whole blood obtain from healthy individuals. Wild-type ICR mice were obtained from

ENVIGO. All other material avertin, heating pod, syringes, needles, 1.7 eppendorf tubes, heating block were obtained from Dr Stefano lab.

### **Chromogenic Substrate Hydrolysis Assay**

#### **Procedure (fXla):**

Each well of the 96 well microplate contained 183µL of pH 7.4 Tris-HCl buffer to which 5 µL of SPGG (or solvent reference) and 10µL of fXla (0.765 nM final concentration) were sequentially added. After 10-min incubation, 2µL of factor Xla substrate (345µM) was rapidly added and the residual fXla activity was measured from the initial rate of increase in absorbance at 405nm.

Stocks of potential fXla inhibitors were serially diluted to give 12 different aliquots in the wells.

Relative residual fXla activity at each concentration of the inhibitor was calculated from the ratio of fXla activity in the presence and absence of the inhibitor. Logistic equation (below) was used to fit the dose dependence of residual protease activity to obtain the potency ( $IC_{50}$ ) and efficacy ( $\Delta Y$ ) of inhibition. In this equation,  $Y$  is the ratio of residual factor Xla activity in the presence of inhibitor to that in its absence (fractional residual activity),  $Y_M$  and  $Y_0$  are the maximum and minimum possible values of the fractional residual proteinase activity,  $IC_{50}$  is the concentration of the inhibitor that results in 50% inhibition of enzyme activity, and HS is the Hill slope. Nonlinear curve fitting resulted in  $Y_M$ ,  $Y_0$ ,  $IC_{50}$ , and HS values.

$$Y = Y_0 + \frac{Y_M - Y_0}{1 + 10^{(\log [\text{Inhibitor}]_0 - \log IC_{50})(HS)}}$$

#### **Procedure (Thrombin):**

Chromogenic substrate hydrolysis assay was used to measure potency of inhibition. The assay was performed using Flexstation III (Molecular Devices, Sunnyvale, CA) by monitoring substrate hydrolysis at 405 nm. For thrombin, 180µL of buffer (20 mM Tris-HCl, 100 mM NaCl, 2.5 mM

CaCl<sub>2</sub>, 0.1% (polyethylene glycol) PEG 8000, pH 7.4) was added to each well followed by 5 μL of 240 nM thrombin and 10 μL of drug in DMSO to give 0.002 to 250 μM final concentration (or 10 μL of DMSO alone). After incubation for 10 minutes at 25 °C, 5 μL of 2 mM Spectrozyme TH was added to each well simultaneously and allowed to react for 60 seconds at 25 °C while simultaneously monitoring the reaction to obtain the rate of increase in A405. The slopes generated from each experiment were used to calculate the fractional residual activity (Y) at each concentration of the inhibitor, and analyzed using logistic equation 1, in which  $Y_M$  is the maximum efficacy,  $Y_0$  is minimum efficacy and HS is the Hill slope.

#### **Procedure (fXa):**

Each well of the 96-well microplate had 185 μL pH 7.4 buffer to which 5 μL potential fXa inhibitor (or solvent reference) was added, to which 5 μL fXa (stock conc. 43.5 nM) was further added. After 10 minutes incubation at 37 °C, 5 μL fXa substrate (stock conc. 5 mM) was rapidly added and the residual FXa activity was measured from the initial rate of increase in absorbance at 405 nm.

#### **Procedure (fXa in presence of Antithrombin):**

The kinetics of inhibition of fXa by AT in the presence of the designed non-saccharide aromatic activators were measured spectrophotometrically under pseudo-first order conditions. Briefly, a fixed concentration of fXa (20 nM) was incubated with fixed concentrations of plasma AT (1.075 μM) and the drug in buffer. At regular time intervals, an aliquot of the inhibition reaction was quenched with 900 μL of 100 μM Spectrozyme fXa in 20 mM sodium phosphate buffer, pH 7.4, containing 100 mM sodium chloride at 25 °C. To determine the residual FXa activity, the initial rate of substrate hydrolysis was measured

from the increase in absorbance at 405 nm. (experiments performed by Mr. Morla in Desai lab).

### **Activated Partial Thromboplastin Time (APTT)**

Clotting time was measured in a standard one-stage recalcification assay with a BBL Fibrosystem fibrometer (Becton-Dickinson, Sparks, MD), as described previously [43–46]. For the APTT assay, 10  $\mu\text{L}$  of inhibitors was mixed with 90  $\mu\text{L}$  of citrated human plasma and 100  $\mu\text{L}$  of prewarmed APTT reagent (0.2% ellagic acid). After incubation for 4 minutes at 37 °C, clotting was initiated by adding 100  $\mu\text{L}$  of prewarmed 25 mM  $\text{CaCl}_2$  and the time needed to clot was noted. The data were fit to a quadratic trend line, which was used to determine the concentration of the inhibitor necessary to double the clotting time. Clotting time in the absence of an anticoagulant was determined in similar fashion using 10  $\mu\text{L}$  of deionized water and/or appropriate organic vehicle and was found to be 34.4 sec for APTT.

### **Rotational Thromboelastometry (ROTEM)**

Modified rotational Thromboelastometry was determined on a ROTEM<sup>®</sup> Delta Hemostasis Analyzer (Pentapharm GmbH, Munich, Germany) using Pentapharm software version 2.2.0. The device temperature was set to 37°C and the maximum runtime to 90 minutes. Coagulation function was assessed in citrated whole-blood samples by INTEM test: 20  $\mu\text{L}$   $\text{CaCl}_2$  0.2 mol/L, 20  $\mu\text{L}$  thromboplastin-phospholipid, 300  $\mu\text{L}$  blood, for monitoring the intrinsic system (factors XII, XI, IX, VII, X, II, I and platelets). Parameters measured included coagulation time (CT, sec; time to clotting initiation), clot formation time (CFT, sec; time from clot initiation until a clot firmness of 20 mm is detected), maximum clot firmness (MCF, mm) which reflects the maximum structural integrity obtained by the clot. The clot, which is dependent on fibrin content, fibrin structure,

platelet concentration and platelet function. All analyses were completed within two hours of collection.

### **Tail bleeding time studies**

Wild-type ICR mice ( $n \geq 7$ ; weight, 29–50 g) were anesthetized with an intraperitoneal injection of pentobarbital (50 mg /kg) and tail bleeding was performed, as described previously [8,134]. Briefly, following anesthesia, the mice were placed on a 37 °C heating pad. Different inhibitors such as: G2.2W and G2.2Y, along with LMWH (0.1–0.5 mg), or control (PBS), prepared in 50 $\mu$ l of PBS, was injected into the lateral tail vein with a syringe. Two minutes later, the tail tip (0.1- 0.2 cm) was transected with a scalpel and immediately immersed in a 1.7 mL eppendorf tube filled with 1200  $\mu$ L of PBS positioned in a 37 °C heating block. The mice were monitored for 15 minutes. The total bleed time (defined as the sum of all bleeding events occurring within 15 minutes) and the weight of blood lost were recorded for each mouse. Mice are sacrificed prior to recovering from anesthesia.

### **Statistical Analysis**

The experiments were done multiple times as indicated by the (N = 10) number of animals. The error bars represent  $\pm 1$  standard error of the mean (N = 10). G2.2Y and SMI were found to have a tendency to induce tail bleeding significantly compared to control and LMWH respectively.



### 3.3 Results and Discussions

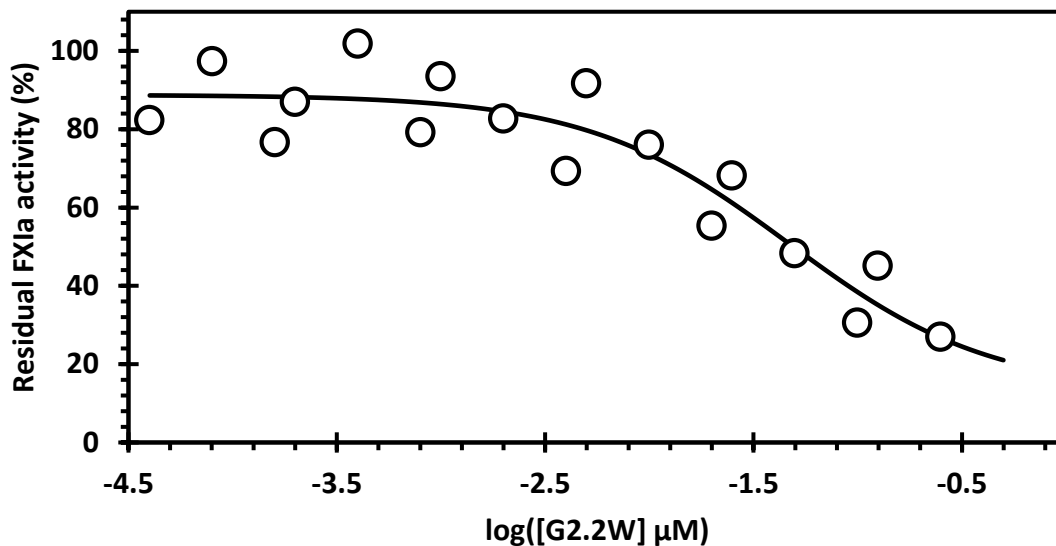
#### G2.2 analogs are inhibitors of Factor XIa

G2.2 analog inhibition of thrombin was studied with a substrate hydrolysis assay in the manner described previously for human thrombin. In addition, we tested both G2.2W and G2.2Y for their inhibition capability for various coagulation factors including: fXa, fXIa, and thrombin in the presence and the absence of antithrombin. Chromogenic substrate was used to measure the activity of the enzymes. We were able to determine that both agents (G2.2W and G2.2Y) samples failed to inhibit fXa, thrombin, in the presence and absence of antithrombin even through when G2.2W and G2.2Y concentration was increased up to 500  $\mu\text{M}$ . The dose-response eq 1 was used to calculate the  $\text{IC}_{50}$  Hill slope and maximal ( $Y_M$ ) and minimal activity ( $Y_0$ ) parameter. The assay showed that G2.2Y inhibited fXIa with an of  $\text{IC}_{50}$  28.53 $\mu\text{M}$ , while G2.2 inhibited fXIa with an  $\text{IC}_{50}$  of 46.69  $\mu\text{M}$ . These results indicated that G2.2Y almost 2-fold better than G2.2W. suggesting highly selective inhibition. As shown in (Figure 23, and table 1). To test whether the high potency of direct inhibition could be affected by the indirect pathway, thrombin inhibition was tested by G2.2 in the presence of antithrombin no inhibition was observed. This suggested that G2.2 does not interact with the heparin-binding site of antithrombin supporting selectivity of fXIa recognition.

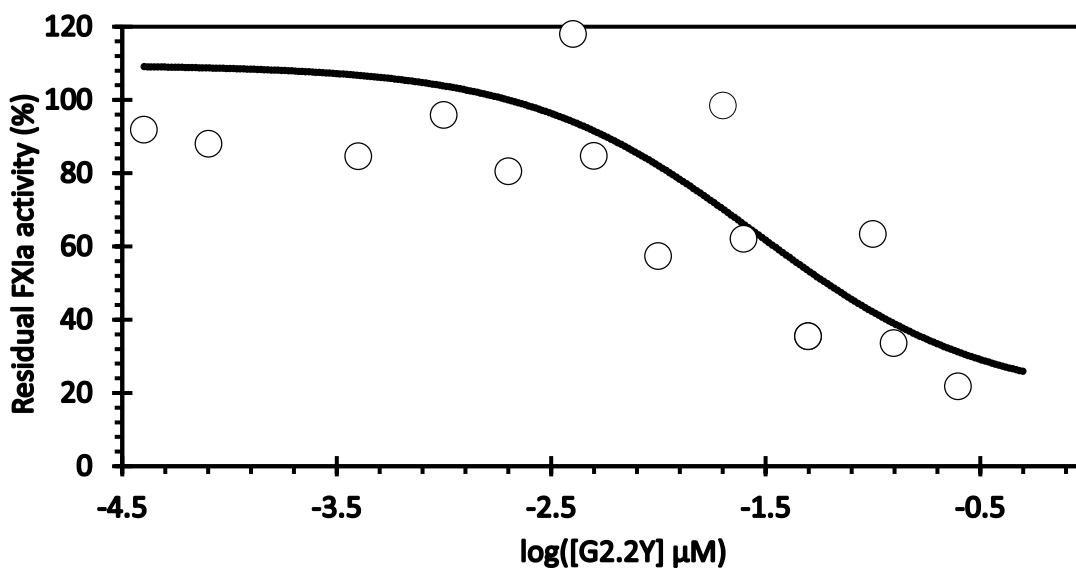
Enzyme	$\text{IC}_{50}$ (URD lab)	$\text{IC}_{50}$ (OrganiX)
Factor Xa	>500 $\mu\text{M}$	>500 $\mu\text{M}$
Factor XIa	46.69 $\mu\text{M}$	28.53 $\mu\text{M}$
Thrombin	>500 $\mu\text{M}$	>500 $\mu\text{M}$
Antithrombin	>500 $\mu\text{M}$	>500 $\mu\text{M}$

**Table 1.** table of  $IC_{50}$  for G2.2W and G2.2Y with fXa, FXIa, and thrombin in the presence and absence of antithrombin (Data are collected by Mr. Morla in Desai Lab).

(A)



(B)



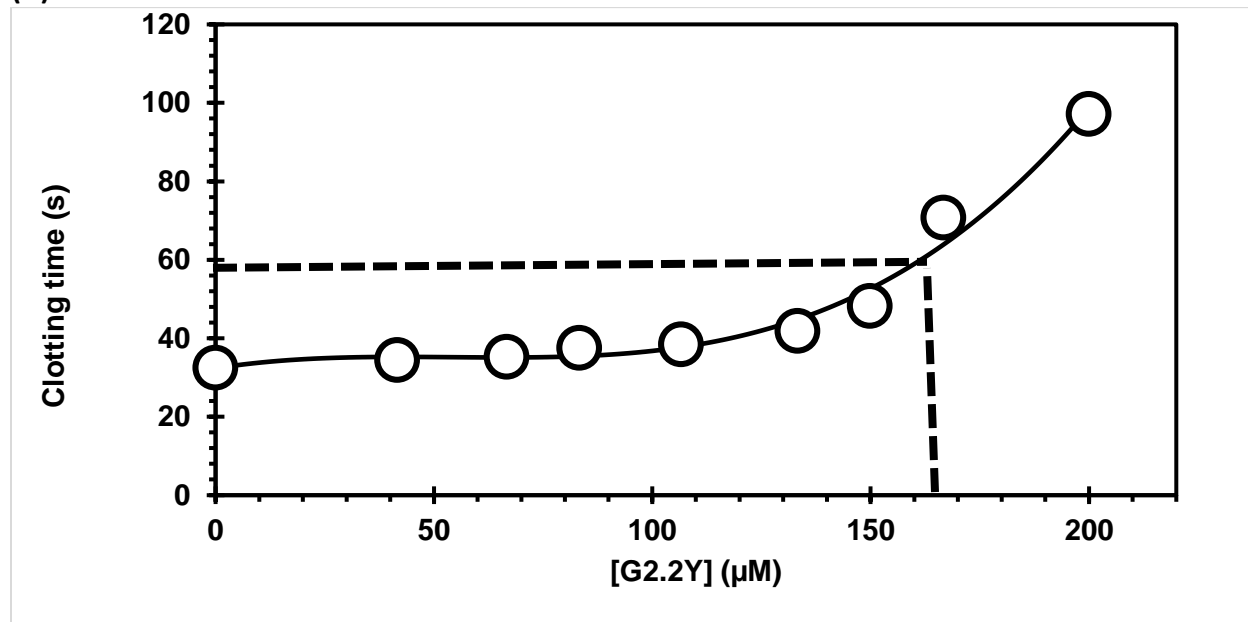
**Figure 23.** Chromogenic substrate hydrolysis assay in (a) and (b) showing G2.2W  $IC_{50}$  – 46 μM and G2.2Y  $IC_{50}$  – 28 μM respectively for fXla inhibition (experiment and cures performed by Mr. Morla in Desai lab).

### **G2.2 is an Effective Anticoagulant in Human Plasma**

Plasma clotting assays, prothrombin, and activated partial thromboplastin time (PT and APTT), are regularly used to measure the anticoagulation potential of new enzyme inhibitors in an in vitro setting. While PT measures the effect of an inhibitor on the extrinsic pathway of coagulation, APTT measures the effect on the intrinsic pathway. Here we wanted to measure the concentration of G2.2 required to double APTT for both G2.2 analogs. We used these concentrations (0  $\mu\text{M}$ , 41.6  $\mu\text{M}$ , 66.7  $\mu\text{M}$ , 83.3  $\mu\text{M}$ , 107  $\mu\text{M}$ , 133  $\mu\text{M}$ , 150  $\mu\text{M}$ , and 167  $\mu\text{M}$ ) of G2.2Y as well as, (0  $\mu\text{M}$ , 170  $\mu\text{M}$ , 340  $\mu\text{M}$ , 510  $\mu\text{M}$ , 600  $\mu\text{M}$ , and 680  $\mu\text{M}$ ) of G2.2W we were able to determine the variation in APTT in the presence of varying concentrations of G2.2 analogs.

A 2-fold increase in APTT required 167  $\mu\text{M}$  of G2.2Y while G2.2W required 640  $\mu\text{M}$  to double APTT time in similar assay. These results indicate that G2.2Y has good anticoagulation properties in human plasma as compared to G2.2W as shown in (figure 24).

(A)



(B)

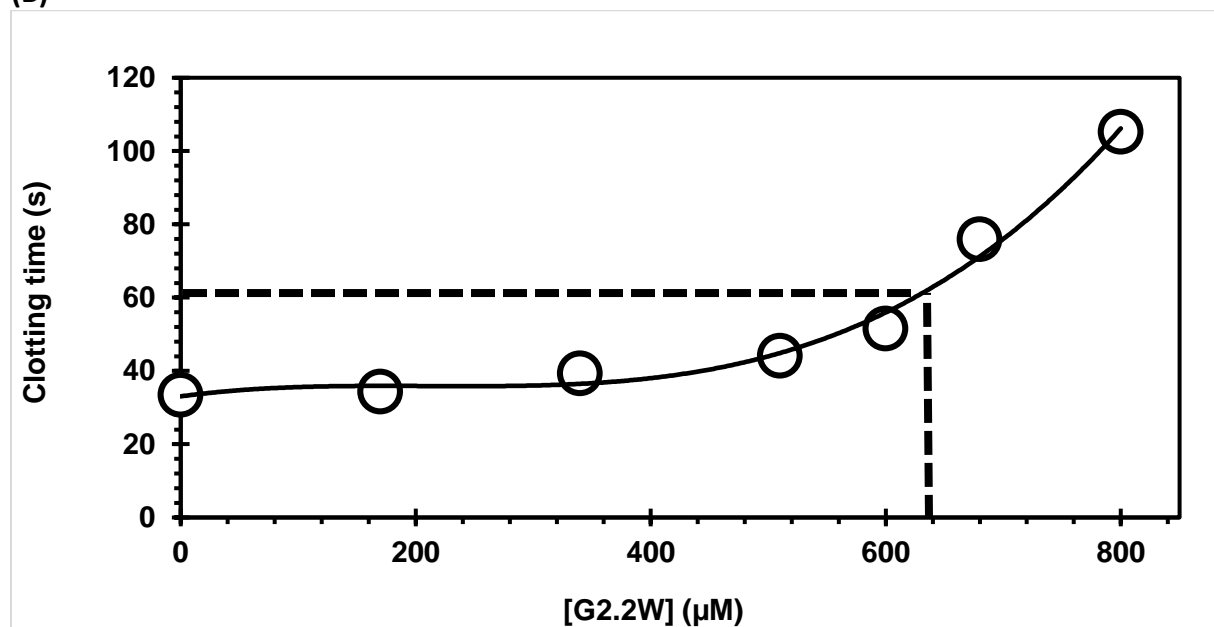


Figure 24. G2.2 W required a concentration of 640  $\mu\text{M}$  to double the clotting time (A)

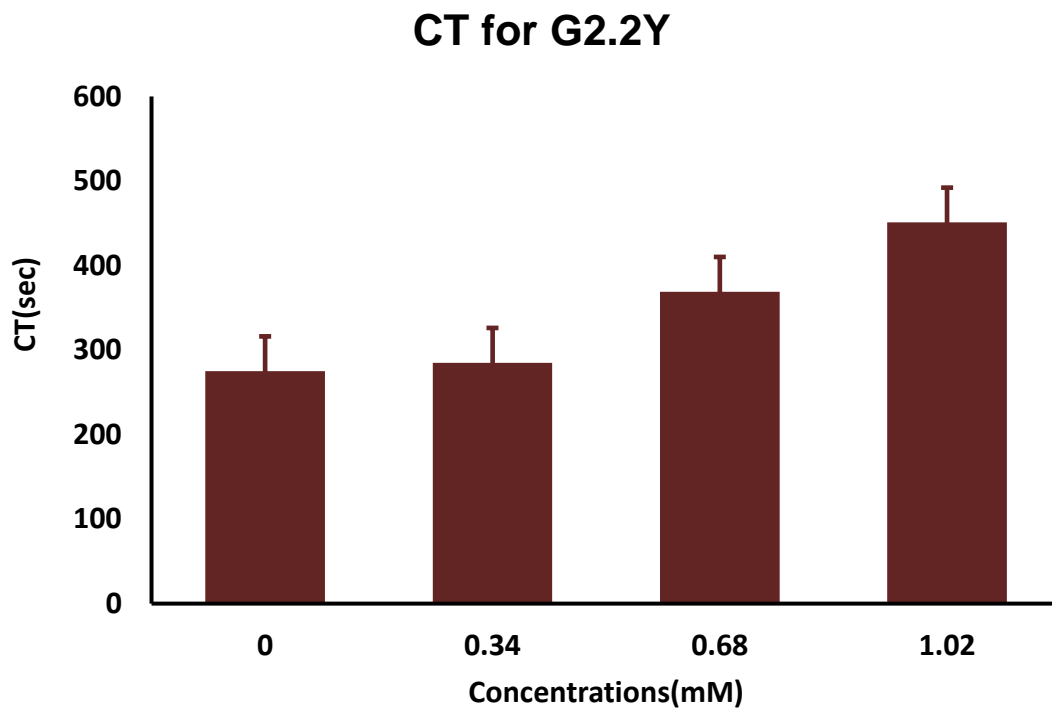
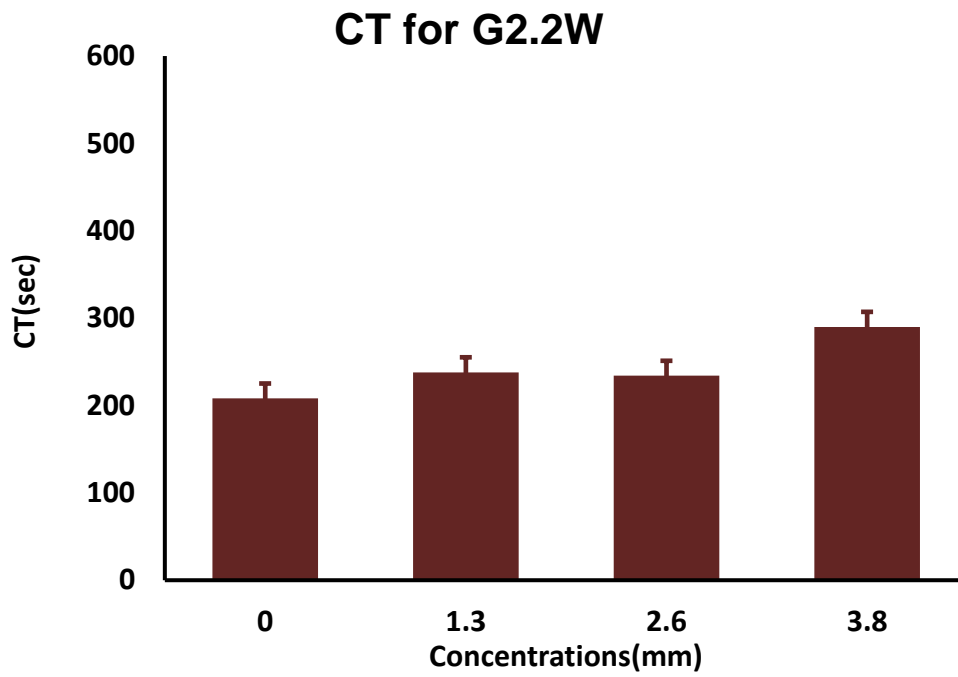
G2.2 Y required a concentration of 167  $\mu\text{M}$  to double the clotting time (B)

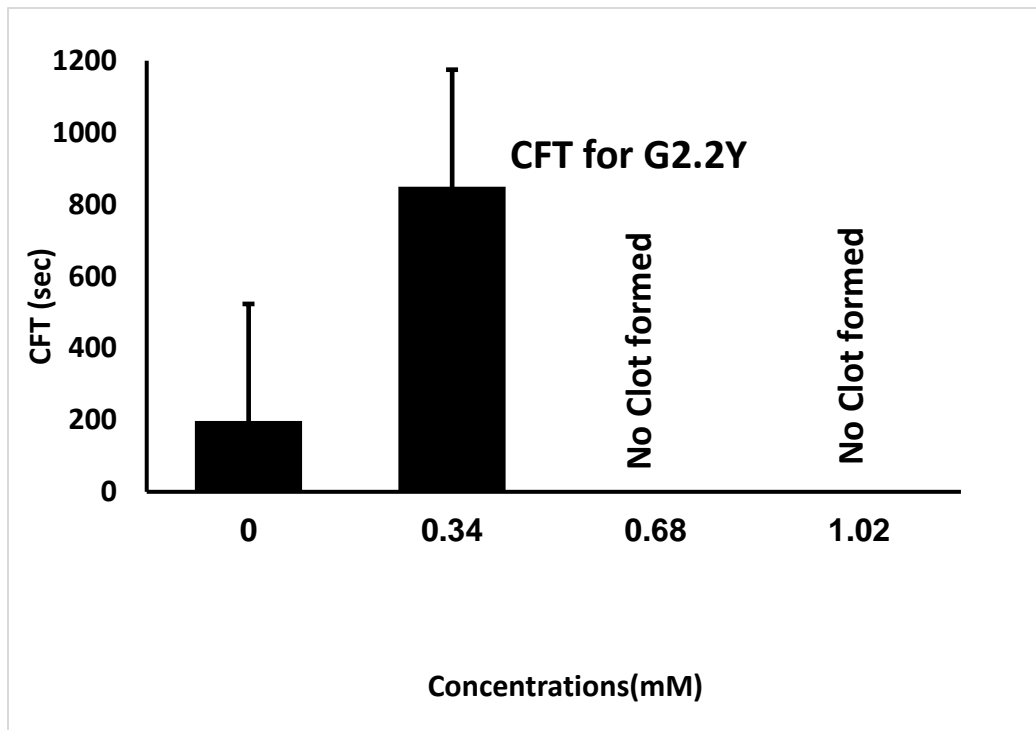
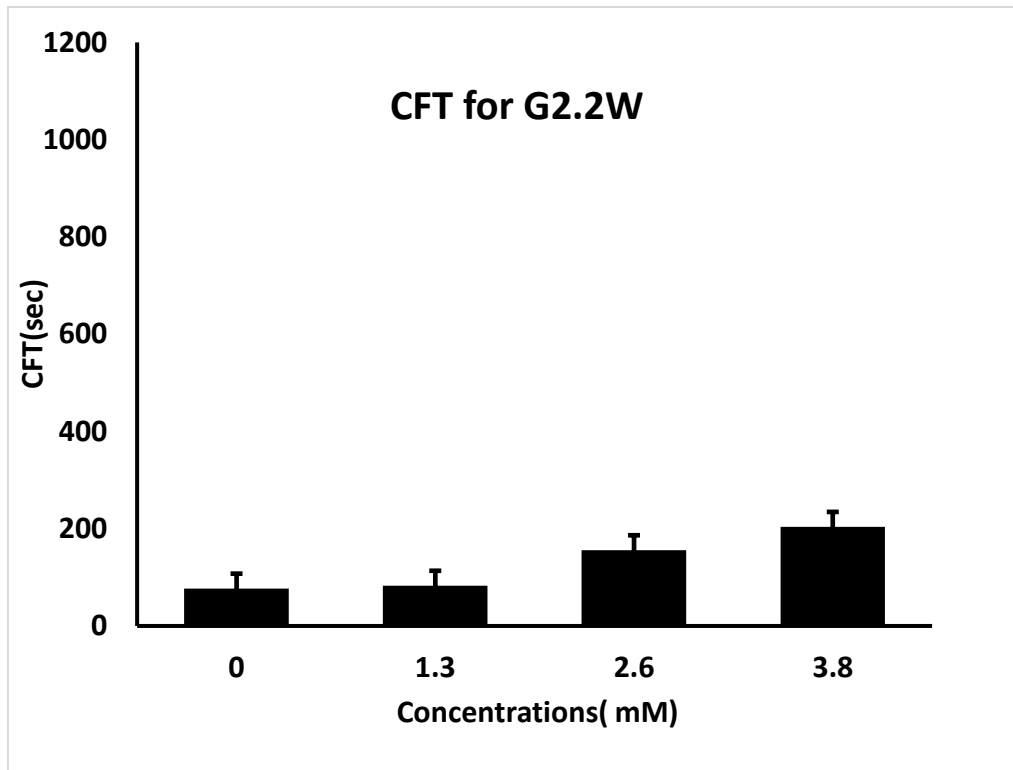
## **G2.2 is an Effective Anticoagulant in Human Whole Blood as Indicated by Thromboelastometry**

To assess the anticoagulation properties of G2.2 in human whole blood, thromboelastometry was employed. This technique is an ex vivo protocol utilized to evaluate the anticoagulant activity of G2.2 in whole blood. To determine whether G2.2 differ from other anticoagulant in whole blood, we used thromboelastometry (ROTEM) a technique that used more often in clinical settings as well as used after anticoagulation therapy with LMWHs. [221-223]. ROTEM measures several responses of a formed clot to shearing force. In this technique, a pin is inserted into an oscillating cup containing whole blood. As fibrin polymerizes, the pin starts to move with the oscillating cup and the movement of the pin is recorded as amplitude, which in time reaches maximum clot firmness (MCF) (Figure 25). The stronger the clot, the more the pin moves with the cup and higher the MCF. MCF a measures of clot stiffness. Additionally, clotting time CT and angle  $\alpha$  (Figure 22) are also obtained in a ROTEM experiment. CT is the time required for the appearance of the first detectable signal of 2mm in amplitude and is interpreted as the time required for the initial fibrin formation. Angle  $\alpha$  is the rate of formation of three-dimensional fibrin network. Parameters that affect MCF include fibrin concentration and structure, concentration and functional state of platelets, deficiency of coagulation factors and presence of clotting inhibitors [224].

Both G2.2 analogs affect CT,  $\alpha$ , MCF, and CFT parameters in dose-dependent manner (Table 4). The kinetics of clot formation and growth as well as the strength and stability of the formed clot are measured through parameters such as maximum amplitude (MCF) of clot formation; shear elastic modulus strength (CT) of clot; the reaction time (MCF) for the start of clotting; and the

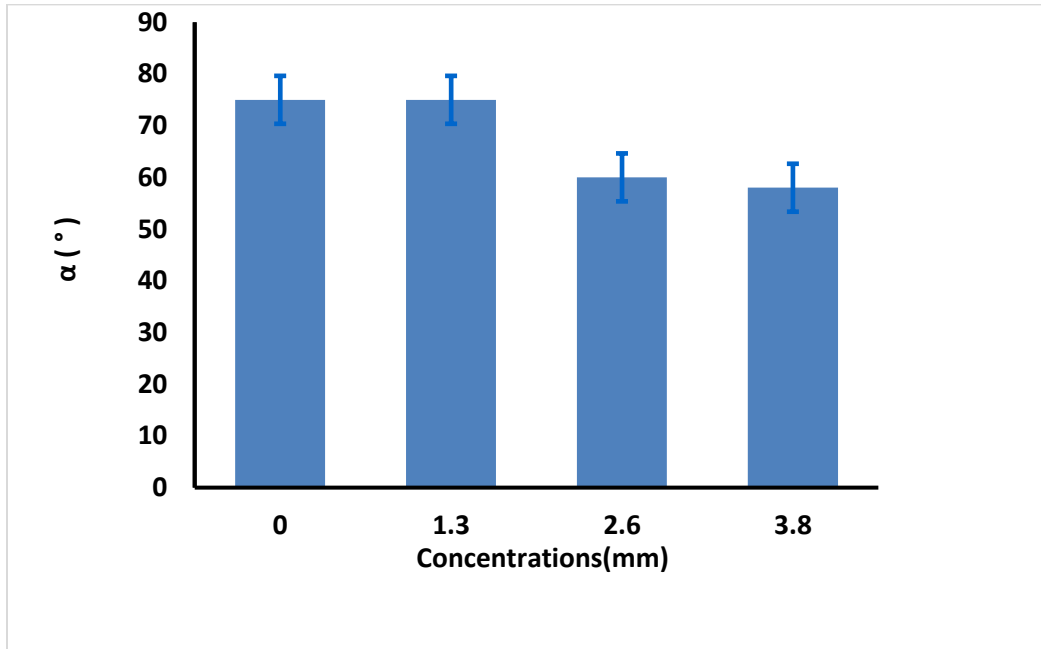
angle ( $\alpha$ ), which is a measure of fibrin buildup and cross-linking (Figure 25) shows the effects of G2.2W and G2.2Y in human whole blood with respect to the changes in  $\alpha$ , CT, and MCF parameters. For both G2.2, increasing the concentration increases CT and decreases  $\alpha$ , MCF, and CT parameters. Briefly, CT increases from 275 secs to 451 secs as the concentration of G2.2Y increases from 0mM to 1.02mM, while  $\alpha$  decreases from 60° to less than 9° suggesting a significant decrease in the fibrin polymerization and network formation. G2.2W demonstrates less effect on CT and  $\alpha$  parameters, at a range of 0 to 3.84 mM compared to G2.2Y. G2.2W decreases MCF and A10 measurements by about 1.5– and 2–fold, respectively. In similar fashion, G2.2Y reduces MCF and A10 by approximately 4– to 8–fold. These results suggest that G2.2Y is a better anticoagulant in human whole blood.



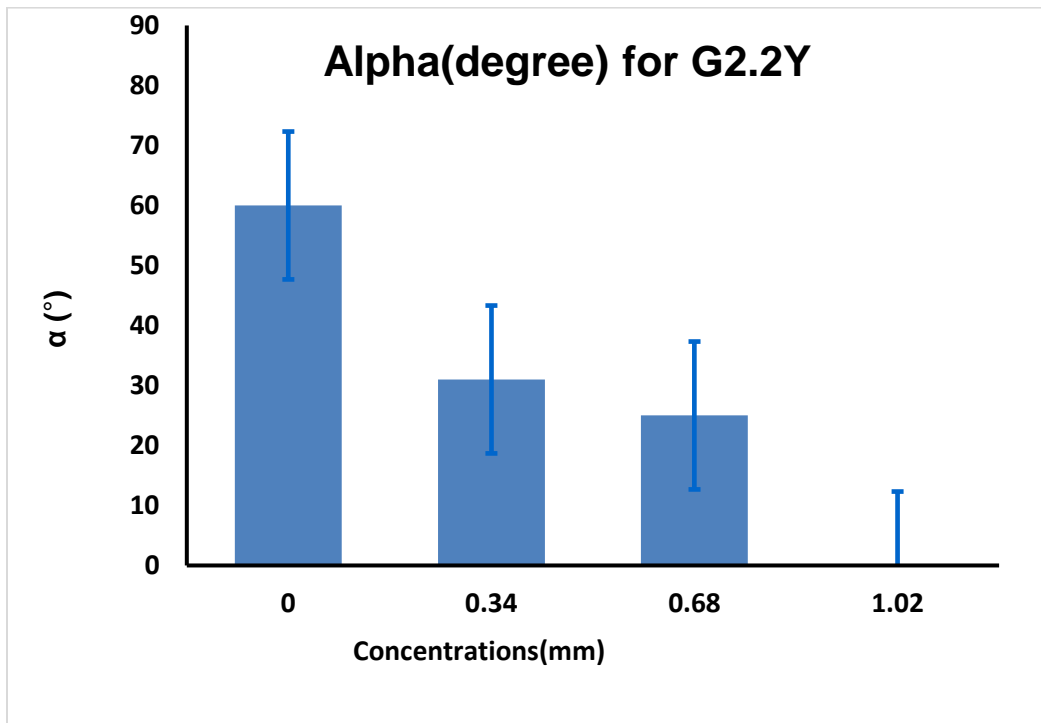




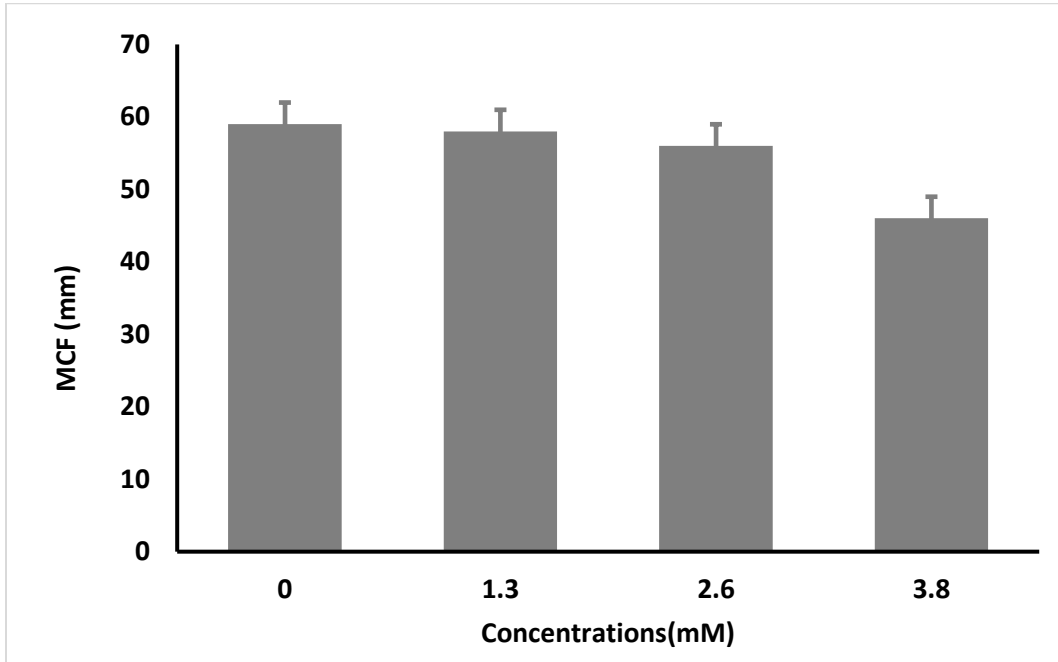
**Alpha(degree) for G2.2W**



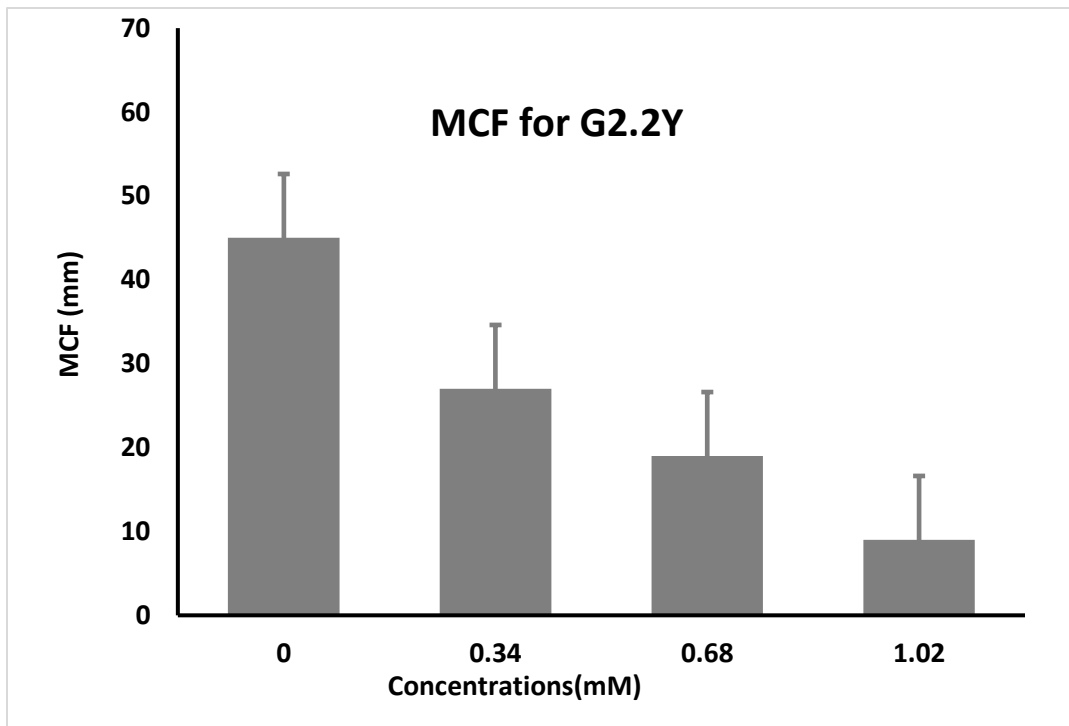
**Alpha(degree) for G2.2Y**



**MCF for G2.2W**



**MCF for G2.2Y**



**Figure 25.** Multichannel Thromboelastometry assay showing three different concentrations (1.28 $\mu$ M, 2.56 $\mu$ M, 3.84 $\mu$ M for G2.2W and 0.34 $\mu$ M, 0.68 $\mu$ M, 1.02 $\mu$ M for G2.2Y) to determine the time for clot formation.

Concn mM	CT (sec)	$\alpha$ (deg)	CFT(sec)	MCF(mm)
<b>G2.2Y</b>				
<b>0</b>	275	60	197	45
<b>0.34</b>	285	31	849	27
<b>0.68</b>	369	25	>1500	19
<b>1.02</b>	<b>451</b>	<b>0</b>	<b>&gt;1500</b>	<b>9</b>
<b>G2.2W</b>				
<b>0</b>	208	75	77	59
<b>1.3</b>	238	75	83	58
<b>2.6</b>	234	60	156	58
<b>3.8</b>	<b>290</b>	<b>58</b>	<b>204</b>	<b>46</b>

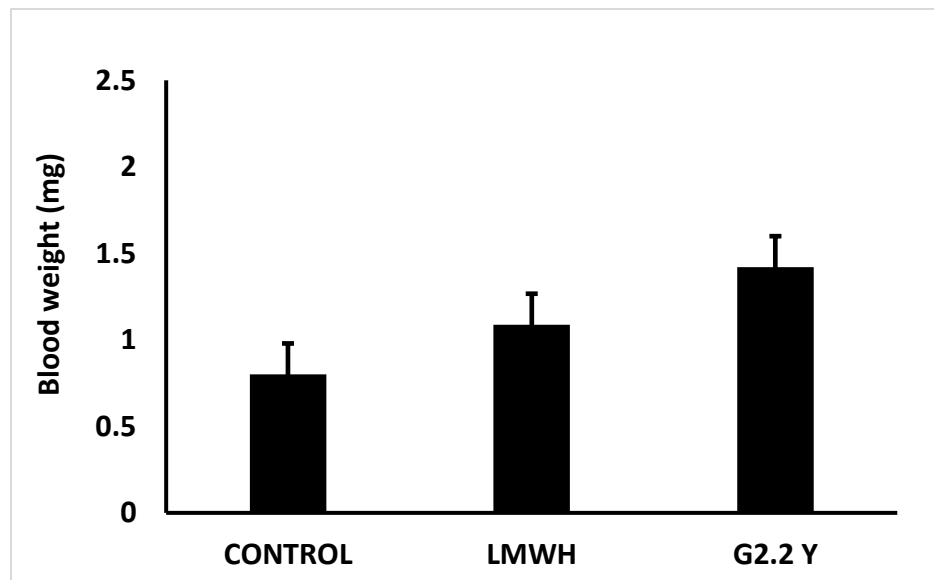
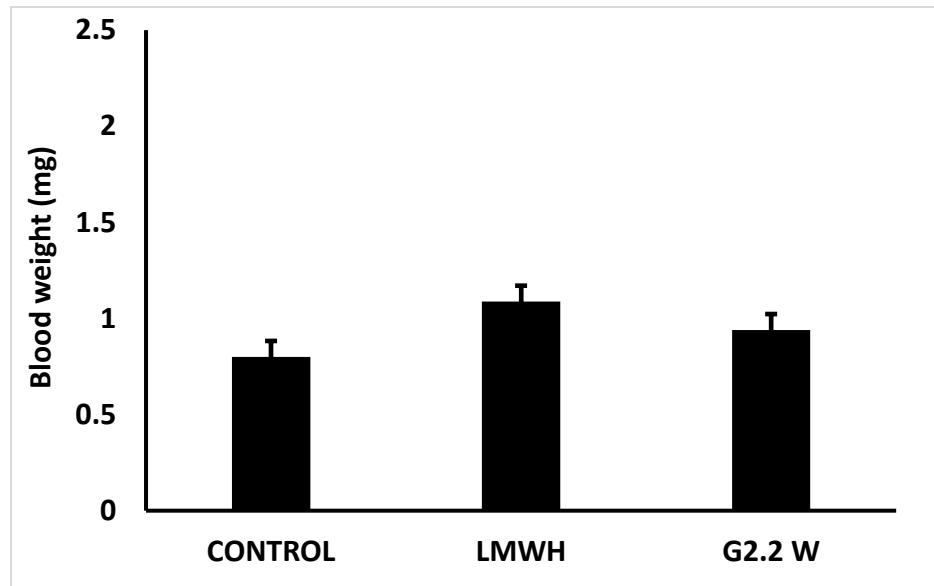
**Table 2.** Thromboelastometry parameters were obtained in an automated manner from the ROTEM coagulation analyzer. Parameters obtained from this analyzer were CT (coagulation time which is the interval between the initiation of coagulation and the appearance of first detectable signal of no less than 2mm in amplitude)  $\alpha$  (acute angle between an extension of CT value tracing and tangent of the maximum slope product by the ROTEM tracing), MCF (maximum amplitude which is the maximum distance the pin of ROTEM moves at the end), CFT (is the clot formation

time in which the clot appearance from 2 to 20mm). The reported values are the mean of two independent experiments which were recorded automatically.

### **G2.2W Does Not increase tail bleeding**

To assess bleeding tendency of G2.2, the murine tail bleeding experiment, used in a large number of studies, was performed [134]. Figure 26 shows total bleeding time and total blood loss for 1 mg of G2.2Y, 5mg of G2.2W, and PBS injected approximately 7 minutes before the transaction of the tail. For G2.2W, the tail bleeding time was found to be  $7.9 \pm 0.4$  minutes, which was essentially the same as that noted for PBS ( $8.1 \pm 0.7$  minutes). Likewise, the total blood lost following tail clipping for G2.2W was  $0.043 \pm 0.008$  g, which was also identical to that noted for PBS ( $0.044 \pm 0.016$  g). In comparison, a 5-fold lower dose of G2.2Y (1g per animal) showed a bleeding time of  $14.3 \pm 0.2$  minutes and a blood loss of  $0.085 \pm 0.022$  g.

These represent an approximately 1.7-fold higher bleeding time and an approximately 1.9-fold more blood loss for G2.2Y than G2.2W. Similar results have been reported earlier when toxicity studies were performed by the Center of Animal Live of Massey Cancer Center. Accordingly, G2.2Y induced more bleeding time and equal or more blood weight than G2.2W. Thus, G2.2W seems to demonstrate less bleeding propensity in comparison to G2.2Y as shown in (Figure 27).



**Figure 26.** A comparison of mouse tail bleeding subsequent IV injection of either 5 mg of G2.2W, 1 mg of G2.2Y, and 0.3 mg of LMWH or PBS in 50  $\mu$ l of PBS. Two parameters were followed including bleeding time in minutes. Error bars represent  $\pm$  1 SEM using N = 10. G2.2Y was found to exhibit significant bleeding propensity in comparison to G2.2W which was not significantly different from vehicle.

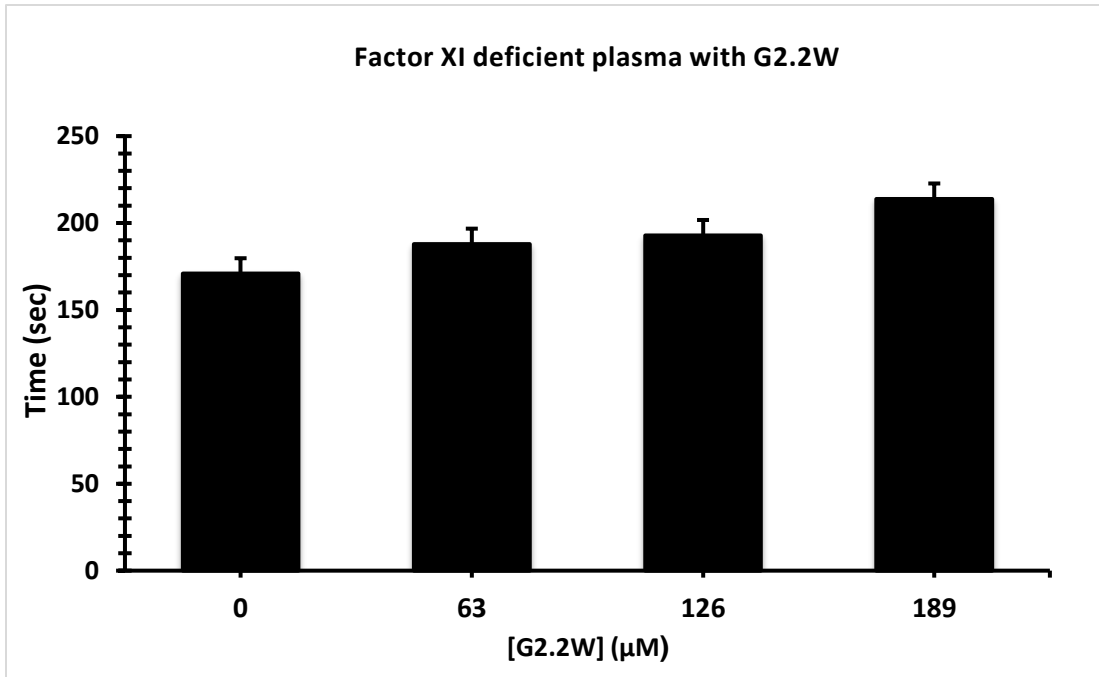
### Coagulation Assay for Factors Deficient Plasma

Clotting times were determined initially by running control. For activated partial thromboplastin time (APTT) assays, here different concentration of G2.2W were used (0.5  $\mu$ l, 0.76  $\mu$ l, 1.3  $\mu$ l, and 3.0  $\mu$ l), and similar concentration of G2.2Y was mixed with 90- 93  $\mu$ l citrated human plasma and 100  $\mu$ l 0.2% ellagic acid. Coagulation was introduced when 100  $\mu$ l of 25 mM  $\text{CaCl}_2$  was added. Assay was conducted as a duplicate each sample and the mean was calculated, then data was plotted to calculate the clotting effect of G2.2W and G2.2Y on APTT. Initially, we tested fXI-deficient human plasma followed by factors fIX, fX, fVII-deficient human plasma respectively in similar fashion using same concentration for both G2.2W and G2.2Y respectively as shown.in (Figure 28).

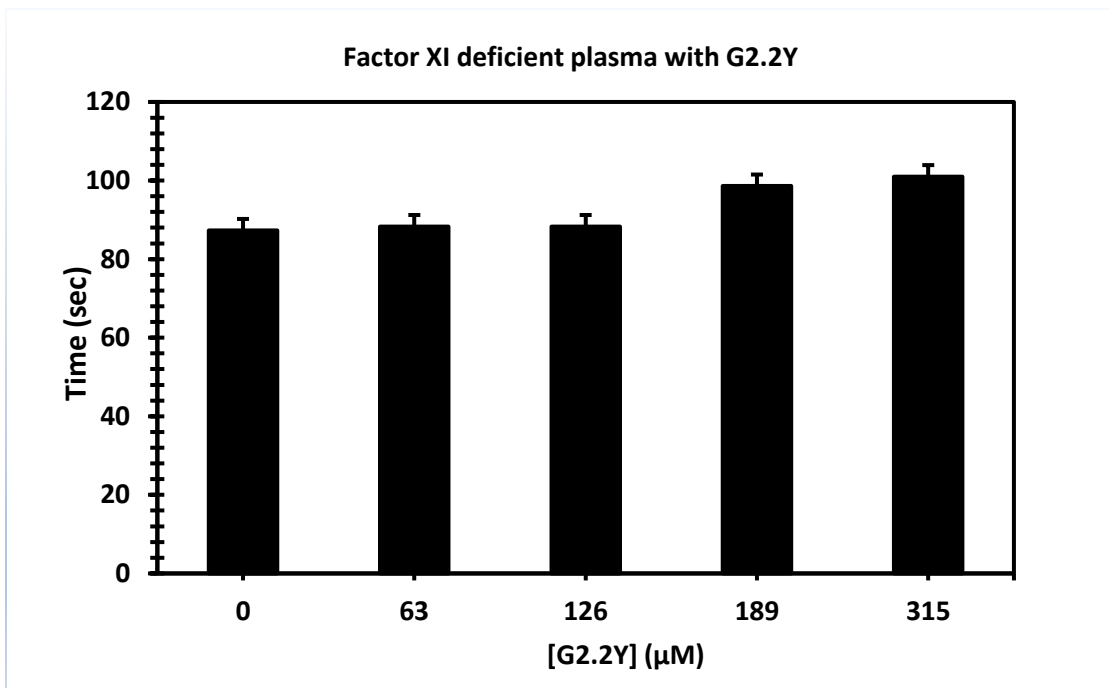
<b>Concn(mM)</b>				
<b>G2.2W</b>	<b>FXI</b>	<b>FX</b>	<b>FIX</b>	<b>FVII</b>
<b>0</b>	92.7 $\pm$ 4	179.4 $\pm$ 6	124.0 $\pm$ 1	41.9 $\pm$ 1
<b>1.28</b>	95.2 $\pm$ 3	184.2 $\pm$ 4	130.0 $\pm$ 1	40.9 $\pm$ 1
<b>2.56</b>	108.4 $\pm$ 1	188.9 $\pm$ 4	133.9 $\pm$ 1	46.7 $\pm$ 1
<b>3.84</b>	125.4 $\pm$ 2	213.2 $\pm$ 1	149.4 $\pm$ 2	46.8 $\pm$ 1
<b>G2.2Y</b>				
<b>0</b>	102.8 $\pm$ 2	170.3 $\pm$ 3	137.6 $\pm$ 1	45.8 $\pm$ 1
<b>0.34</b>	102.9 $\pm$ 1	170.4 $\pm$ 5	168.9 $\pm$ 2	46.9 $\pm$ 1
<b>0.68</b>	103.9 $\pm$ 2	174.4 $\pm$ 1	170.4 $\pm$ 1	58.9 $\pm$ 2
<b>1.02</b>	116 $\pm$ 3	191.4 $\pm$ 3	253.4 $\pm$ 2	153.9 $\pm$ 2

**Table 3.** APTT values for G2.2W and G2.2Y with different concentrations (as shown above).

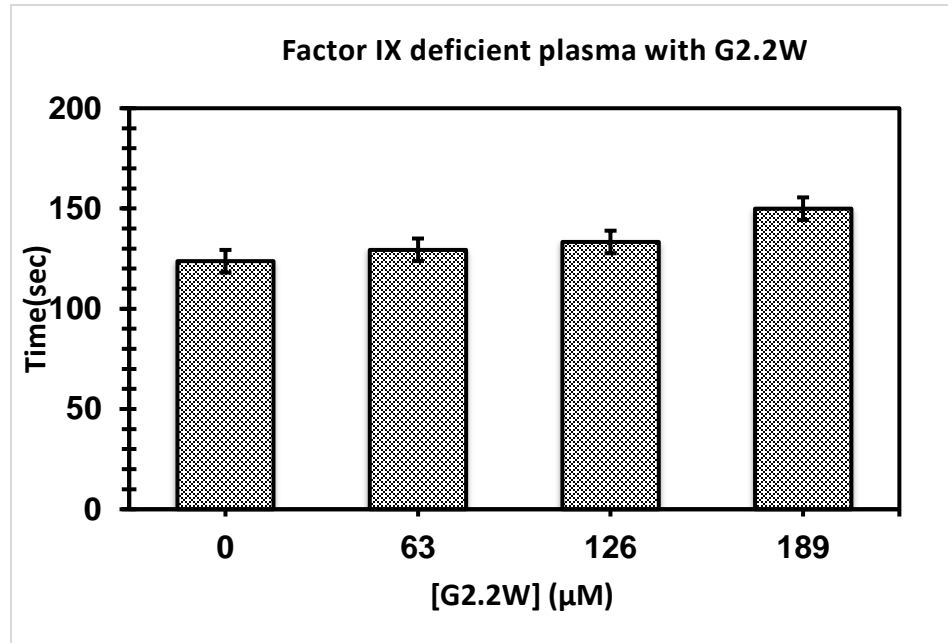
(A)



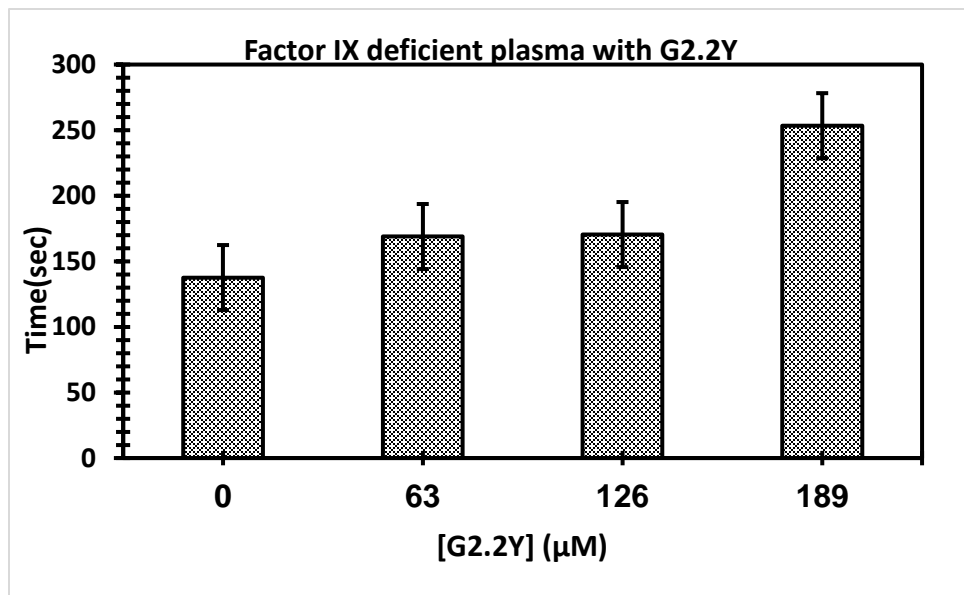
(B)



(C)

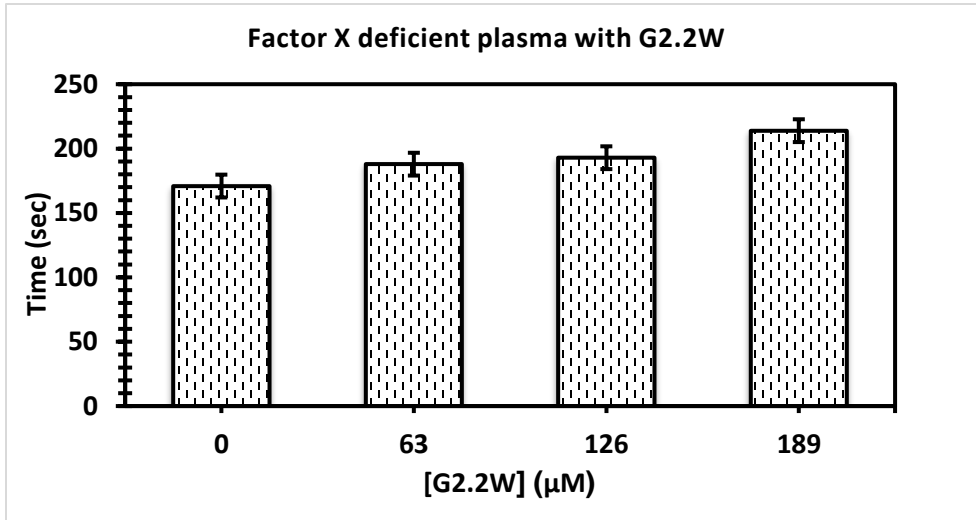


(D)

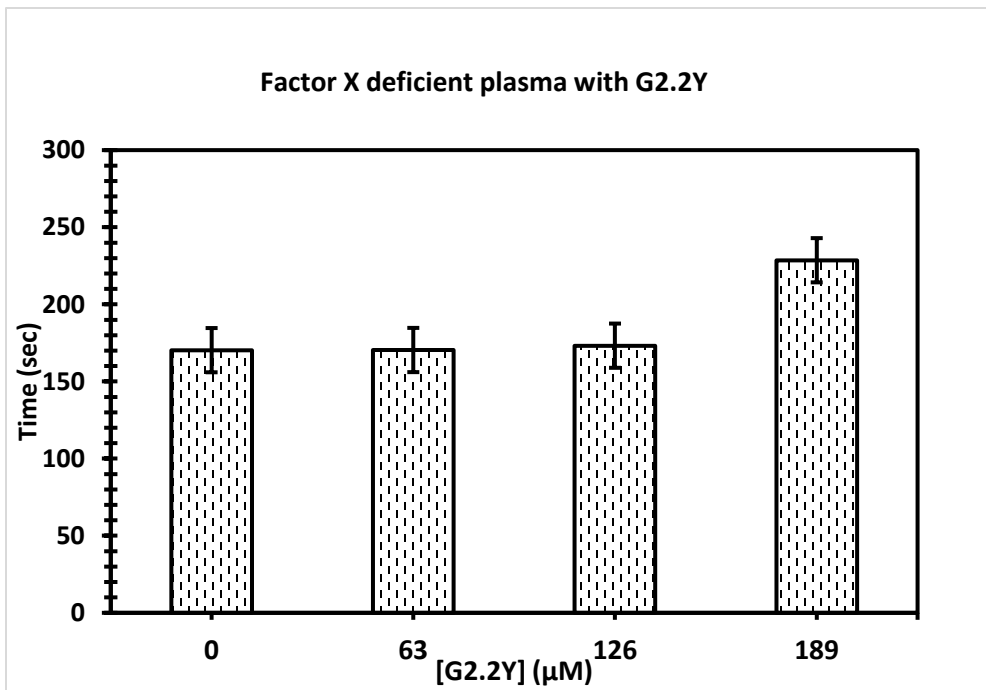




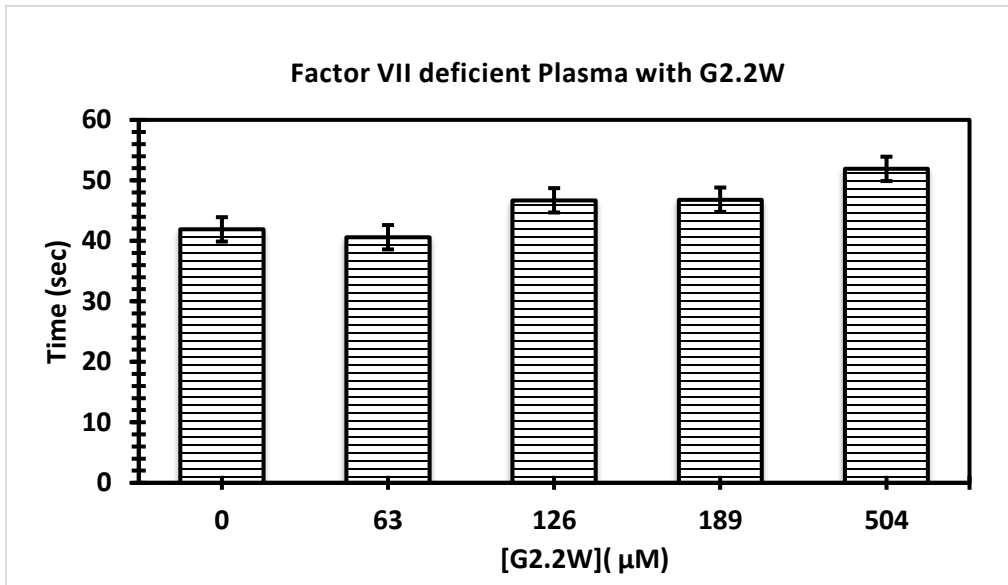
(E)



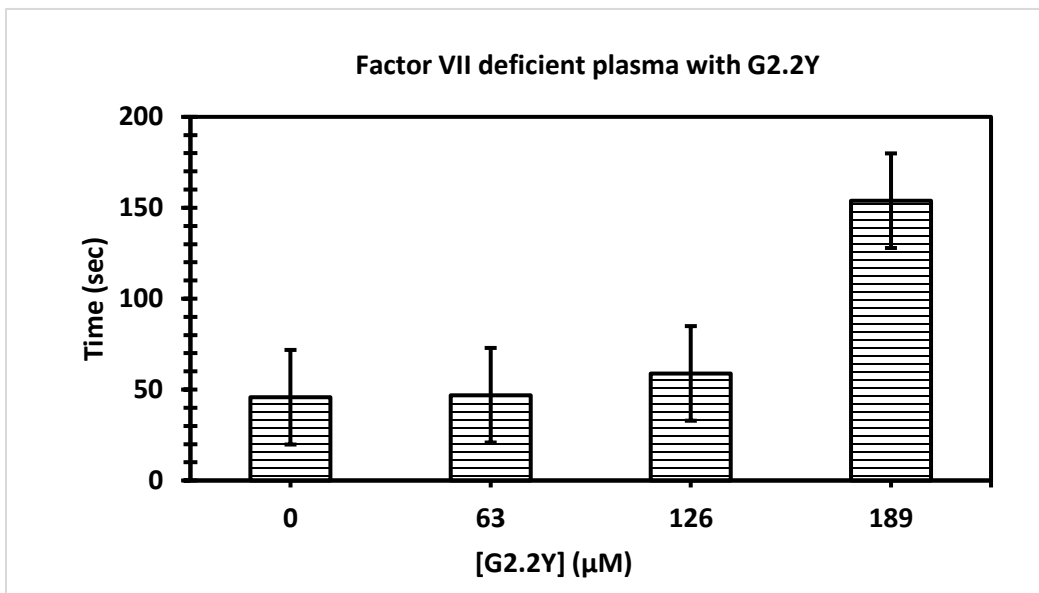
(F)



(G)



(H)



**(Figure 27.** Displays different concentration of G2.2W and G2.2Y with human plasma deficient factors (fXI, fX, fIX, and fVII), as shown fXI deficient plasma(a)and (b) with G2.2W and G2.2Y, fX deficient plasma with (c) and (d) with G2.2W and G2.2 Y, fIX deficient plasma (e) and (f) with G2.2W and G2.2Y, and fVII (g) and (h) with G2.2W and G2.2Y.

## **G2.2 Conclusions.**

G2.2 was initially reported as an anticancer agent that exhibited NSGM properties. These molecules show advantage over naturally occurring GAGs because of their biophysical properties (hydrophobic and hydrophilic nature), structural homogeneity, and ease of synthesis [135].

GAGs structural complexity is considered the main challenge and NSGMs are expected to fulfill the major gap in availability of GAG-like molecules. Moreover, the therapeutic and chemical biology potential of NSGMs and GAG-like molecules is high. NSGMs also has multiple sulfate groups, that allow them to bind in the GAG binding site on proteins and modulate their function [135]. Identification of these proteins should help the design of more potent analogues.

One important discovery of this work is that G2.2 exhibits anticancer agents, as well anticoagulant properties. Finally, this work shows that sulfated NSGMs can selectively modulate fXI activity with moderate-to-high potency by interacting with at least one anion-binding site. As observed in G2.2Y, a loss of just one sulfate group could induce significant side effects. Such a structure–activity relationship is important to understand if the in vivo metabolism of the agents leads to accumulation of de-sulfated products. Overall, G2.2Y exhibits considerable promise as synthetic small molecule allosteric inhibitor of fXIa.

## **Chapter 4: Advanced Level Characterization of Antithrombotics Potential of SMI**

### **4.1 Introduction**

As mentioned previously in Chapter 1, factor XIa (fXIa) is emerging as a suitable target for prophylactic treatments of hyper-thrombotic states [145]. It is thought that developing novel inhibitors for fXIa may perhaps stop thrombosis and prevent bleeding. It has been reported that the allosteric inhibition of fXIa can be brought about by large molecules with highly charged polyanions such as: dextran sulfate, heparin, hypersulfated heparin, sulfated pentagalloyl glucoside (SPGG) and sulfated quinazolin-4(3H)-ones (QAOs) [122,124]. Although a number of active site inhibitors have been reported, there has been only one report on allosteric small-molecule inhibitors (<1000 Da) of fXIa [35]. These are the sulfated QAOs, that demonstrated a maximum potency of only 52  $\mu$ M. This leaves room for more potent small-molecule allosteric inhibitors for fXIa to be discovered. The sulfated QAOs were found to bind near the heparin binding site (HBS). Sulfated QAOs are expected to display poor bioavailability owing to their large size and high charge density. Finally, no inhibitor of factor XIa has been approved for use as anticoagulant in the clinic. SMI is one of SPGG's analogs, it has shown to inhibit fXIa. Therefore, series of in vivo and in vitro assays were designed to evaluate its anticoagulation potential.

### **4.2 Experimental Procedures**

#### **Methods and Materials**

Pooled normal human plasma for coagulation assays was withdrawn from a healthy individual (Activated partial thromboplastin time (APTT) reagent containing ellagic acid, thromboplastin-D and 25 mM  $\text{CaCl}_2$  were received from Fisher Diagnostics (Middletown, VA)).

Thromboelastograph® Coagulation Analyzer 5000 (TEG), disposable cups and pins, and 200 mM

stock  $\text{CaCl}_2$  were obtained from Haemoscope Corporation (Niles, IL). All other chemicals were analytical reagent grade from either Sigma Chemicals (St. Louis, MO) or Fisher (Pittsburgh, PA) and used without further purification as reported earlier.

#### **Activated Partial Thromboplastin Time Assay (APTT)**

To perform the APTT assay, several concentrations of SMI solution were added to 90  $\mu\text{L}$  of citrated human plasma and 100  $\mu\text{L}$  of prewarmed APTT reagent (0.2% ellagic acid). After incubation for 300 seconds at 37 °C, clotting was initiated by adding 100  $\mu\text{L}$  of prewarmed 25 mM  $\text{CaCl}_2$  and time to clot was collected. The data were fitted to a quadratic trend line, which was used to determine the concentration of SMI required to double the clotting time. Effect of SMI on APTT using FXI-deficient human plasma along with others such as FIX, fX, fVII -deficient human plasma was also studied in a comparable manner.

#### **Rotational Thromboelastometry (ROTEM)**

Modified rotational thromboelastometry was determined on a ROTEM® Delta Hemostasis Analyzer (Pentapharm GmbH, Munich, Germany) using Pentapharm software version 2.2.0. The device temperature was set to 37°C and the maximum runtime to 90 minutes. Coagulation function was assessed in citrated whole-blood samples by INTEM test: The 20  $\mu\text{L}$   $\text{CaCl}_2$  0.2 mol/L, 20  $\mu\text{L}$  thromboplastin-phospholipid, 300  $\mu\text{L}$  blood. This assay is used for monitoring the intrinsic system (factors XII, XI, IX, VII, X, and platelets). Parameters measured included coagulation time (CT, sec; time to clotting initiation), clot formation time (CFT, sec; time from clot initiation until a clot firmness of 20 mm is detected), and maximum clot firmness (MCF, mm) which reflects the maximum structural integrity obtained by the clot. The clot which is dependent on fibrin content,

fibrin structure, platelet concentration and platelet function. All analyses were completed within two hours of collection.

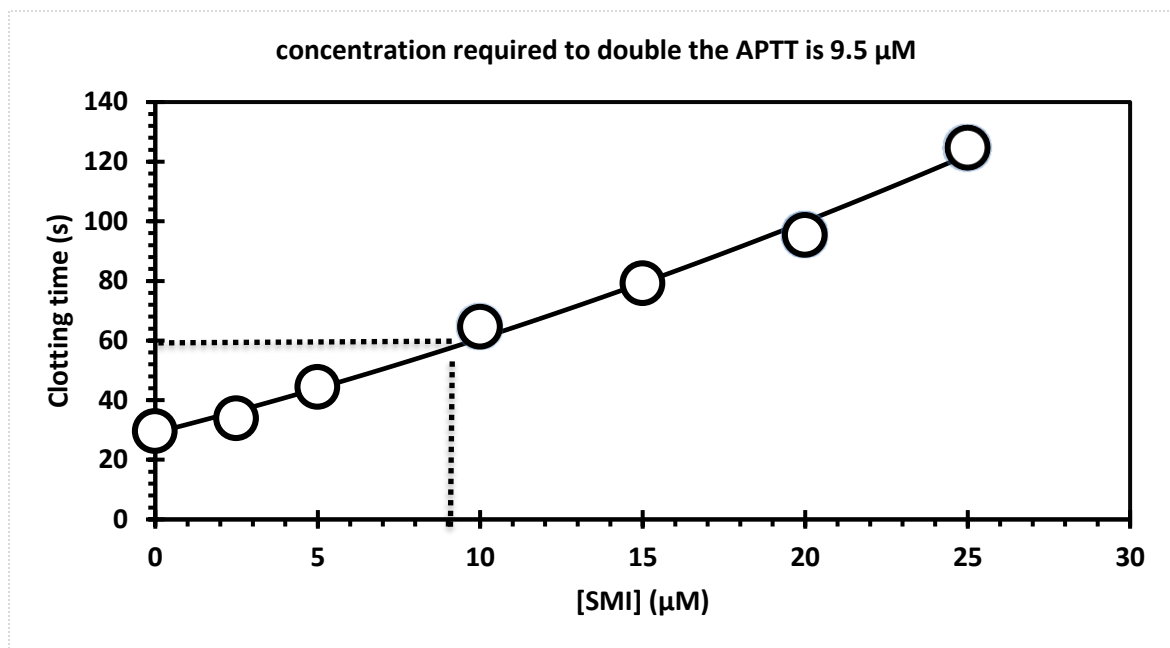
Concn ( $\mu\text{M}$ )	CT (sec)	$\alpha$ (deg) SMI	CFT (sec)	MCF (sec)
0	259	55	199	49
19	306	35	400	43
38	459	34	712	32
57	563	21	915	32

**Table 4.** Thromboelastometry parameters were obtained in an automated manner from the ROTEM coagulation analyzer. Parameters obtained from this analyzer were CT (coagulation time which is the interval between the initiation of coagulation and the appearance of first detectable signal of no less than 2mm in amplitude)  $\alpha$  (acute angle between an extension of CT value tracing and tangent of the maximum slope product by the ROTEM tracing), MCF (maximum amplitude which is the maximum distance the pin of ROTEM moves at the end), CFT (is the clot formation time in which the clot appearance is from 2 to 20mm). The reported values are the mean of two independent experiments which were recorded automatically.

#### Tail bleeding time studies

Wild-type ICR mice ( $n \geq 10$ ; weight, 35–50 g) were anesthetized with an intraperitoneal injection of pentobarbital (50 mg /kg), and tail bleeding was performed as described previously [8,134]. Following anesthesia, the mice were briefly placed on a 37 °C heating pad. SMI 0.5mg was used

along with 0.3 mg LMWH as a positive control and PBS as a negative control. Solutions were prepared in 50  $\mu\text{L}$  of PBS and injected into the lateral tail vein with a syringe. After two minutes, the tail tip (0.1- 0.2 cm) was transected with a scalpel and immediately immersed in a 1.7 mL eppendorf tube filled with 1200  $\mu\text{L}$  of PBS positioned in a 37  $^{\circ}\text{C}$  heating block. The mice were monitored for 15 minutes. The total bleed time (defined as the sum of all bleeding events occurring within 15 minutes) and the weight of blood loss was recorded for each mouse.



**Figure 28.** Activated partial thromboplastin time assay (APTT) concentration required to double clotting time

### **4.3 Results and Discussion of SMI**

#### **SMI is an Effective Anticoagulant in Human Plasma**

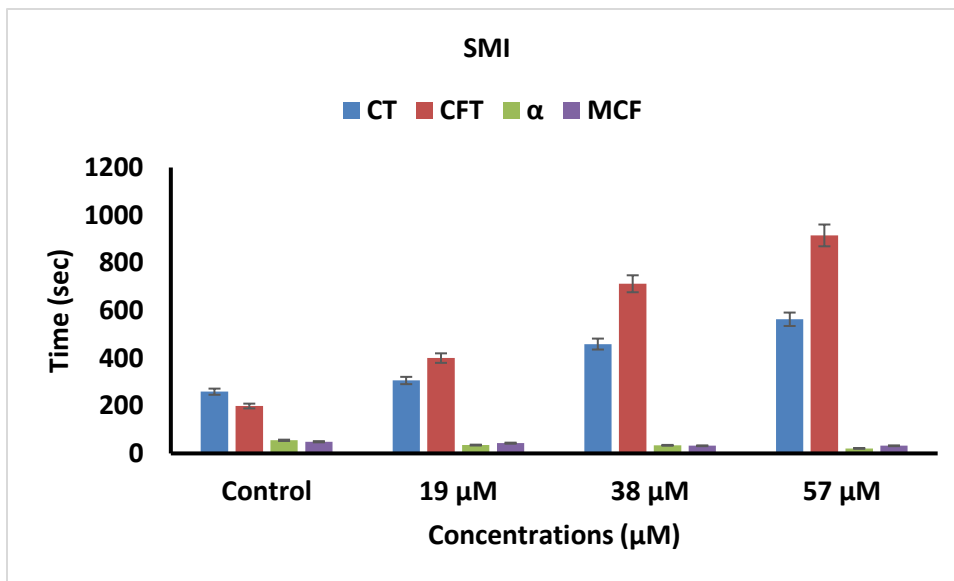
Plasma clotting assays, prothrombin, and activated partial thromboplastin time (PT and APTT) are regularly used to measure the anticoagulation potential of new enzyme inhibitors in an in vitro setting. While PT measures the effect of an inhibitor on the extrinsic pathway of coagulation, APTT measures the effect on the intrinsic pathway. Here we measured the concentration of SMI required to double APTT. Using these concentrations of SMI (2.5  $\mu\text{M}$ , 5  $\mu\text{M}$ , 10  $\mu\text{M}$ , 15  $\mu\text{M}$ , 20  $\mu\text{M}$ , and 25  $\mu\text{M}$ ), we were able to determine the variation in APTT in the presence of varying SMI concentrations. A 2-fold increase in APTT required 9.5  $\mu\text{M}$  of SMI. These results revealed high potency and good anticoagulant properties in human plasma as shown in (Figure 29).

#### **SMI is an Effective Anticoagulant in Human Whole Blood as Indicated by Thromboelastometry**

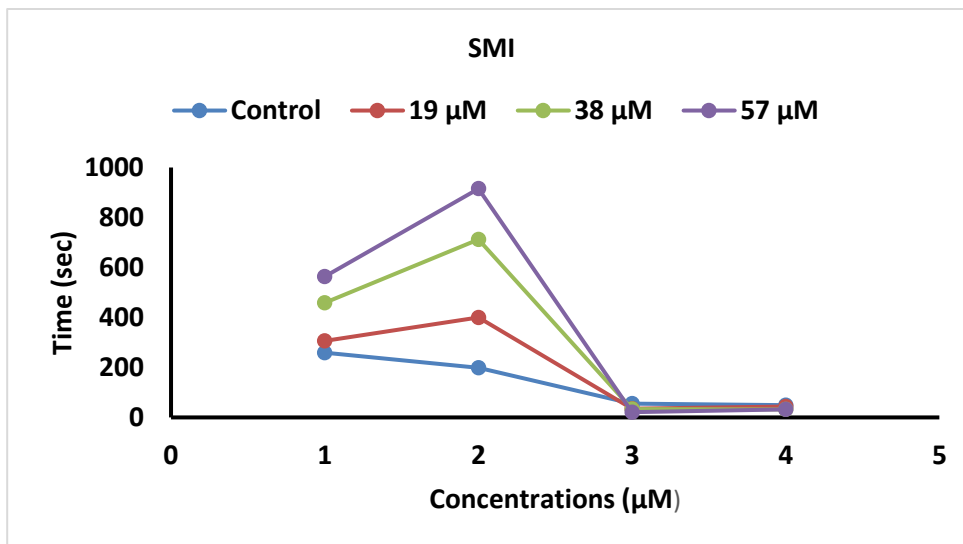
To assess the anticoagulation properties of SMI in human whole blood, thromboelastometry was employed. This technique is an ex vivo protocol utilized to evaluate the anticoagulant activity of SMI in whole blood. Figure 30 shows the effects of SMI in human whole blood with respect to the changes in  $\alpha$ , CT, and MCF parameters. Increasing SMI concentration increased CT and decreased  $\alpha$ , MCF, and CT parameters. Briefly, CT increased from 259 seconds to 563 seconds as the concentration of SMI increased from 0  $\mu\text{M}$  to 57  $\mu\text{M}$ , while  $\alpha$  decreased from 55° to less than 21°, suggesting a significant decrease in the fibrin polymerization and network formation. These results suggested that SMI is a potent anticoagulant in human whole blood.



(A)



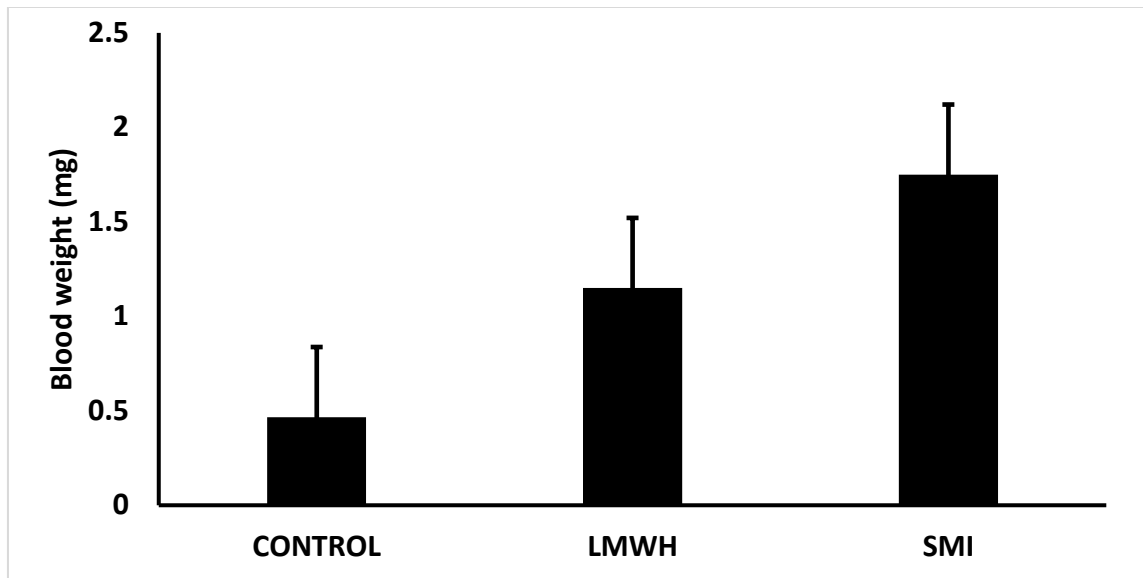
(B)



**Figure 29.** Multichannel thromboelastography assay for SMI showing three different concentrations (19  $\mu\text{M}$ , 38  $\mu\text{M}$ , and 57  $\mu\text{M}$ ) to determine the time for clot formation.

### **SMI does increase tail bleeding**

To assess bleeding tendency of SMI, the murine tail bleeding experiment (used in a large number of studies), was performed [134]. Figure 30 shows total bleeding time and total blood loss for 0.5mg of SMI, 0.1 - 0.3mg of LMWH, and PBS was mixed with 50 $\mu$ l of PBS and injected approximately 7 minutes before the transaction of the tail. For SMI, the tail bleeding time was found to be  $9.9 \pm 0.4$  min, which was higher compared to PBS ( $6.1 \pm 0.7$ min). Likewise, the total blood loss following tail clipping for SMI was  $0.075 \pm 0.008$  mg, which was higher than PBS ( $0.042 \pm 0.016$ mg). These represent an approximately 1.5-fold higher bleeding time and an approximately 1.9-fold more blood loss for SMI compared to PBS. In comparison of LMWH, a two-fold lower dose (0.3mg per mouse) resulted in a bleeding time of  $12.3 \pm 0.3$  minutes and blood loss of  $0.081 \pm 0.005$ mg. These represent an approximately 1.3-fold higher bleeding time and approximately 1.8-fold more blood loss for LMWH than for SMI. Over all LMWH resulted in a higher bleeding time than SMI, and the same or higher blood loss. Thus, SMI appeared to result in a reduced bleeding tendency compared to LMWH, but on the flip side, SMI appeared to demonstrate bleeding propensity compared to the PBS negative control as shown in (Figure 30).



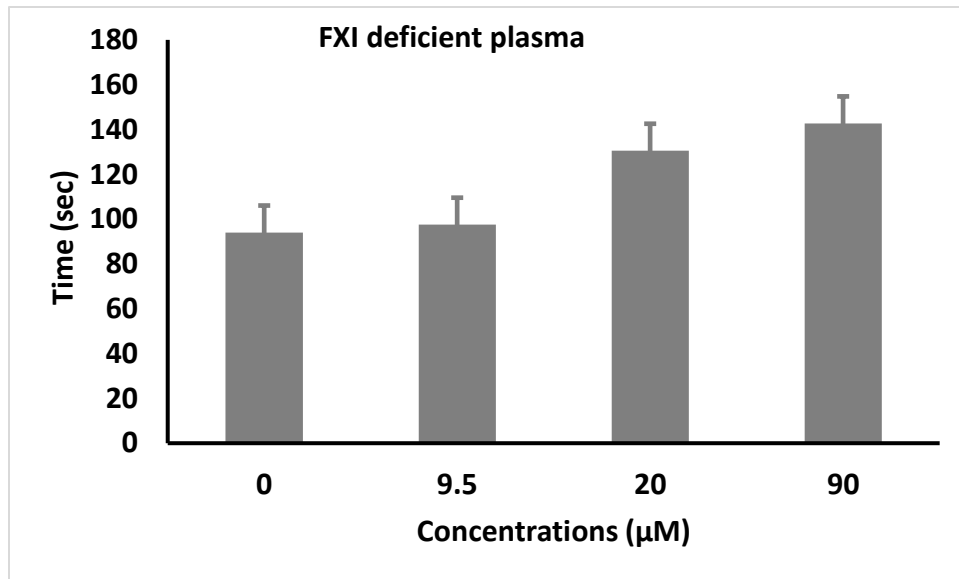
**Figure 30.** A comparison of mouse tail bleeding subsequent IV injection of 0.5 mg of SMI, 0.3mg of LMWH, and or PBS in 50 $\mu$ l of PBS. Two parameters were followed including bleeding time in min. Error bars represent  $\pm 1$  SEM using N = 10. SMI was found to exhibit significant bleeding propensity compared to PBS.

### **SMI Coagulation assay for factors deficient plasma**

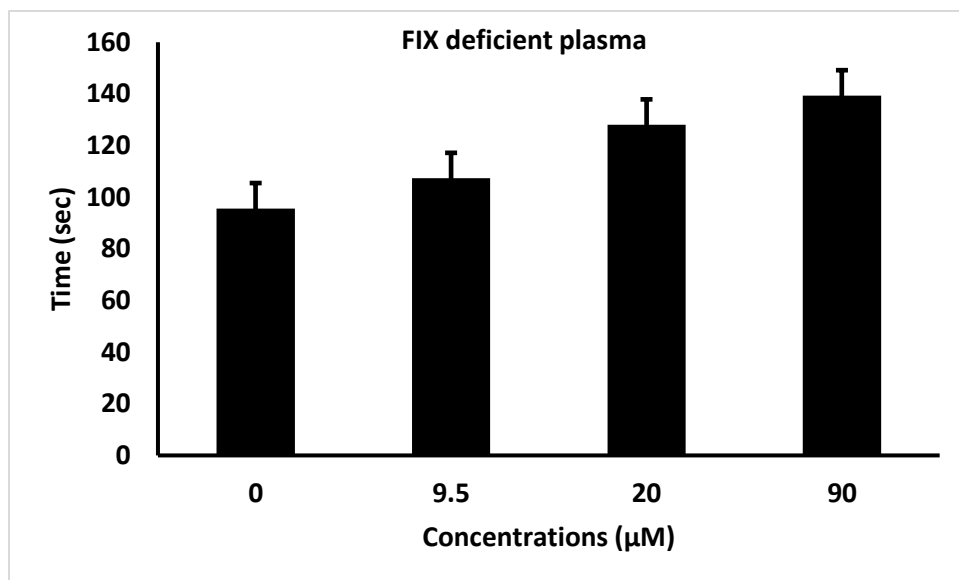
Clotting times were determined initially by running controls. For activated partial thromboplastin time (APTT) we used different concentrations of SMI (0  $\mu$ M, 10  $\mu$ M, 20  $\mu$ M, and 90  $\mu$ M), and mixed with 90- 93  $\mu$ l citrated human plasma and 100  $\mu$ l 0.2% ellagic acid. Coagulation was introduced when 100  $\mu$ l of 25 mM CaCl<sub>2</sub> was added. Assay were conducted as a duplicate of each sample and the mean was calculated, then data were plotted to calculate the clotting effect of SMI on APTT. Firstly, fXI-deficient human plasma was tested followed by factors fIX, fX, fVII-deficient human plasma respectively in a similar fashion using the same concentration

(as shown in Figure 32, and table 5). Results revealed that the prolongation of APTT suggested the anticoagulant potency of SMI and also an increase in SMI concentration induced anticoagulation in plasma deficient of fXI. In addition, our observation revealed that SMI is active despite the deficiency of fXI and suggests that at high enough concentrations, SMI can target other coagulation factors.

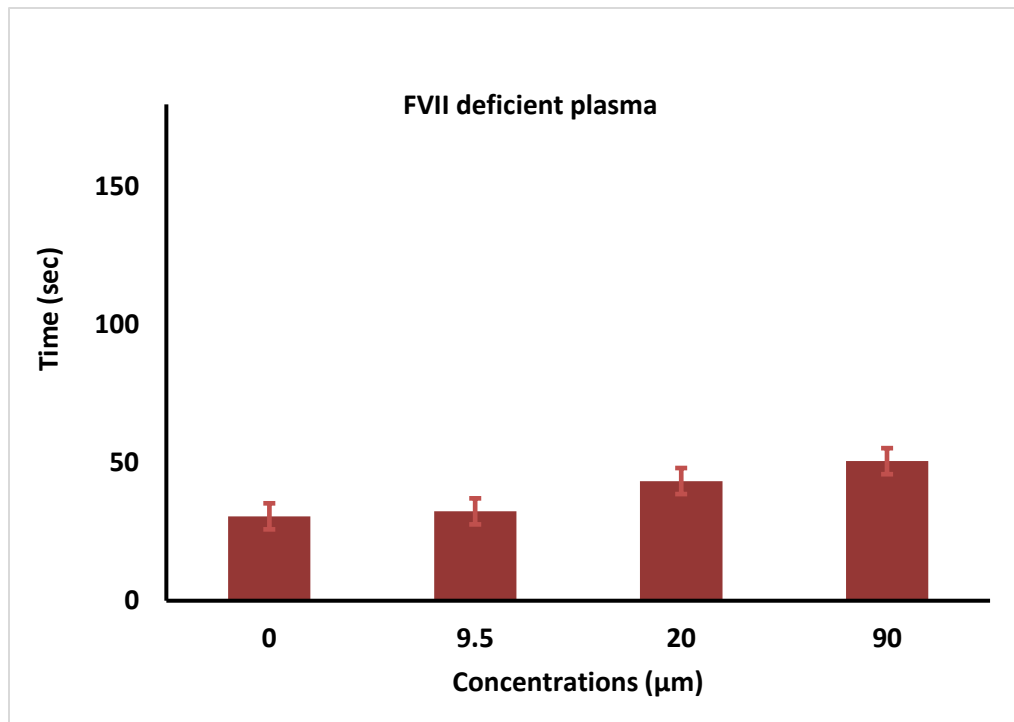
(A)



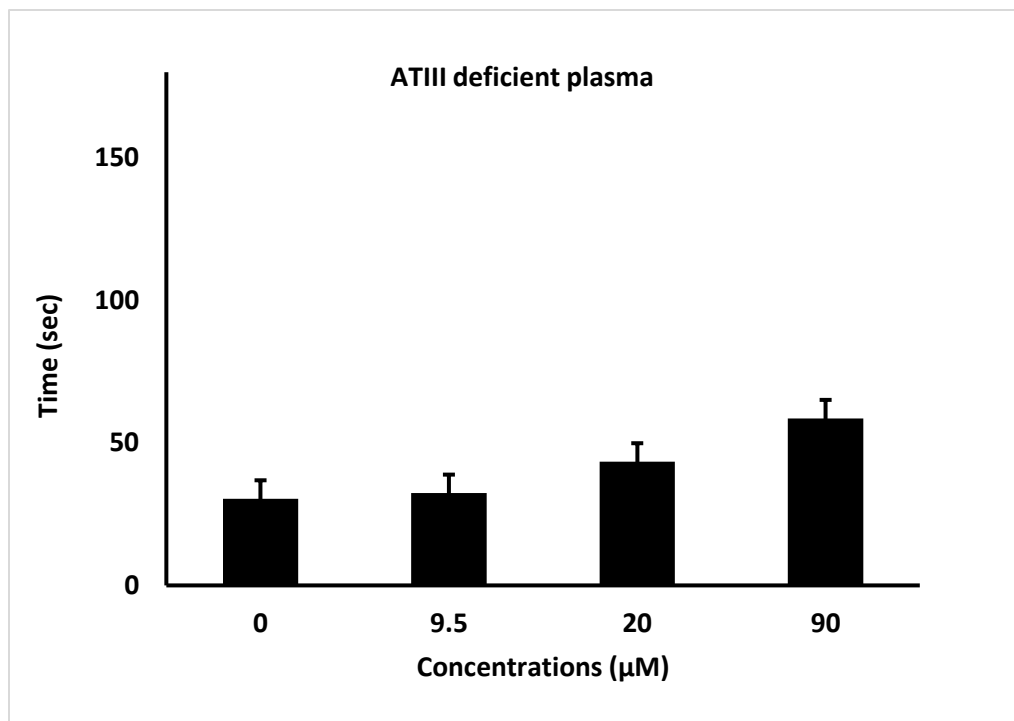
(B)



(C)



(D)



**Figure 31.** shows different concentration (9.5 $\mu$ M,20 $\mu$ M, 30 $\mu$ M,) of SMI with human plasma deficient factors (fXI, fX, fIX, and fVII). (a) fXI deficient plasma with SMI, (b)SMI with fIX deficient plasma, (c) SMI with fX deficient plasma, (d) SMI with fVII deficient plasma.

<b>Concn (<math>\mu</math>M)</b>	<b>FIX</b>	<b>FX SMI</b>	<b>FIX</b>	<b>FVII</b>
<b>0</b>	90.5 $\pm$ 1	142 $\pm$ 1	168.2 $\pm$ 1	39.2 $\pm$ 1
<b>9.5</b>	93.7 $\pm$ 2	178.7 $\pm$ 2	179.9 $\pm$ 1	39.4 $\pm$ 1
<b>20</b>	155.7 $\pm$ 1	344.8 $\pm$ 2	189.4 $\pm$ 1	73.4 $\pm$ 1
<b>90</b>	262.4 $\pm$ 2	838.9 $\pm$ 3	218.4 $\pm$ 2	180.1 $\pm$ 3

**Table 5.** Shows different concentration (0  $\mu$ M, 9.5 $\mu$ M, 20 $\mu$ M, 90 $\mu$ M,) of SMI with human plasma deficient factors (fXI, fX, fIX, and fVII).

#### 4.4 SMI Conclusion

A new NSGM exposed in the process includes sulfated pentagalloyl  $\beta$ -D-Glucopyranoside (SPGG, Figure 1), which effectively inhibited fXIa [6,7]. Structurally, SPGG characterizes a unique NSGM comprising multiple sulfate groups on an aromatic scaffold. The essential value used in the design of SPGG was that a proper anionic scaffold will target the extremely positively charged heparin-binding site(s) of fXIa. Subsequent binding site(s) in allosteric dysfunction of its fXIa's catalytic function. SPGG, is considered the primary synthetic small allosteric inhibitor of human fXIa with a capable plasma and whole blood anticoagulant potential [6, 7]. Key results were revealed by comparing APTT and tail bleeding data. Both suggested that SMI was a very potent anticoagulant agent in human and animal whole blood and plasma.

## Chapter 5: Investigation of Anticoagulation Properties of Sulfated Glycosaminoglycan Mimetics

### 5.1 Conclusions and Future Directions

The hypothesis behind this work was that human factor XIa can be allosterically inhibited considering its high homology with other serine proteases, especially thrombin, which has been targeted by NSGMs of different structural features [37- 45,46]. Coagulation proteases have one or more allosteric anion-binding site(s) that are recognized by heparin and related GAGs [33,34,55,58]. Yet, these exosites possess different structure due to their Arg/ Lys residues in terms of the number, position, and configuration [32-35]. Characterization of G2.2 is extremely challenging. In order to test that we used UPLC-MS. The results revealed that G2.2 displayed 85:15 blends of two compounds compared to G2.2W, which was fully sulfated flavonoid derivatives. Moreover, In vitro studies for thrombin, fXa, and fXIa were performed with G2.2Y and G2.2W and the results demonstrated that the concentration of G2.2W capable of inhibiting 50% of the enzyme activity ( $IC_{50}$ ) for fXa was approximately 46  $\mu$ M compared to 28  $\mu$ M for G2.2Y. In the flip side, both G2.2 analogues did not inhibit fXa and thrombin in the presence and absence of antithrombin in a concentration up to 500  $\mu$ M. These results indicated that G2.2Y inhibited human fXIa 2-fold better than G2.2W. This is a significant improvement in selectivity compared to G2.2W. Also, this work shows that sulfated NSGMs such as G2.2W, G2.2Y, and SPGG analog SMI can modulate factor XI catalytic activity with moderate-to-high potency by interacting with at least one anion-binding site depending on their structural-activity relationship and the number of sulfated group that they possess. Further investigation will be required to evaluate their advance pharmacological effect and their clinical relevance. This includes their effect on bleeding in an animal model. Currently, NSGMs G2.2Y analog represent the best and the most exciting



lead towards the first allosteric regulator of fXI due to its high potency. Identification of these proteins should help the design of more potent analogues. One important discovery of this work is that G2.2 exhibits anticancer agents, as well anticoagulant properties. Finally, this work shows that sulfated NSGMs can selectively modulate fXI activity with moderate-to-high potency by interacting with at least one anion-binding site. As observed in G2.2Y, a loss of just one sulfate group could induce significant side effects. Structure–activity relationship is important to understand if the in vivo metabolism of the agents leads to accumulation of de-sulfated products. Overall, G2.2Y exhibits considerable promise as a synthetic small molecule allosteric inhibitor of fXIa. However, potency alone does not guarantee success. The ratio of potency to toxicity of a molecule is a better measure of clinical success. In this respect, NSGMs are likely to offer more promise because of their high-water solubility. In fact, literature reports show that highly sulfated small molecules possess little cytotoxicity. Moreover, G2.2 was first reported as an anticancer agent which exhibit NSGM properties. These molecules show advantage over naturally occurring GAGs because of their biophysical properties (hydrophobic and hydrophilic nature), structural homogeneity, and ease to synthesize [135]. This work does not address G2.2's possible use as antimetastatic agents in treatment of cancer, but possibilities are equally promising.

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